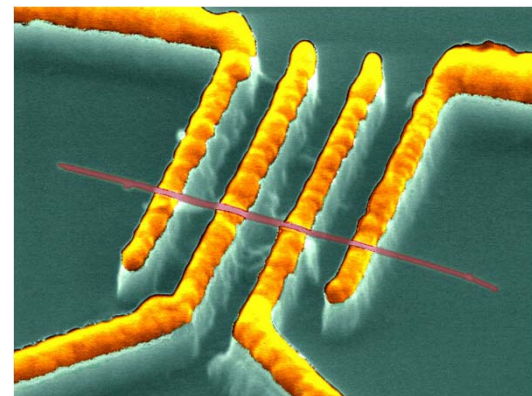
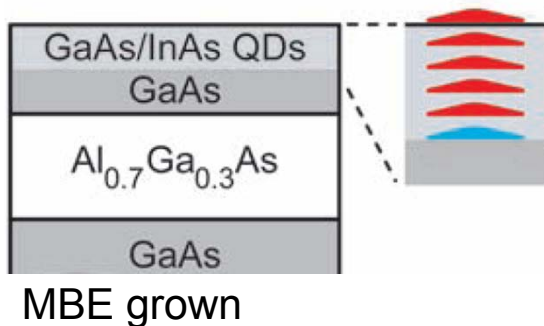
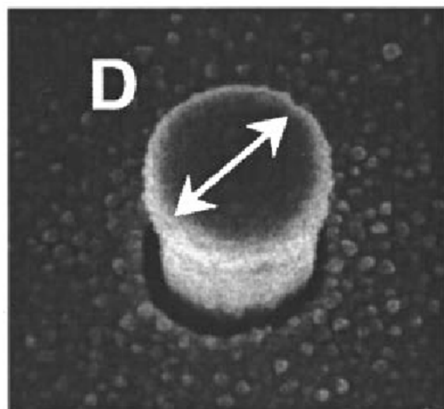


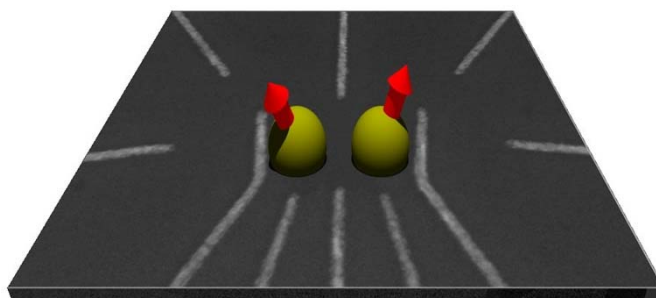
Quantum Dots



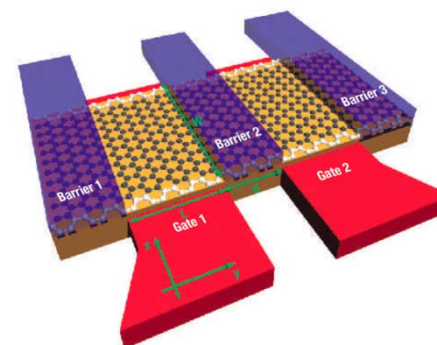
carbon nanotube / nanowires



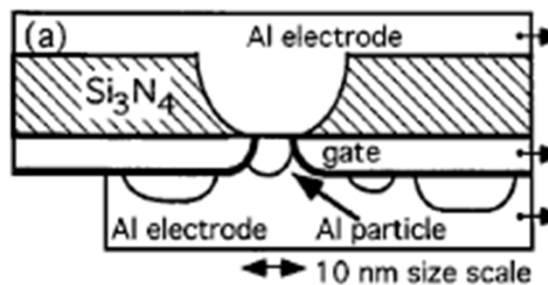
GaAs vertical dot



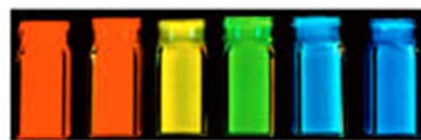
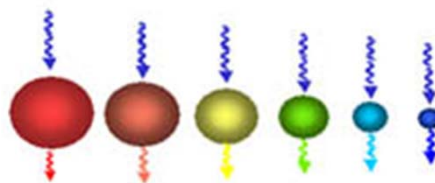
GaAs lateral dot



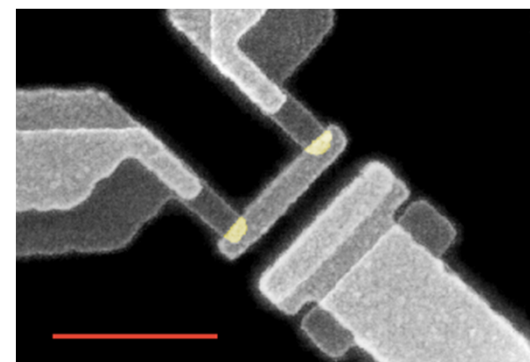
graphene



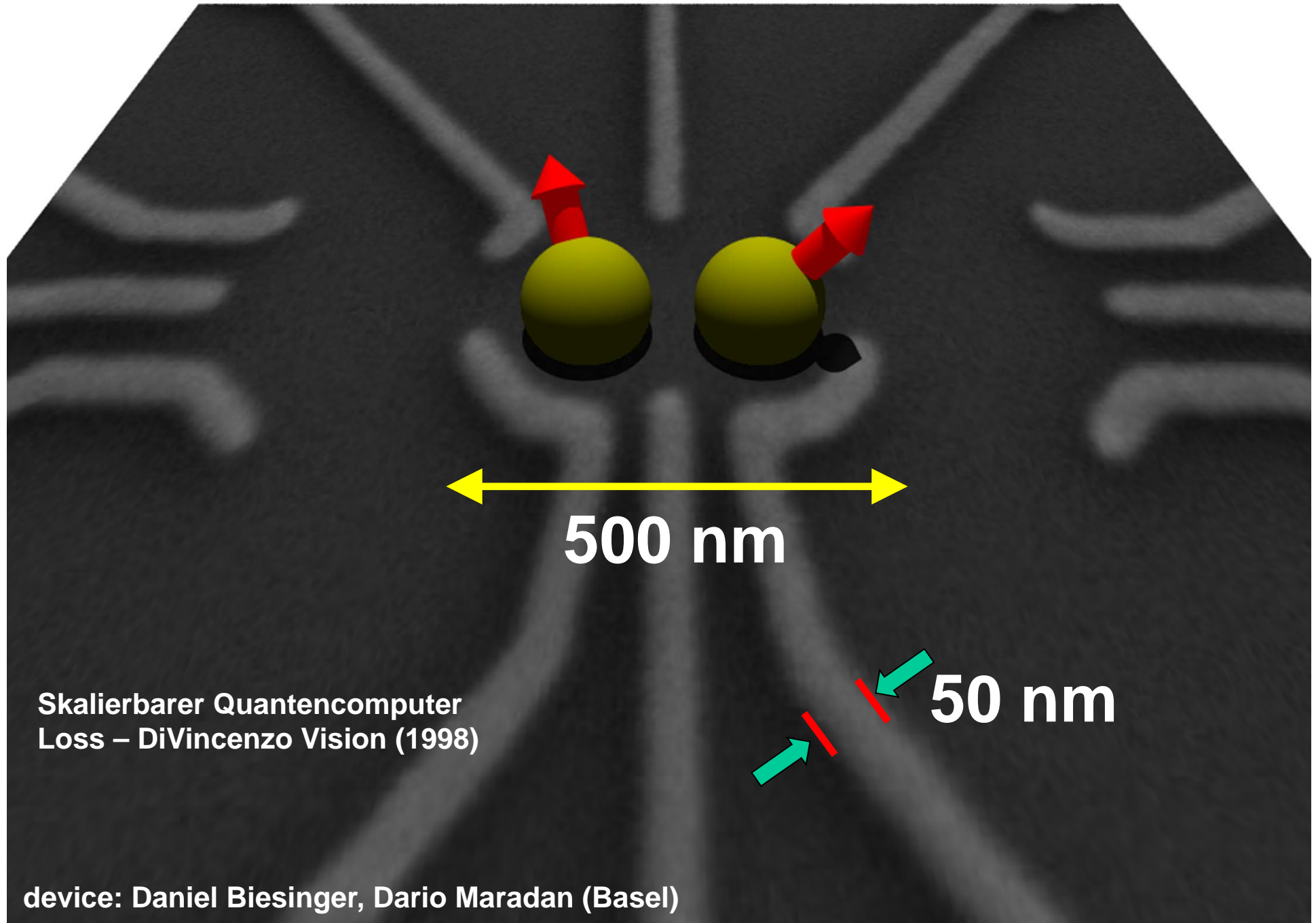
metal grain



III-V self assembled



metallic SET



Skalierbarer Quantencomputer
Loss – DiVincenzo Vision (1998)

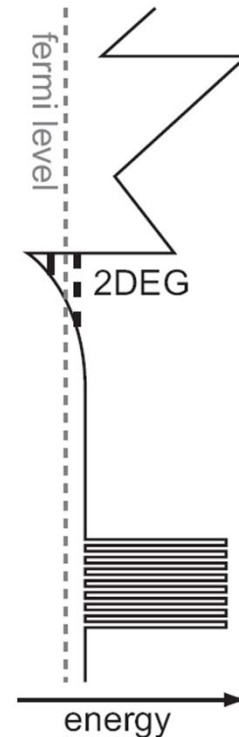
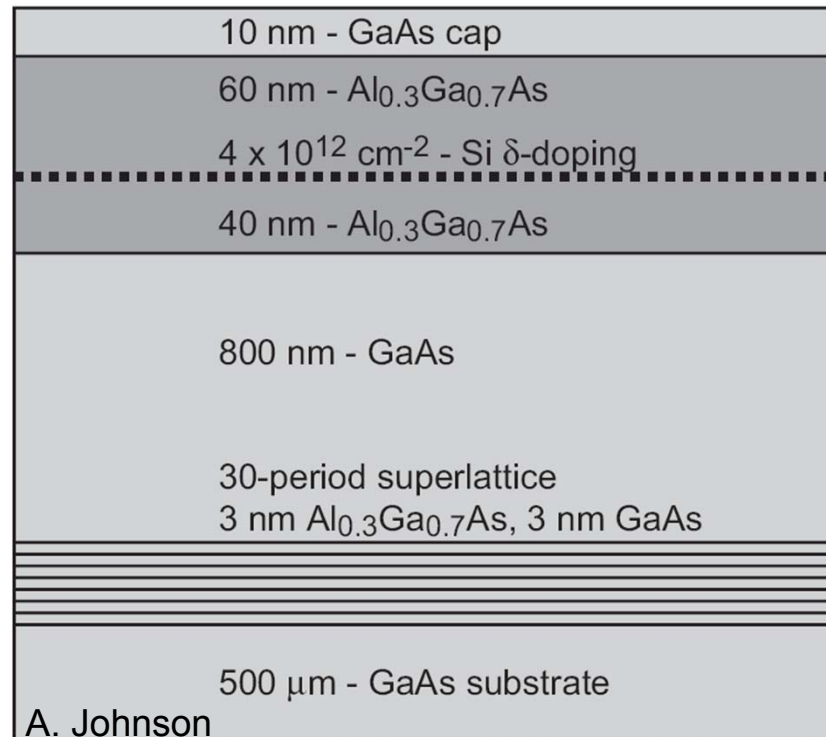
device: Daniel Biesinger, Dario Maradan (Basel)

