

Quantum Transport - Superconductivity I

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- history :

- discovery 1911 by Heike Kamerling-Onnes
Leiden, (RCT) for mercury showed $T_c \approx 4.1\text{K}$

- Meissner effect 1933 :

expulsion of magnetic field from the bulk
of a superconductor sample

- Theory :

- London equations (1935)

- Ginzburg London theory (1950)

London theory of phase transitions
applied to superconductivity

- BCS (Bardeen Cooper Schrieffer) theory (1957)

first microscopic theory

- Gor'kov (1959): derivation of GL theory
from BCS

- 1962: prediction of the Josephson effect
(B.W. Josephson)

London theory:

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n_s : density of superconducting charge carriers

$$\vec{F} = e \cdot \left[\vec{E} + \vec{v} \times \vec{B} \right]$$

$$\Rightarrow m \cdot \dot{\vec{v}} = e \cdot \vec{E} \quad | \cdot e \cdot n_s$$

$$m \cdot \underbrace{e \cdot n_s \cdot \dot{\vec{v}}}_{\dot{\vec{j}}_s} = e^2 \cdot n_s \cdot \vec{E}$$

1st London equation

$$\dot{\vec{j}}_s = \frac{e^2 \cdot n_s}{m} \cdot \vec{E}$$

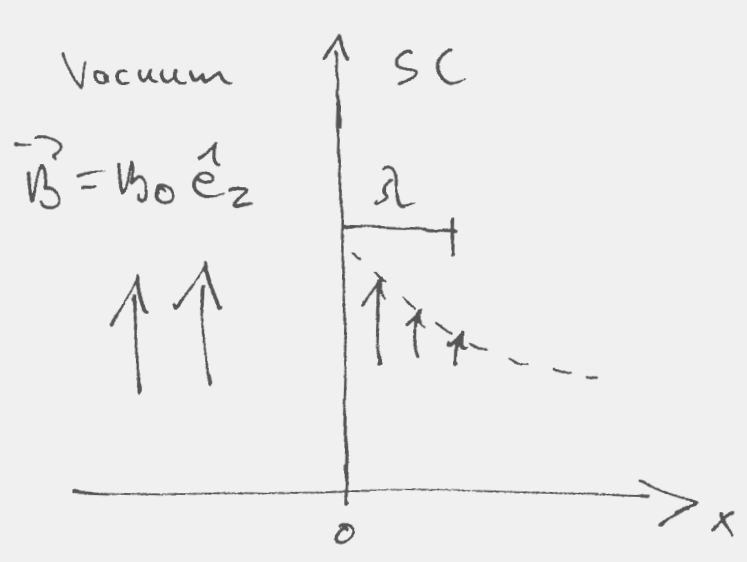
$$\vec{\nabla} \times \dot{\vec{j}}_s = \frac{e^2 n_s}{m} \cdot \vec{\nabla} \times \vec{E} \quad \uparrow$$
$$\vec{\nabla} \times \vec{E} = -\dot{\vec{B}}$$

$$\Rightarrow \frac{\partial}{\partial t} \left(\underbrace{\vec{\nabla} \times \dot{\vec{j}}_s + \frac{e^2 n_s}{m} \cdot \dot{\vec{B}}}_{=0} \right) = 0$$

2nd London equation

$$\vec{\nabla} \times \dot{\vec{j}}_s = -\frac{e^2 n_s}{m} \cdot \dot{\vec{B}}$$

example :



Ampere's law : $\nabla \times \vec{B} = \mu_0 \cdot \vec{j}$

$$\Rightarrow \nabla \times \vec{j}_s = \frac{1}{\mu_0} \cdot \nabla \times (\nabla \times \vec{B})$$

$$= \frac{1}{\mu_0} \left[\nabla (\nabla \cdot \vec{B}) - \Delta \vec{B} \right] = -\frac{1}{\mu_0} \Delta \vec{B}$$