Part 1: Quantum Dot Basics

- GaAs 2D electron gas (2DEG)
conductance quantization in QPCs
- Coulomb blockade and charging energy $E_C = e^2/C$
quantum confinement energy Δ
- Constant interaction model and
Coulomb diamonds
- electronic transport via
 - sequential tunneling Γ
 - cotunneling Γ^2 / E_C (elastic / inelastic)
 - cotunneling assisted sequential tunneling
- singlet & triplet states,
exchange splitting $J = E_T - E_S$
- Pauli Spin blockade

next week:	part 2	putting the basics to work g-factor, ST transition, spin entanglement
	part 3	charge sensing charge & spin tunneling, spin relaxation, charge fluctuations

GaAs 2D Electron Gas (2DEG)



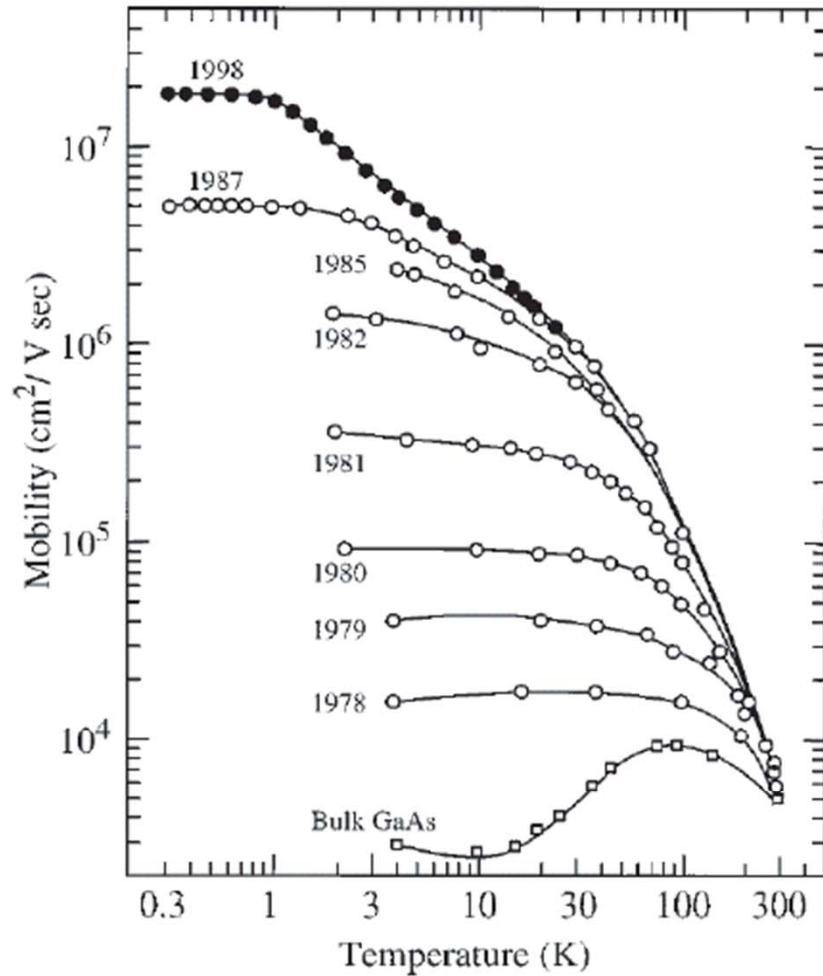
density $n = 2 \times 10^{11} \text{ cm}^{-2}$
mobility $\mu \sim 200'000 \text{ cm}^2/(\text{Vs})$

Fermi wavelength $\lambda_F \sim 50 \text{ nm}$
mean free path $\sim \text{micron}$

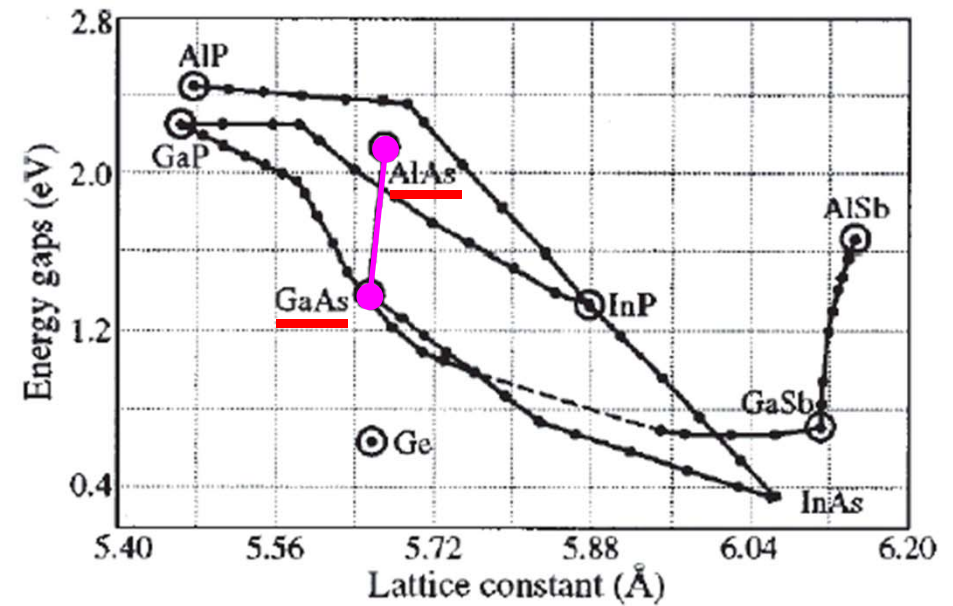
J. Zimmerman and A. C. Gossard, UC Santa Barbara
C. Reichl and W. Wegscheider, ETHZ

GaAs 2D Electron Gas (2DEG)

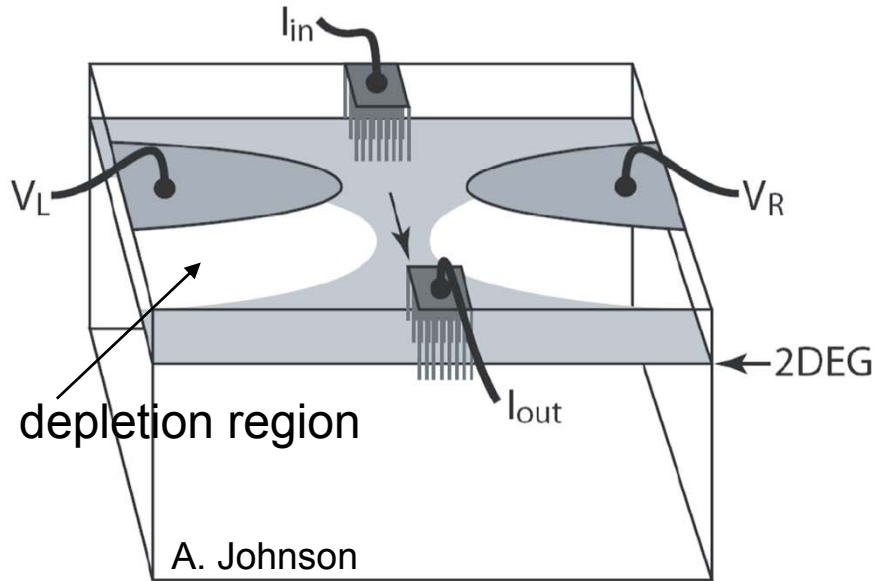
mobility



gaps vs lattice constants

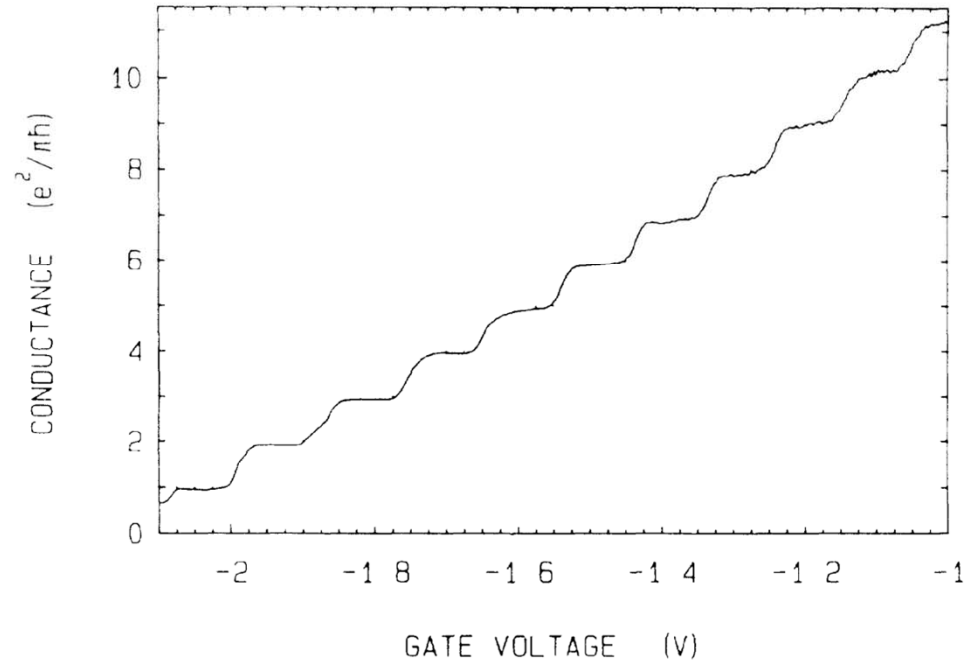
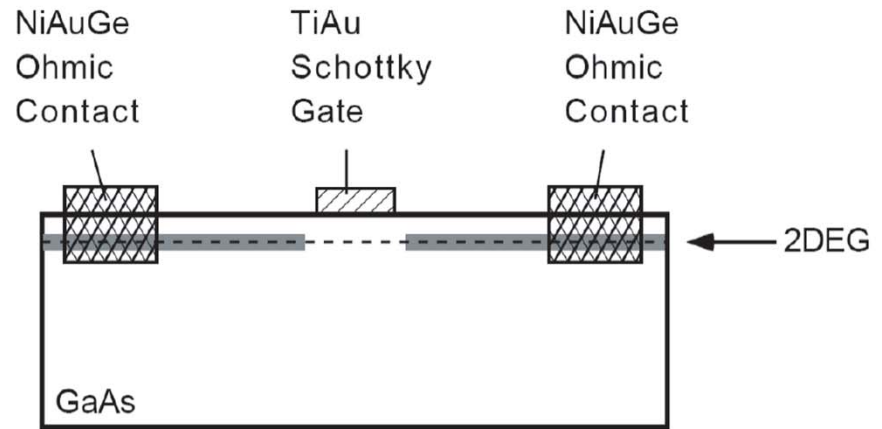


Lateral Depletion Gating, Ohmic Contacts



voltage adjustable
depletion area

quantum point
contact



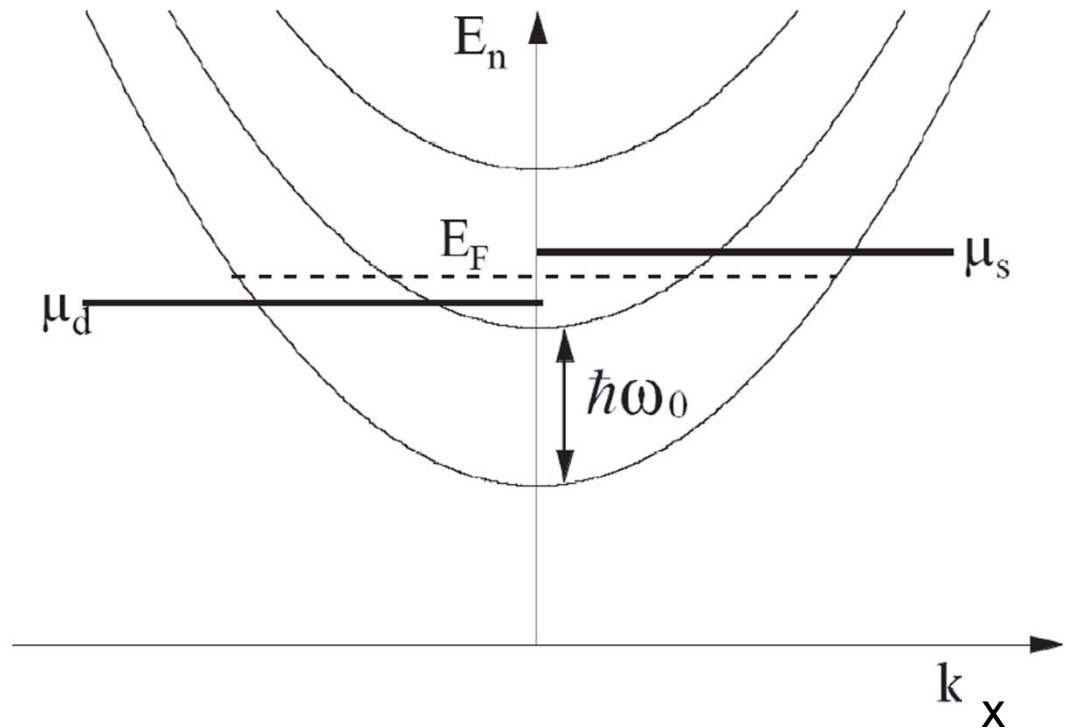
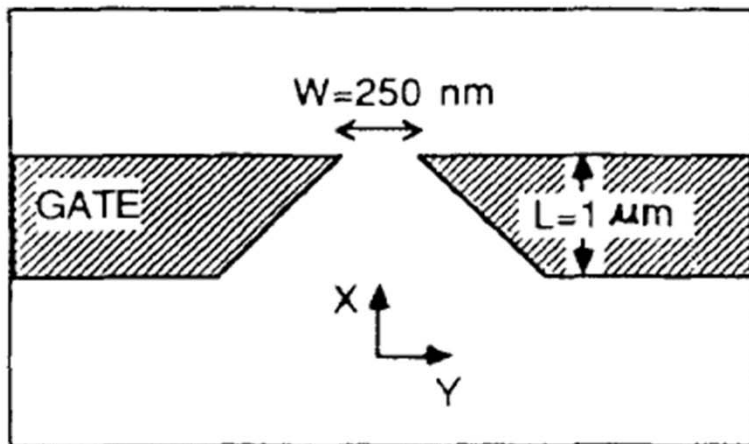
B. van Wees et al., PRL 1988
D. Wharam et al., JPCMD 1988

Quantum Point Contact (QPC)

$$\left[\frac{\hbar^2 k^2}{2m^*} + \frac{p_y^2}{2m^*} + \frac{1}{2} m^* \omega_0^2 y^2 \right] \chi(y) = \chi(y)$$

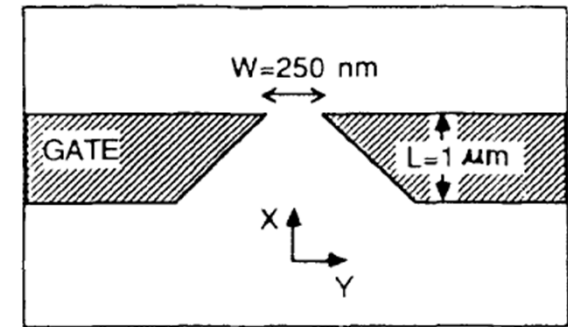
$$\chi_{n,k}(y) = u_n(q) \quad \text{where} \quad q = \sqrt{m^* \omega_0 / \hbar} y$$

$$v(n, k) = \frac{1}{\hbar} \frac{\partial E(n, k)}{\partial k} = \frac{\hbar k}{m^*}$$



Conductance Quantization in 1D (QPC)

$$I = e \sum_{n=1}^N \int_{\mu_d}^{\mu_s} dE \frac{1}{2} \rho_n(E) v_n(E) T_n(E),$$

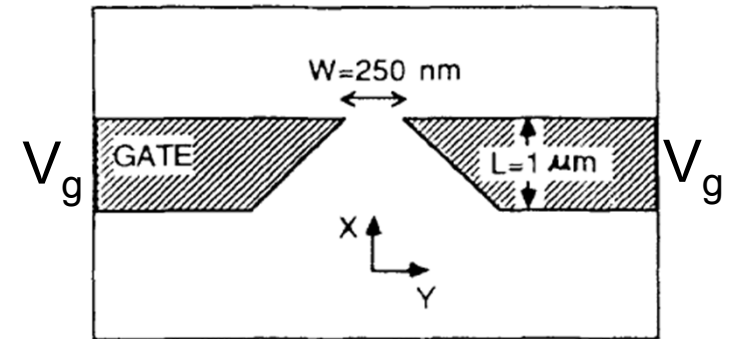
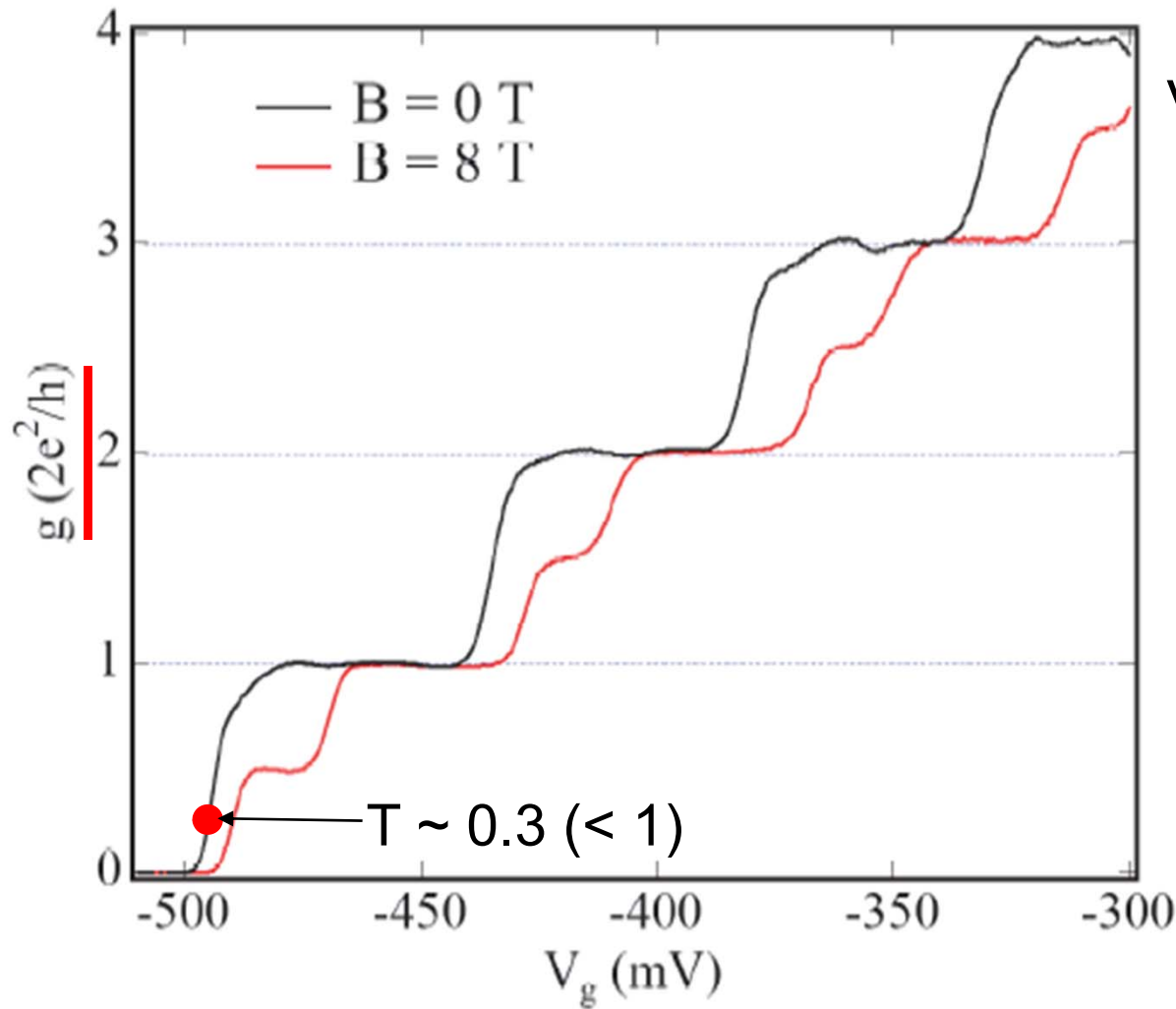