$\underbrace{\nabla (\nabla \cdot \vec{B})}_{=0}$

$$\nabla \times \vec{j}_s = -\frac{1}{\mu_0} \Delta \vec{B} = -\frac{e^2 n_s}{m} \cdot \vec{B}$$

$$\Rightarrow \Delta \vec{B} = \frac{\mu_0 e^2 n_s}{m} \cdot \vec{B} = \frac{1}{\lambda^2} \cdot \vec{B}$$

$$\lambda = \sqrt{\frac{m}{\mu_0 e^2 n_s}}$$

London penetration depth

above example : $\vec{B}(x) = B_0 \cdot \vec{e}_z \cdot e^{-\frac{x}{\lambda}}$

→ Meissner effect

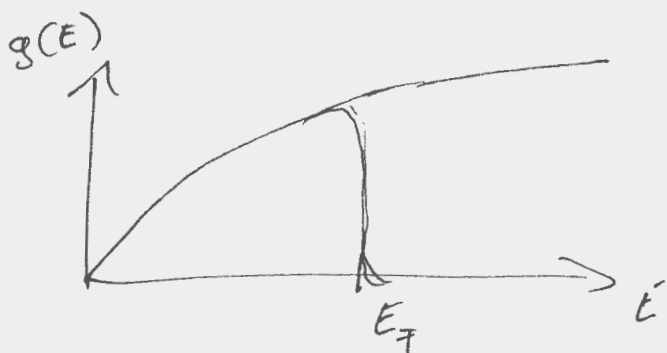
remark: T-dependence of λ : (from GL / BCS)

$$\lambda(T) = \frac{\lambda_{T=0}}{\sqrt{1 - \left(\frac{T}{T_c}\right)^4}} \quad \rightarrow \infty, T \lesssim T_c$$

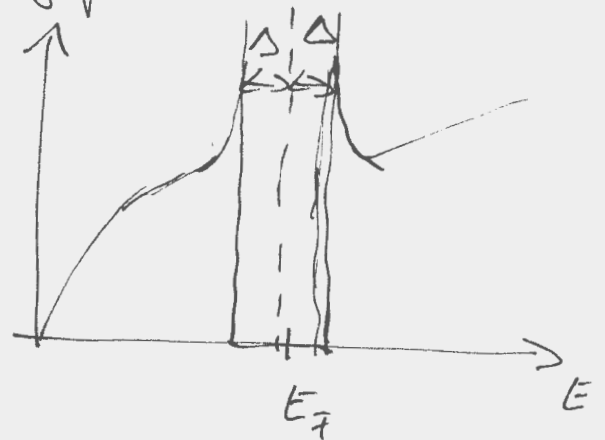
London theory can explain Meissner effect
but not zero resistance \rightarrow BCS theory

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- free Fermi gas : noninteracting electrons,
obey Fermi statistics
- in the presence of Coulomb repulsion :
Fermi liquid (qualitatively the same,
"quasi-particles" instead of free electrons)
- if in addition to Coulomb repulsion there is
some attractive interaction \rightarrow superconducting
transition, new groundstate with paired electrons
"Cooper pairs", excitation gap Δ



\rightarrow



$$g_s(E) \propto \frac{|E - E_F|}{\sqrt{|E - E_F|^2 - |\Delta|^2}}$$

mechanism for attractive interaction :

phonon exchange

Cooper pairs condense into a collective groundstate, which is characterized by a complex order parameter

$$\Delta(\vec{r}) = |\Delta(\vec{r})| \cdot e^{i\phi(\vec{r})}$$

"pair potential", macroscopic wave function

can also be given as $\Delta(\vec{r}) = \underset{\substack{\uparrow \\ \text{attractive interaction} \\ \text{parameter}}}{g(\vec{r})} \cdot \underset{\substack{\uparrow \\ \text{pair} \\ \text{amplitude}}}{F(\vec{r})}$

$F(\vec{r})$ is defined in second quantization:

$$F_{\sigma\sigma'}(\vec{r}) = \langle \psi_{\sigma}(\vec{r}) \psi_{\sigma'}(\vec{r}') \rangle$$

↑
fermionic operators, anti-commute!

usually: $F_{\sigma\sigma'}(\vec{r}) = F_{\uparrow\downarrow}(\vec{r}) = -F_{\downarrow\uparrow}(\vec{r}) = F(\vec{r})$