$$I = e \sum_{n=1}^N \int_{\mu_d}^{\mu_s} dE \frac{1}{2} \frac{2}{\pi} \left(\frac{\partial E_n}{\partial k_x} \right)^{-1} \frac{1}{\hbar} \frac{\partial E_n}{\partial k_x} T_n(E_F)$$

$$G = \frac{2e^2}{h} \sum_{n=1}^N T_n(E_F)$$

$$G = \frac{2e^2}{h} N,$$

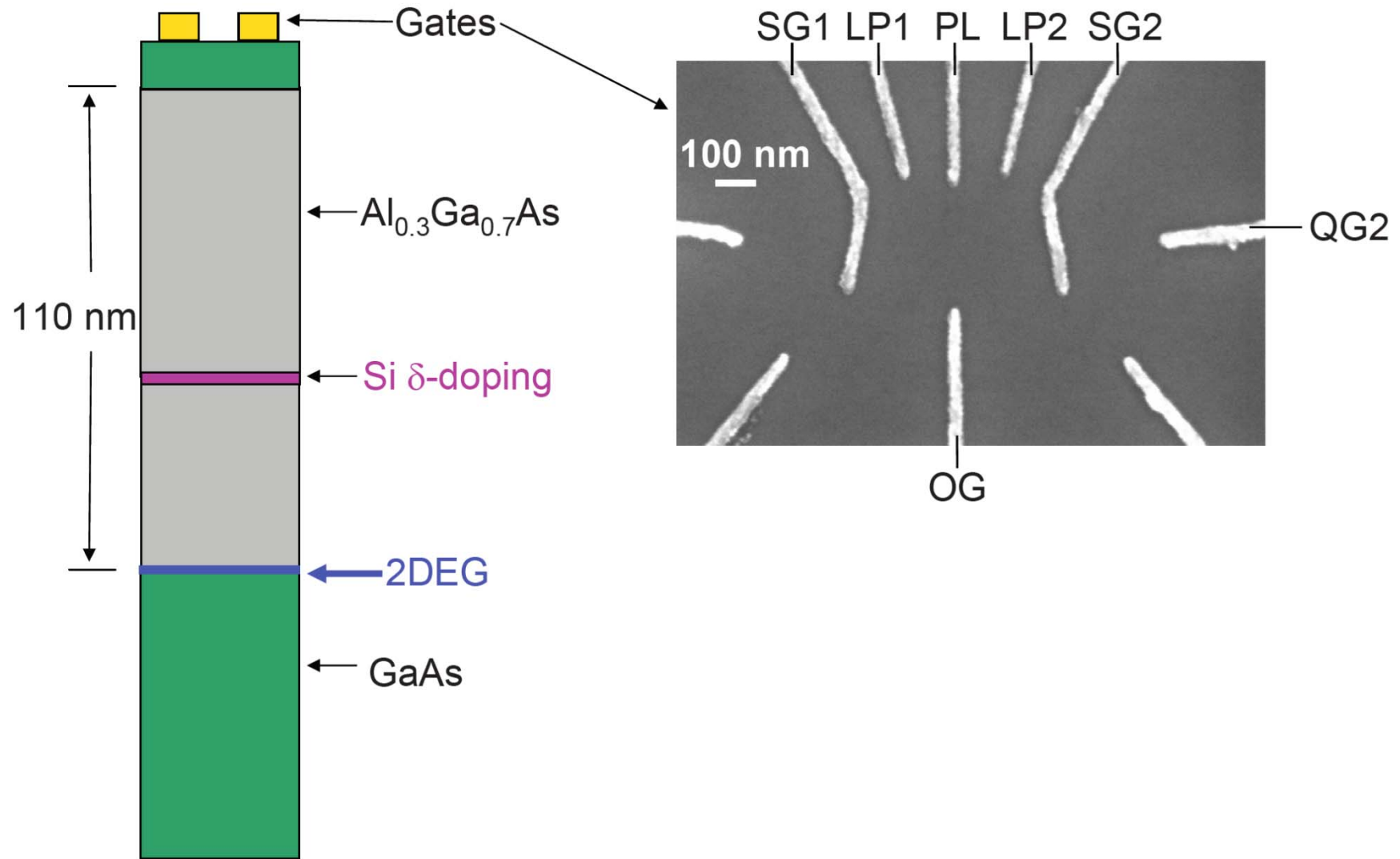
Conductance Quantization in 1D (QPC)



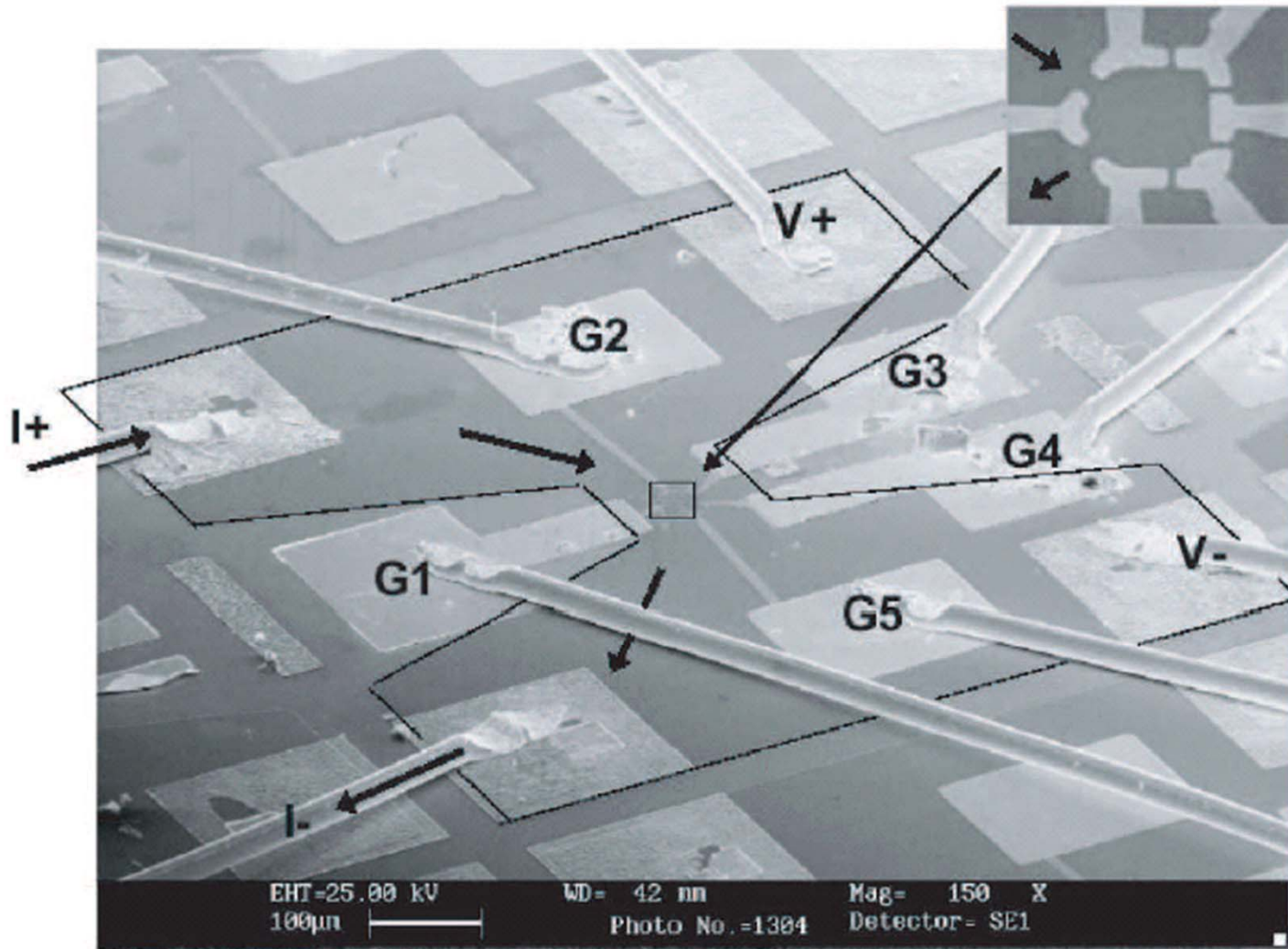
$$G = \frac{2e^2}{h} N,$$

factor 2: spin degeneracy, $E_Z = g \mu_B B = 25 \mu\text{eV/T}$ B with $|g| = 0.44$ GaAs

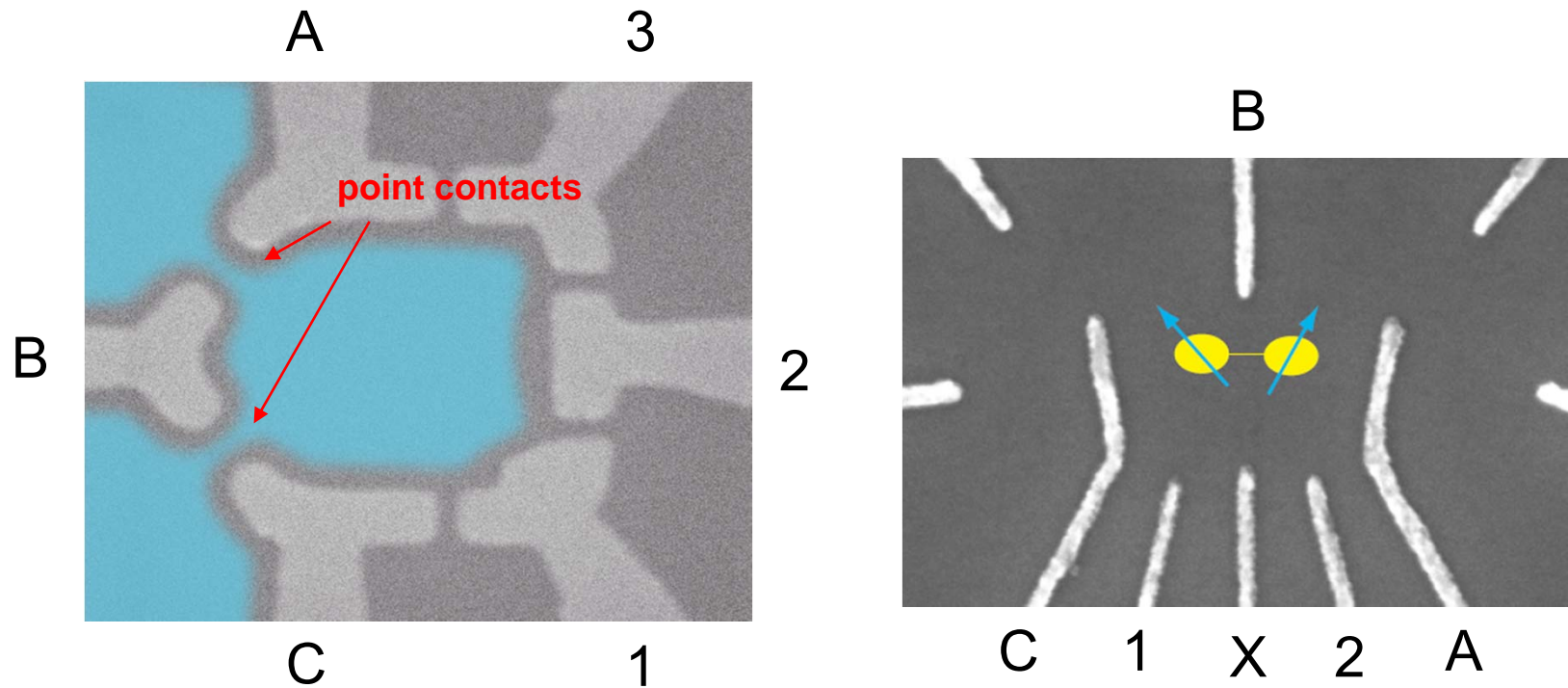
Forming a Quantum Dot



Device Integration



gate defined dots



A,B,C : control quantum point contacts transmission to reservoirs

1,2,3: control confinement potential / energy levels only

X control dot-internal tunneling rate

Quantum Dot Basics

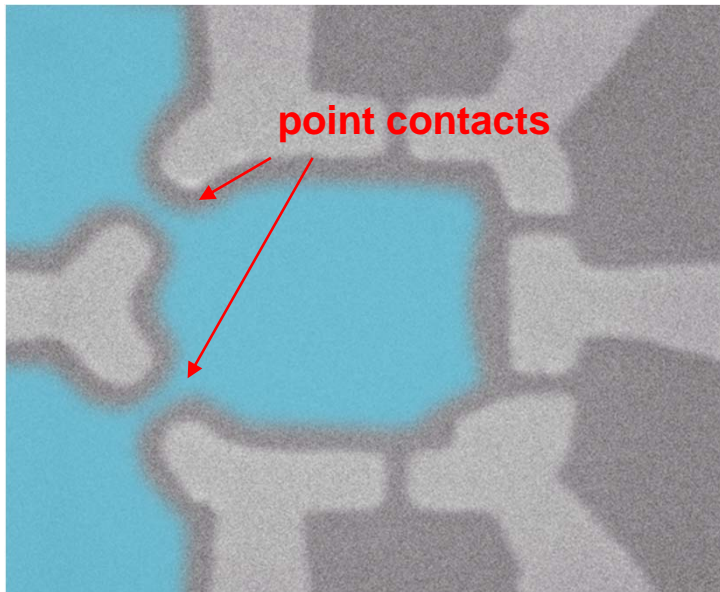
- GaAs 2D electron gas (2DEG)
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 - sequential tunneling Γ
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 - cotunneling assisted sequential tunneling
- singlet & triplet states,
exchange splitting $J = E_T - E_S < \Delta$ (interactions)
- Pauli Spin blockade

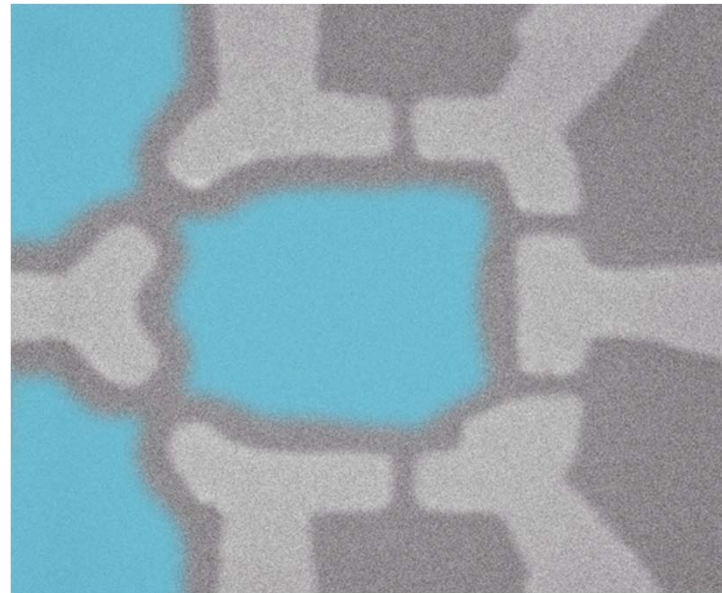
Open vs. Closed

Open Dot



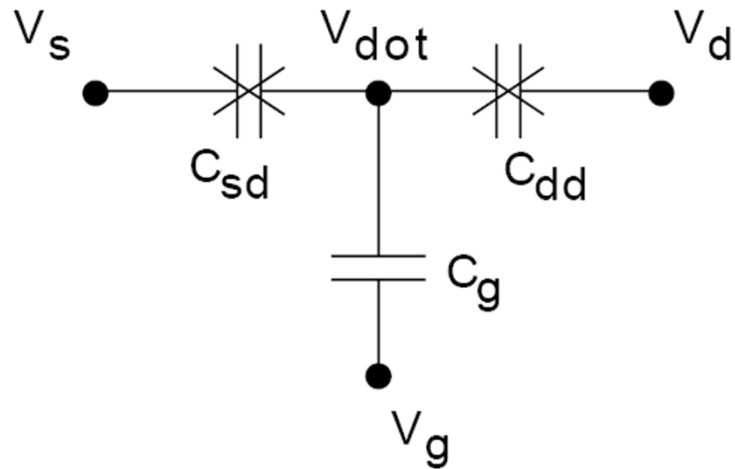
- V_{gate} set to allow $\geq 2e^2/h$ conductance through each point contact
- Dot is well-connected to reservoirs
- Transport measurements exhibit CF and Weak Localization

Closed Dot



- V_{gate} set to require tunnelling across point contacts
- Dot is isolated from reservoirs, contains discrete energy levels
- Transport measurements exhibit Coulomb Blockade

Electrostatic Energy



apply voltages

what is potential on dot?

voltage divider...

$$C_{\Sigma} = C_{sd} + C_{dd} + C_{g1} + C_{g2} + \dots$$

$$\alpha_i = \frac{C_i}{C_{\Sigma}}$$

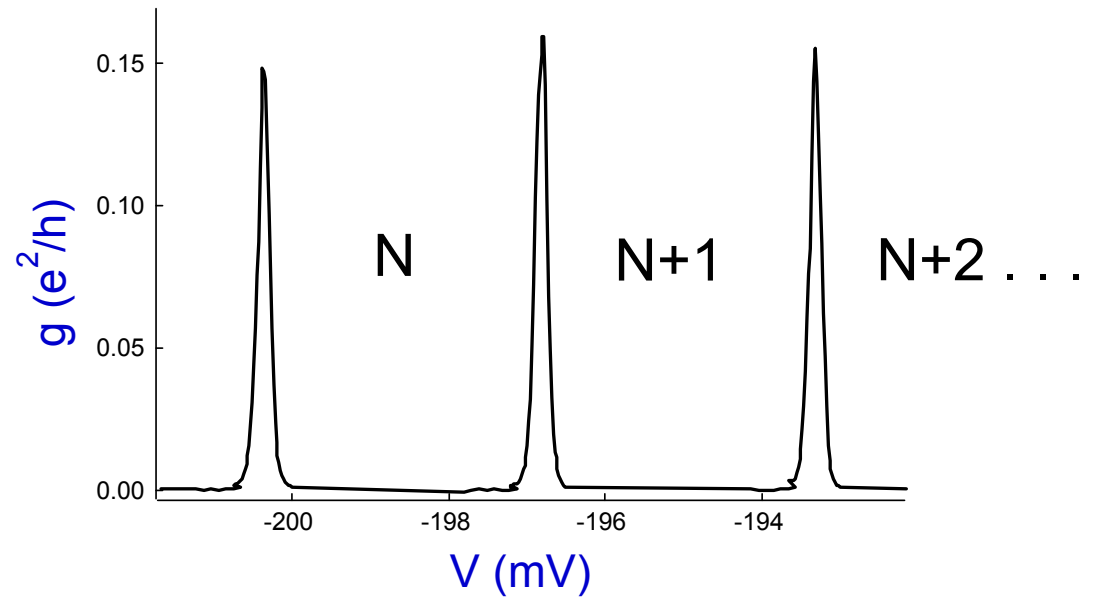
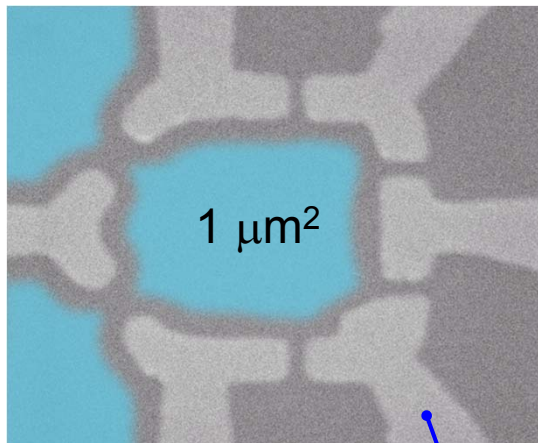
$$V_{dot} = \sum_i \alpha_i V_i$$

can use V_g to shift dot energy!!