→ $|\Delta(\vec{r})|$ is rotationally invariant
→ s-wave SC (↑↓)

but there are also p-wave SC (↑↑)
d-wave SC (↑↓, high T_c)
and many more

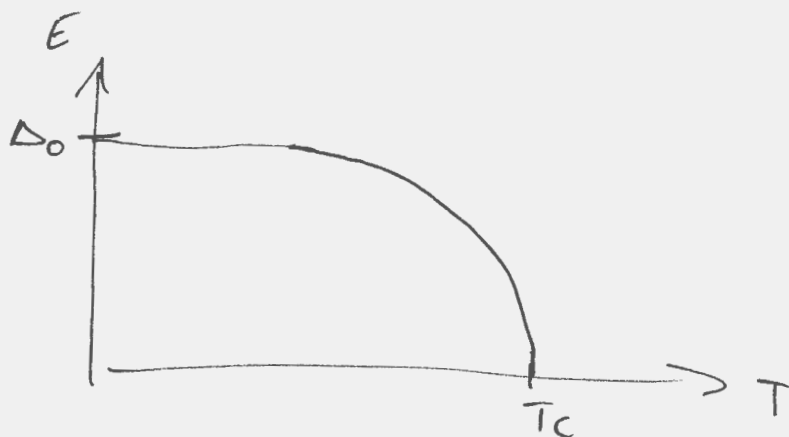
in this lecture: s-wave superconductors

temperature dependence for Δ from BCS:

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$$\Delta(T) = \Delta(0) \cdot 1.74 \left(1 - \frac{T}{T_c}\right)^{1/2}$$

$$\Delta(0) = 1.76 \cdot k_B \cdot T_c$$



Δ is suppressed by T

(\rightarrow also by B for $B \rightarrow B_c$)

important parameter: coherence length ξ_0

$$\xi_0 = \frac{\hbar v_F}{\pi |\Delta|}$$

(length scale of changes
in $\Delta(\vec{r})$)

($\ell \gg \xi_0$)

note: diffusive case ($\ell \ll \xi_0$):

$$\xi_0 = \sqrt{\frac{\hbar D}{2\Delta}}$$

breakdown of super conductivity with B :

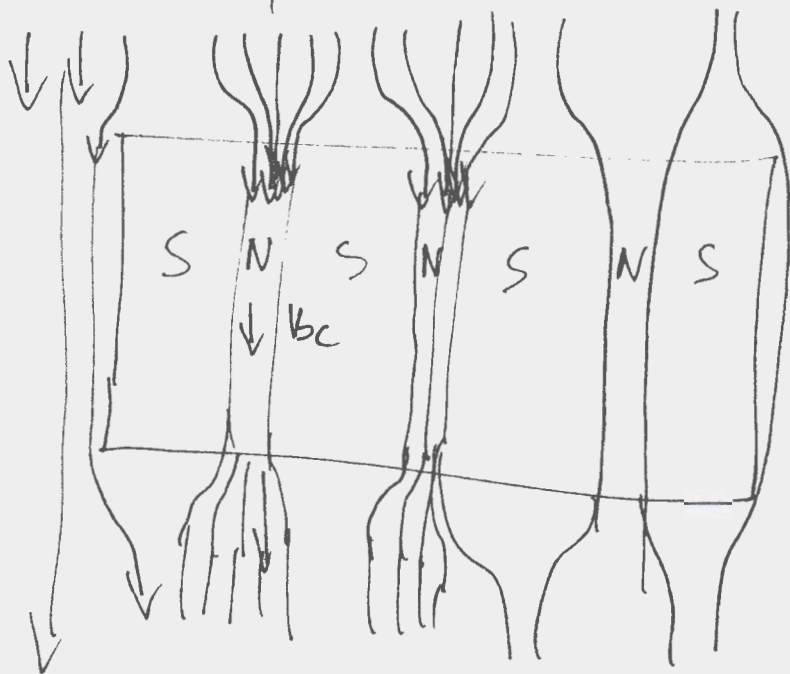
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partial penetration
of B into S :

N - S interfaces inside
super conductor

interface energy σ_{NS}

$$\sigma_{NS} \approx \frac{B_c^2}{2\mu_0} (\xi - \lambda)$$



two cases:

$\sigma_{NS} > 0$: NS -interface "costs energy"
 \rightarrow no flux penetration
($\xi > \lambda$)
at $B = B_c$: all of S turns to N

type I

$\sigma_{NS} < 0$: NS -interfaces "reduce the total energy"
 \rightarrow flux penetrates S in form of "vortices"
($\xi < \lambda$)

type II

Ginzburg Landau parameter $\kappa = \frac{\lambda}{\xi}$

$\kappa < \frac{1}{\sqrt{2}}$: type I

$\kappa > \frac{1}{\sqrt{2}}$: type II

Josephson effect (after Feynman)