Coulomb Blockade in Closed Dots (SET)

Finite energy $E_c = e^2/C_{\text{dot}}$ is needed to add an additional electron to the dot.
When $kT \ll E_c$ charging blocks conduction in valleys.

Coulomb blockade peaks:
resonant transport through dot levels



Charging Energy

capacitance of dot to world = C

$$C = \epsilon_0 \epsilon \frac{A}{d}$$

total energy U stored in C

$$U = \int_0^Q V dq = \int_0^Q \frac{q}{C} dq = \frac{1}{2} \frac{Q^2}{C}$$

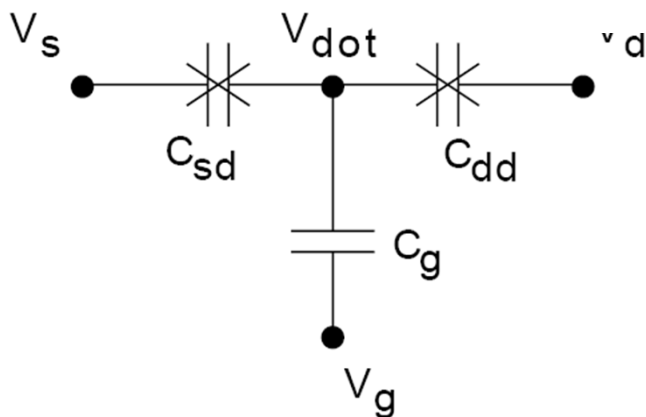
Incremental E to add one electron

charging energy

$$E_C = \frac{e^2}{C_\Sigma}$$

can range from
~0 to many meV

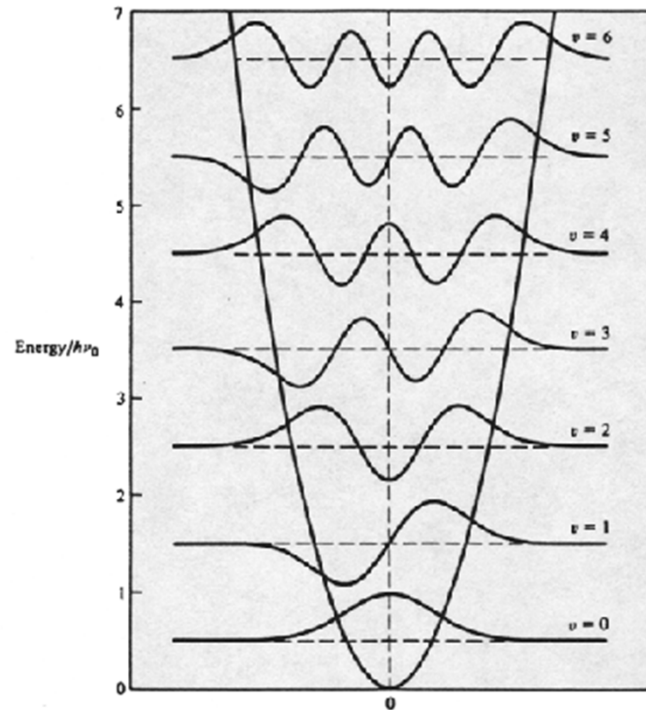
$$C_\Sigma \gtrsim 10 \text{ aF}$$



Classical Effect, NOT quantum

Confinement Energy

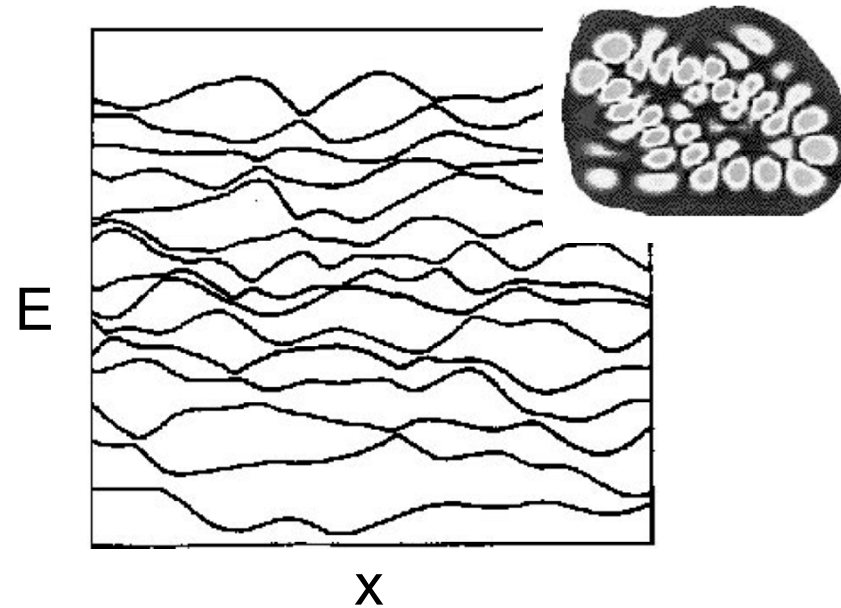
harmonic potential



$$E_n = \left[n + \frac{1}{2} \right] \hbar\omega$$

μeV to meV

complicated potential



average level spacing

$$\Delta = \frac{2\pi\hbar^2}{m^*A}$$

quantum mechanical effect!!

Quantum Dot Basics

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conductance quantization in QPCs
- Coulomb blockade and charging energy $E_C = e^2/C$
quantum confinement energy Δ

- Constant interaction model and
Coulomb diamonds

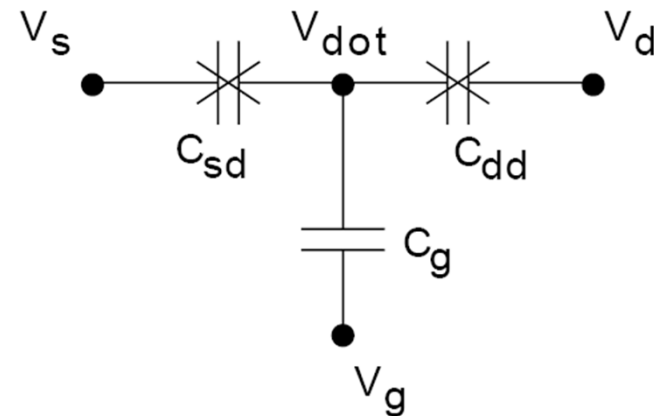
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- Pauli Spin blockade

Capacitor Model

$$E(N) = [Q_{tot}]^2 / (2C_{\Sigma}) + \sum_{k=1}^N \epsilon_k \quad \text{total dot energy}$$

$$E(N) = \left[e(N - N_0) - \sum_{k=1}^N C_k V_k \right]^2 / (2C_{\Sigma}) + \sum_{k=1}^N \epsilon_k$$

↑
offset charge



Constant Interaction Model

$$E_i = \sum_{k=1}^N q_k \phi_k$$

$$q_k = -e$$

ϕ_k : interaction of electron k with rest
constant interaction: model ϕ_k with C_Σ

$$\phi_k = -(k-1)e/C_\Sigma$$

$$\begin{aligned} E_i &= \frac{e^2}{C_\Sigma} \sum_{k=1}^N (k-1) \\ &= \frac{N(N-1)e^2}{2C_\Sigma} \end{aligned}$$

$$E(N) = E_{QM} + E_i + E_e \quad \text{total dot energy}$$

$$= \sum_{n=1}^N \epsilon_n + \frac{N(N-1)e^2}{2C_\Sigma} - Ne \sum_{i=1}^6 \alpha_i V_i$$

Chemical Potential / Addition Energy

$$\mu_{\text{dot}}(N) \equiv E(N) - E(N - 1)$$

energy at which an electron
can be added

$\mu=0$: change N current flows

constant interaction model:

$$\mu_{\text{dot}}(N) = \epsilon_N + (N - 1) \frac{e^2}{C} - e \sum_i \alpha_i V_i$$

addition energy

$$(\mu_{\text{dot}}(N + 1) - \mu_{\text{dot}}(N))|_{\text{fixed } V_i}$$

Temperature Regimes

$$\Delta, \frac{e^2}{C} \ll kT$$

no charging effects, no Coulomb blockade

$$g_{\infty} = \left(\frac{1}{g_L} + \frac{1}{g_R} \right)^{-1}$$

$$\Gamma, \Delta \ll kT \ll \frac{e^2}{C}$$

classical Coulomb blockade (metallic CB)

temperature broadened

transport through several quantum dot energy levels

$$g \sim \frac{g_{\infty}}{2} \cosh^{-2} \left(\frac{\epsilon}{2.5kT} \right)$$

peak conductance independent of T

FWHM $\sim 4.35kT$

$$\Gamma = \Gamma_L + \Gamma_R$$

escape broadening (tunneling rates)