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given: two superconductors, order parameters Ψ_1, Ψ_2

$$\Psi_1 = \sqrt{n_1} e^{i\theta_1}$$

$$\Psi_2 = \sqrt{n_2} e^{i\theta_2}$$

$n_{1/2}$: density of
Cooper pairs

weak coupling K between Ψ_1 and Ψ_2 :

energy of each individual SC: $U_{1/2}$

$$\left[\hat{E} \Psi = i\hbar \frac{\partial \Psi}{\partial t} \right] \Rightarrow$$

$$i\hbar \frac{\partial \Psi_1}{\partial t} = U_1 \Psi_1 - K \Psi_2$$

$$i\hbar \frac{\partial \Psi_2}{\partial t} = U_2 \Psi_2 - K \Psi_1$$

potential difference between 1 and 2: $U_1 - U_2 = q \cdot V$

$$\text{set } \frac{U_1 - U_2}{2} = 0$$

$$\Rightarrow i\hbar \frac{\partial \Psi_1}{\partial t} = \frac{qV}{2} \Psi_1 - K \Psi_2$$

$$i\hbar \frac{\partial \Psi_2}{\partial t} = -\frac{qV}{2} \Psi_2 - K \Psi_1$$

$$\text{with } \Psi_{1/2} = \sqrt{n_{1/2}} \cdot e^{i\theta_{1/2}} :$$

$$\frac{\partial \Psi_1}{\partial t} = \frac{1}{2\sqrt{u_1}} e^{i\phi_1} \frac{d\phi_1}{dt} + i\sqrt{u_1} e^{i\phi_1} \frac{d\phi_1}{dt} \quad (9)$$

$$= \frac{qV}{2i\hbar} \sqrt{u_1} e^{i\phi_1} - \frac{k}{i\hbar} \sqrt{u_2} e^{i\phi_2} \Big| \cdot e^{-i\phi_1}$$

$$\frac{\partial \Psi_2}{\partial t} = \frac{1}{2\sqrt{u_2}} e^{i\phi_2} \frac{d\phi_2}{dt} + i\sqrt{u_2} e^{i\phi_2} \frac{d\phi_2}{dt} = -\frac{qV}{2i\hbar} \sqrt{u_2} e^{i\phi_2} - \frac{k}{i\hbar} \sqrt{u_1} e^{i\phi_1} \Big| \cdot e^{-i\phi_2}$$

$$\frac{1}{2\sqrt{u_1}} \frac{d\phi_1}{dt} + i\sqrt{u_1} \frac{d\phi_1}{dt} = \frac{qV}{2i\hbar} \sqrt{u_1} - \frac{k}{i\hbar} \sqrt{u_2} e^{i(\phi_2 - \phi_1)}$$

$$\frac{1}{2\sqrt{u_2}} \frac{d\phi_2}{dt} + i\sqrt{u_2} \frac{d\phi_2}{dt} = -\frac{qV}{2i\hbar} \sqrt{u_2} - \frac{k}{i\hbar} \sqrt{u_1} e^{-i(\phi_2 - \phi_1)}$$

real parts: $\dot{\phi}_1 = -2k \sqrt{u_1 u_2} \cdot \sin \phi$ ($\phi = \phi_2 - \phi_1$)

$\dot{\phi}_2 = 2k \sqrt{u_1 u_2} \sin \phi$

set $j = -q \cdot \frac{d\phi_1}{dt} : j = j_c \cdot \sin \phi, j_c = 2qk \sqrt{u_1 u_2}$

$y = \cancel{j} \cdot A \Rightarrow \boxed{y = y_c \cdot \sin \phi}$ 1st Josephson equation

$y_c = j_c \cdot A$

DC - Josephson effect

imaginary parts:

$$\frac{d\phi_1}{dt} = -\frac{qV}{2\hbar} + K \cdot \sqrt{\frac{n_2}{n_1}} \cdot \cos \phi$$

$$\frac{d\phi_2}{dt} = \frac{qV}{2\hbar} + K \sqrt{\frac{n_1}{n_2}} \cdot \cos \phi$$

assume $n_1 \approx n_2$: $\frac{d}{dt}(\phi_2 - \phi_1) = \frac{d\phi}{dt} = \frac{qV}{\hbar}$

$$\boxed{\frac{d\phi}{dt} = \frac{2e \cdot V}{\hbar}}$$

2nd Josephson equation

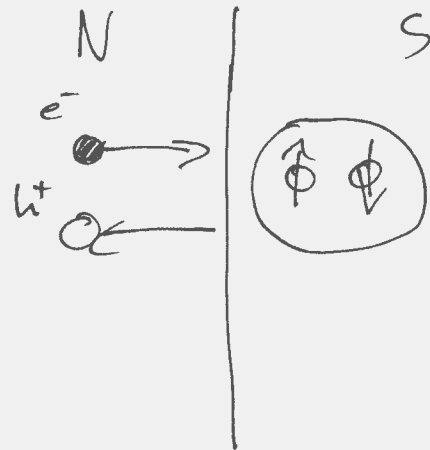
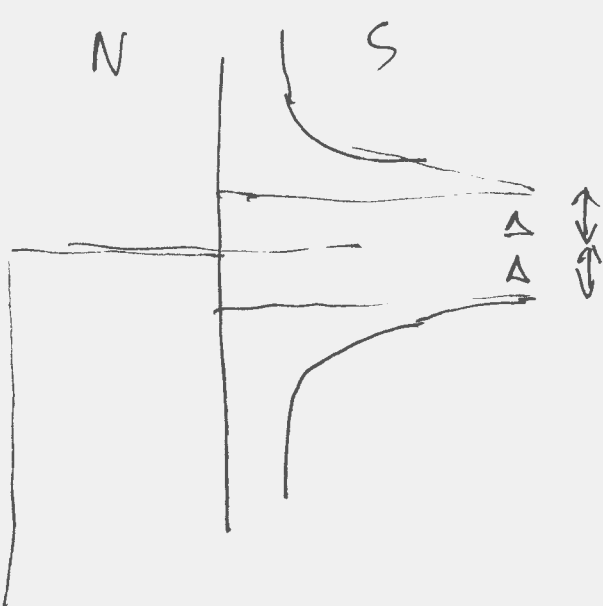
AC - Josephson effect

for $V = \text{const}$: $\phi = \phi(0) + \frac{2eV}{\hbar} \cdot t$

$$\Rightarrow y = y_c \cdot \sin\left(\phi(0) + \frac{2eV}{\hbar} \cdot t\right)$$

AC - current

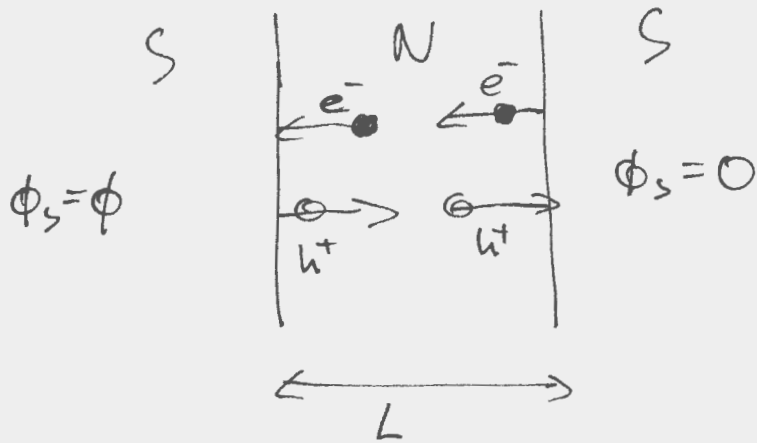
N-S interface: Andreev reflection



in small S-N-S junctions:

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Andreev bound states



→ consecutive Andreev reflexions at opposite S-N interfaces

→ in case of constructive interference between charge carrier trajectories: "Andreev bound state"

Phase acquired during $e^- \rightarrow h^+$ Andreev reflexion:
(without proof)

$$p_{eh} = -\arccos\left(\frac{E}{\Delta}\right) + \phi_S \quad ; \quad (E = E' - E_F)$$

inverse process:

$$p_{he} = -\arccos\left(\frac{E}{\Delta}\right) - \phi_S$$

$$\Rightarrow \text{total phase} : \phi_{\text{tot}}^{(1)} = (k_h + k_e) \cdot L + \phi + 2\arccos\left(\frac{E}{\Delta}\right)$$