Temperature Regimes

$$\Gamma \ll kT \ll \Delta \ll \frac{e^2}{C}$$

quantum Coulomb blockade
temperature broadened regime
resonant tunneling
transport through only one dot level

$$g \sim \frac{e^2}{h} \frac{\gamma}{4kT} \cosh^{-2} \left(\frac{\epsilon}{2kT} \right)$$

peak conductance $1/T$
FWHM $\sim 3.5kT$

$$\gamma = \frac{\Gamma_L \Gamma_R}{\Gamma_L + \Gamma_R}$$

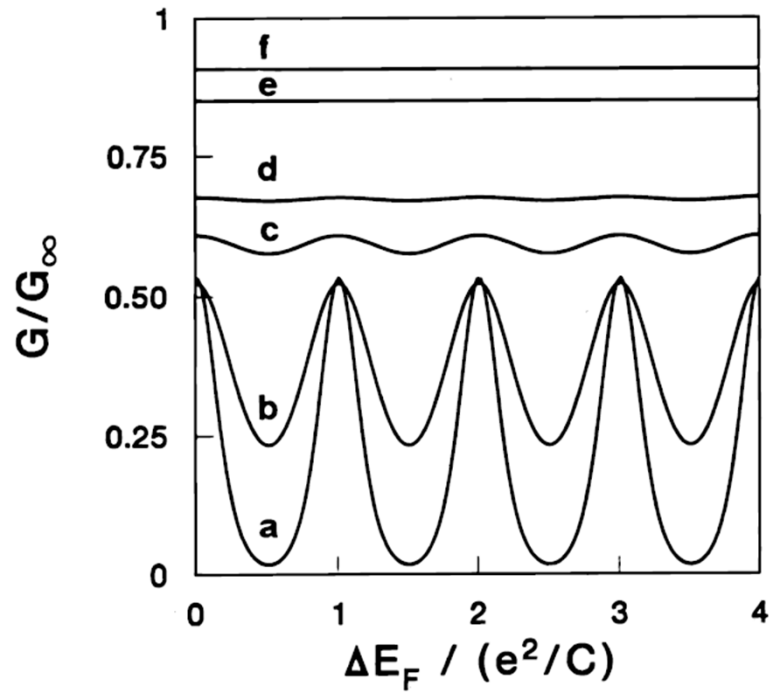
$$kT \ll \Gamma, \Delta \ll \frac{e^2}{C}$$

quantum Coulomb blockade
lifetime broadened regime
transport through only one dot level

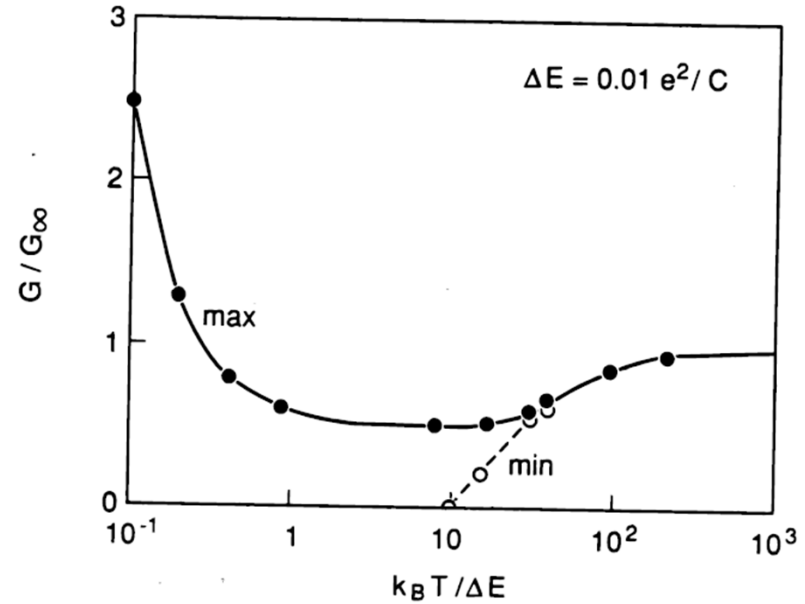
$$g_{BW} \sim \frac{e^2}{h} \frac{\gamma \Gamma}{(\epsilon/\hbar)^2 + (\Gamma/2)^2}$$

peak conductance e^2/h indep. of T
FWHM $\sim \Gamma$

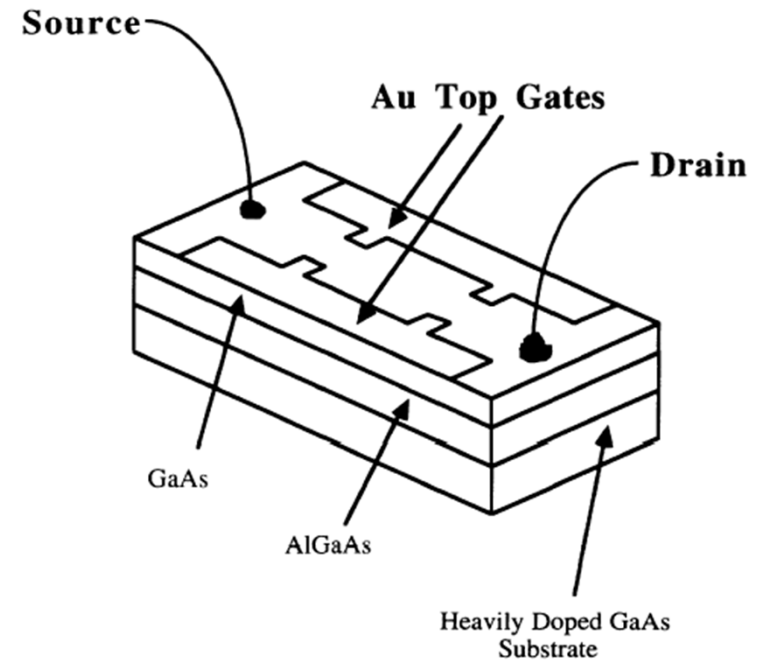
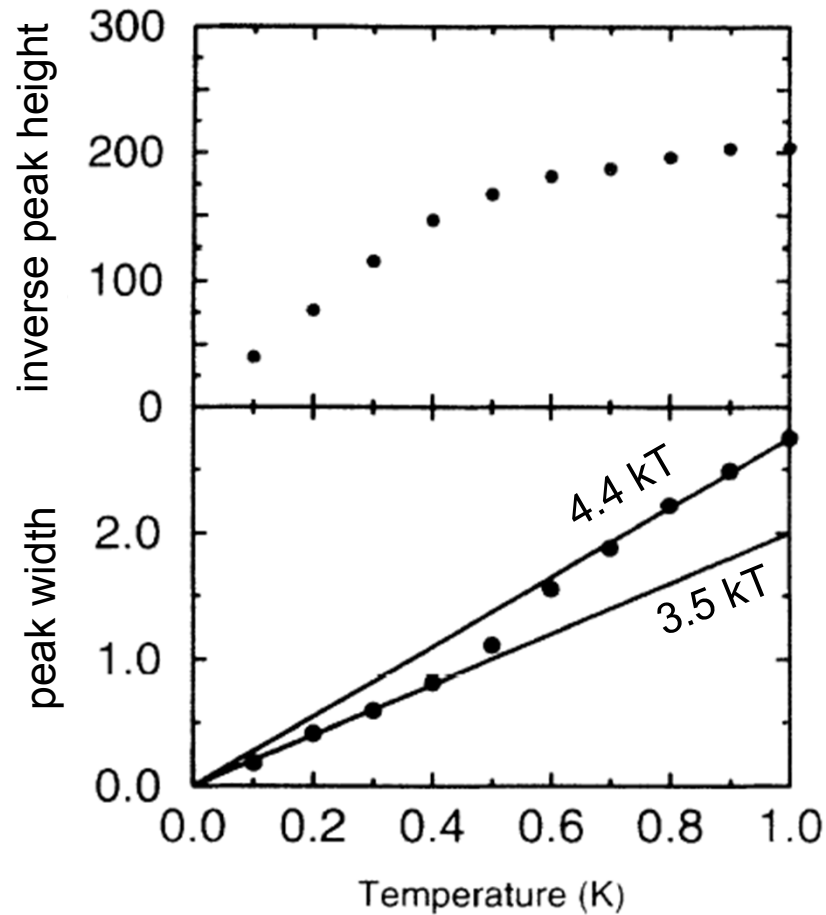
Temperature Dependence: Theory



$\Delta = 0.01 e^2/C$
 kT / e^2C
a 0.075
b 0.15
c 0.3
d 0.4
e 1
f 2



Temperature Dependence: Experiment



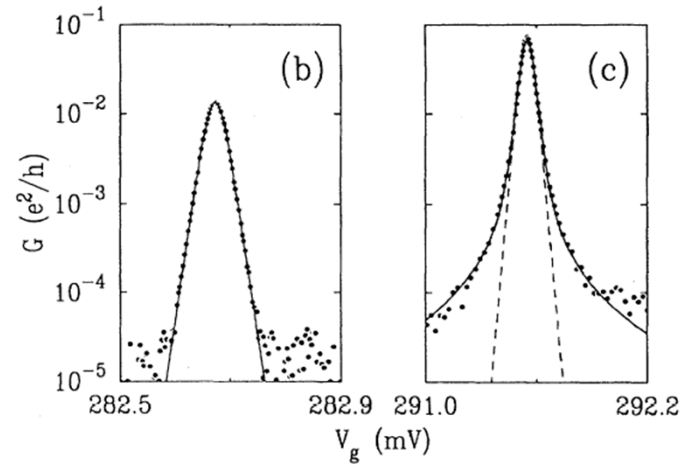
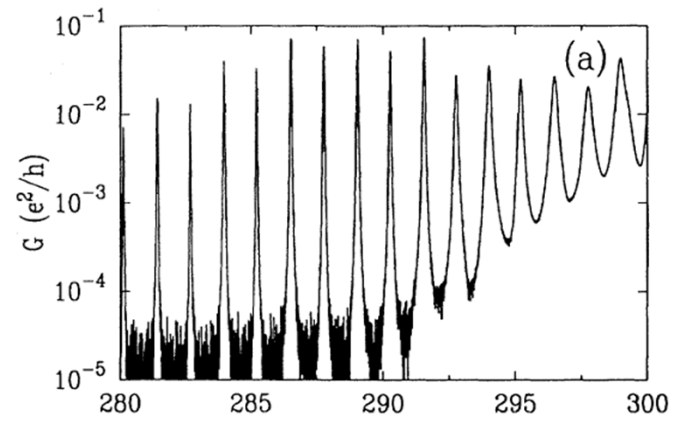
crossover 3.5 to 4.3kT peak width