$$\text{dynamical phase} : (k_h + k_e) \cdot L \approx 2 \frac{EL}{v_F}$$

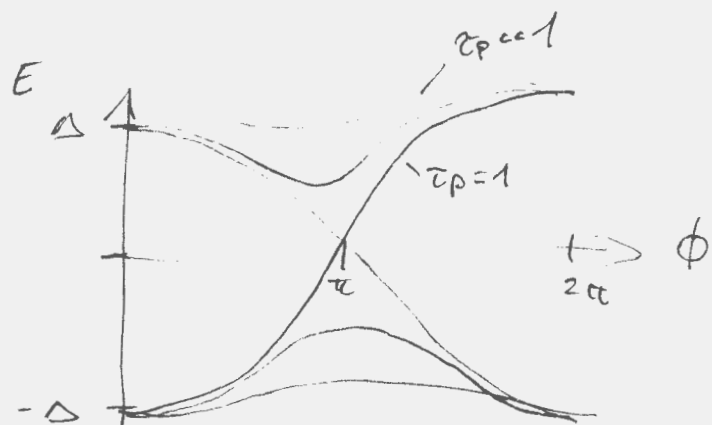
$$\text{opposite process} : \phi_{\text{tot}}^{(2)} = (k_h + k_e) \cdot L - \phi + 2\arccos\left(\frac{E}{\Delta}\right)$$

condition for a bound state:

$$\phi_{\text{tot}}^{(1)/(2)} = 2\pi \cdot n \quad ; \quad n \in \mathbb{Z}$$

assume short junction ($L \ll \xi_0$)

$$\Rightarrow E_{\pm}^{\text{ABS}} = \pm \Delta \cdot \cos(\phi/2)$$



for an interface with finite transmission ($\tau_p < 1$):

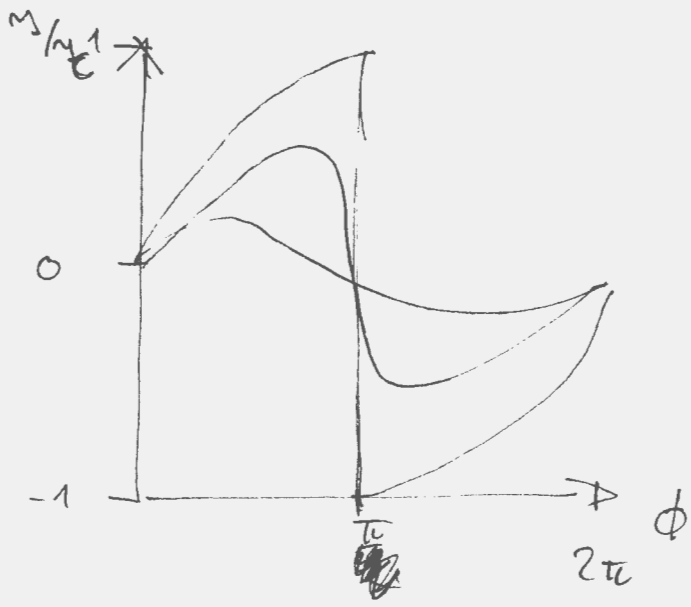
$$E_{\pm}^{\text{ABS}} = \pm \Delta \left[1 - \tau_p \cdot \sin^2(\phi/2) \right]$$

transfer of Cooper pairs through ABS \rightarrow supercurrent

$$j_s = \frac{2e}{\hbar} \sum_{\pm} \frac{\partial E_{\pm}^{\text{ABS}}(\phi)}{\partial \phi} \cdot \tanh\left(\frac{E_{\pm}^{\text{ABS}}(\phi)}{2k_B T}\right)$$

$$= \frac{e\Delta^2}{2\hbar} \cdot \frac{\tau_p \cdot \sin(\phi)}{E_{+}^{\text{ABS}}} \cdot \tanh\left(\frac{E_{+}^{\text{ABS}}(\phi)}{2k_B T}\right)$$

for $\tau_p \ll 1$: \rightarrow recover 1st Josephson equation



for $\alpha_p \ll 1$: $E_{+}^{AVS} \approx \Delta$

→ summation over all channels p gives

$$y_s = \frac{\pi \cdot \Delta \cdot \sin(\phi)}{2e \cdot R_N} \cdot \tanh\left(\frac{\Delta}{k_B T}\right), \quad R_N^{-1} = 2 \frac{e^2}{h} \sum_p \alpha_p$$

or $y_E \cdot R_N = \frac{\pi \cdot \Delta}{2e} \quad (k_B T \ll \Delta)$

Ambegaokar - Baratoff relation