peak g

$1/T$ dependence: quantum regime

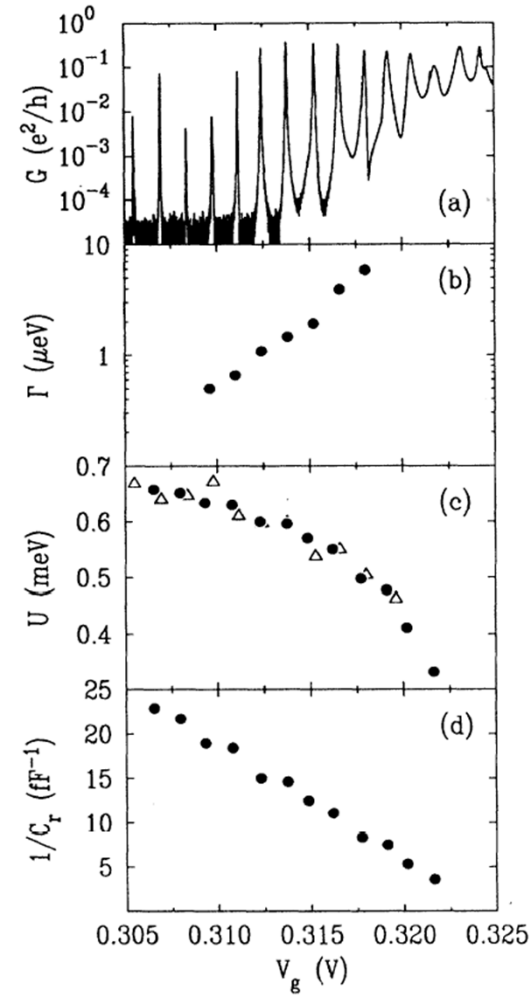
T independent: classical regime

Line Shapes: Experiments



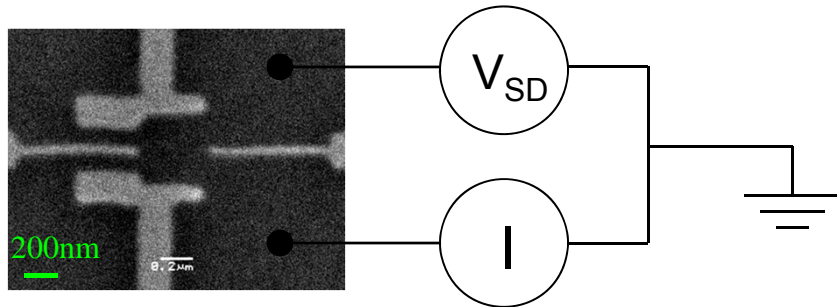
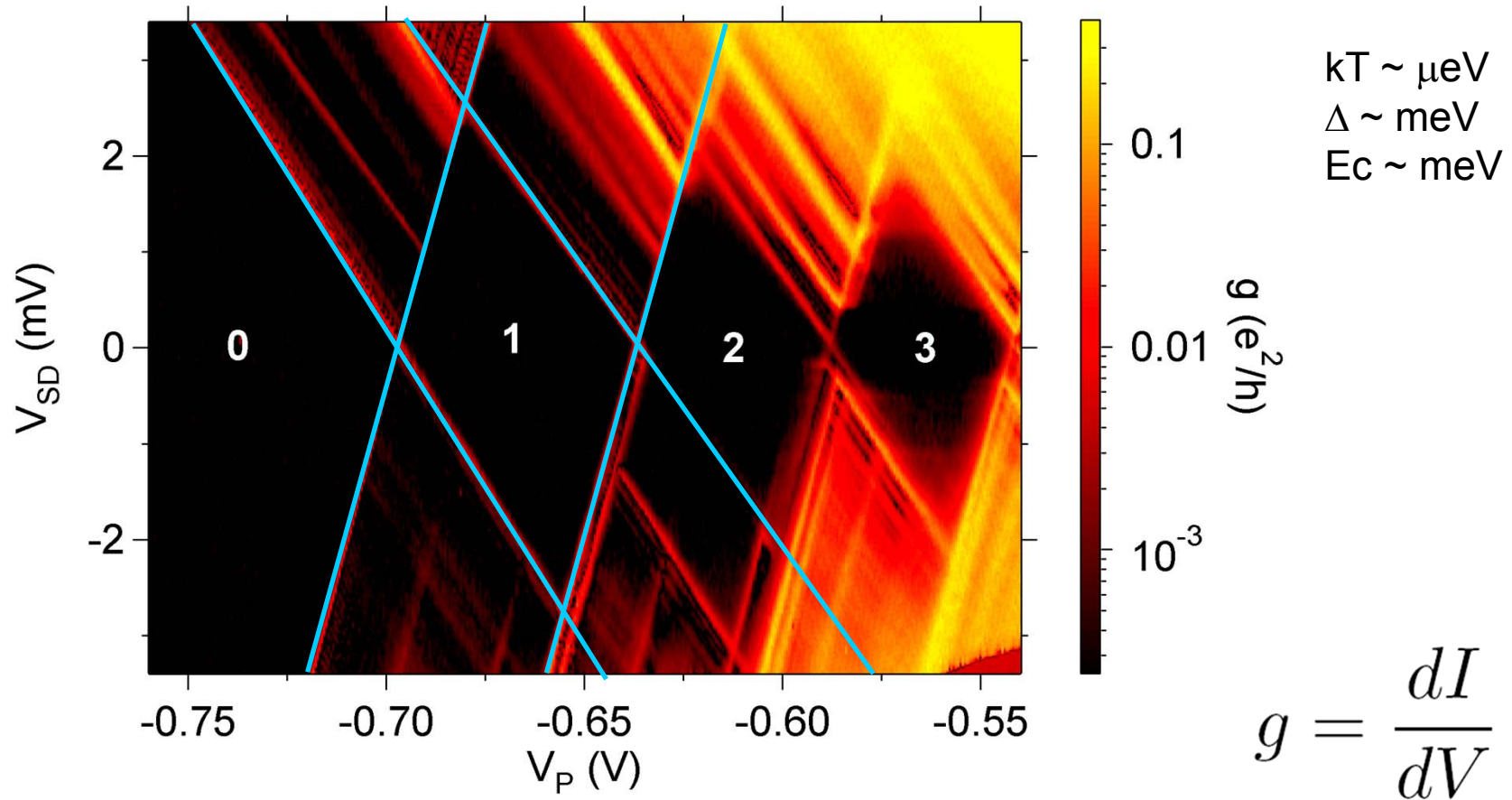
T-broadened

lifetime
broadened



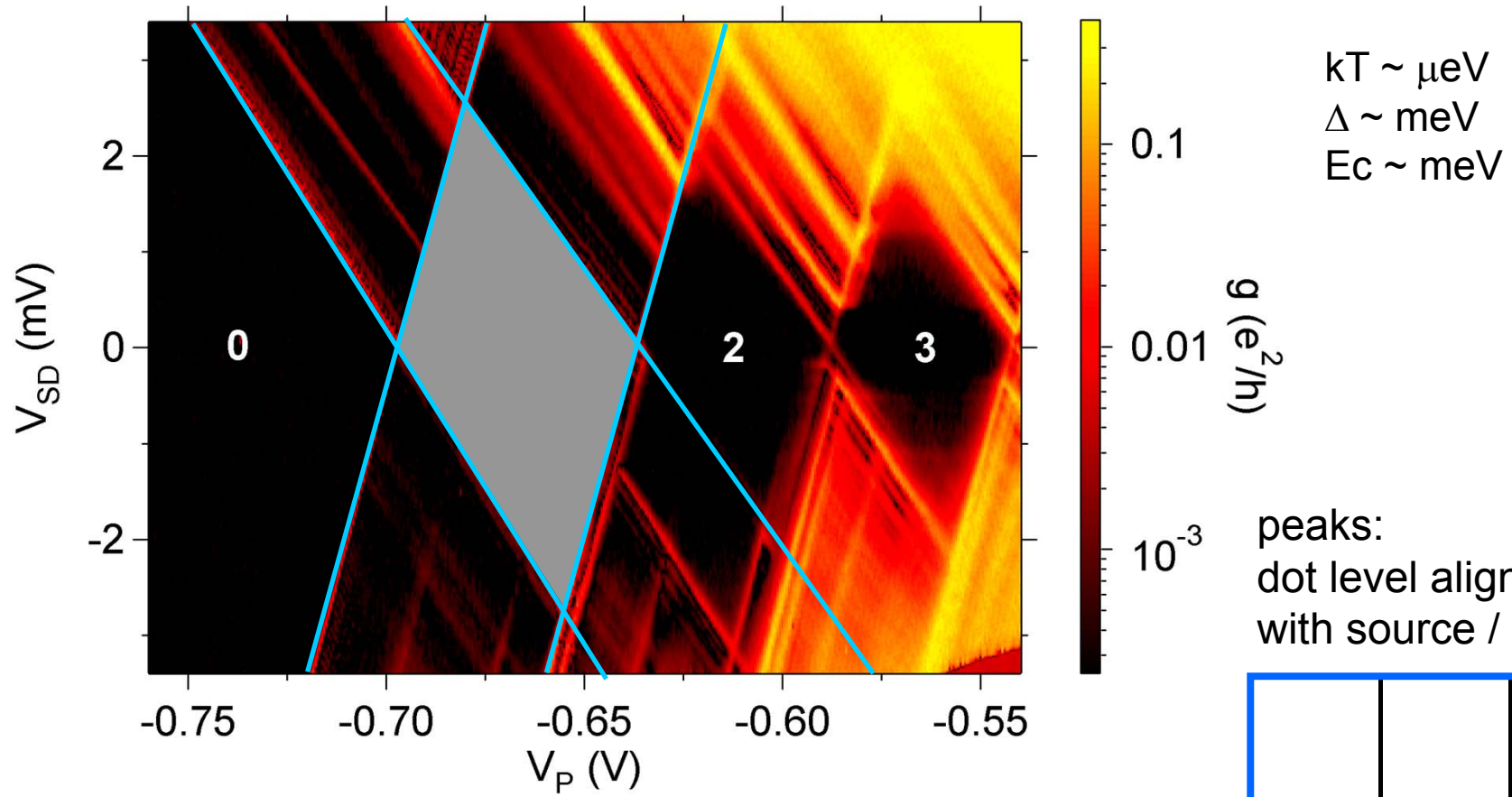
Foxman et al., PRB47, 10020 (1993)

Coulomb Diamonds

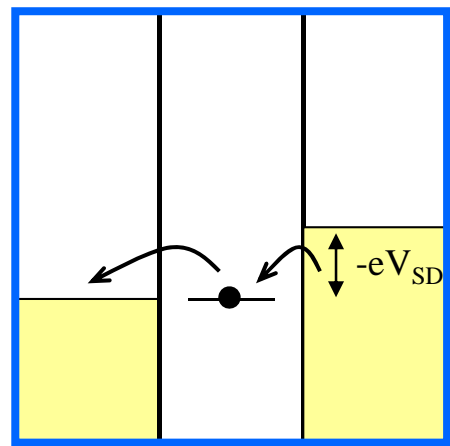
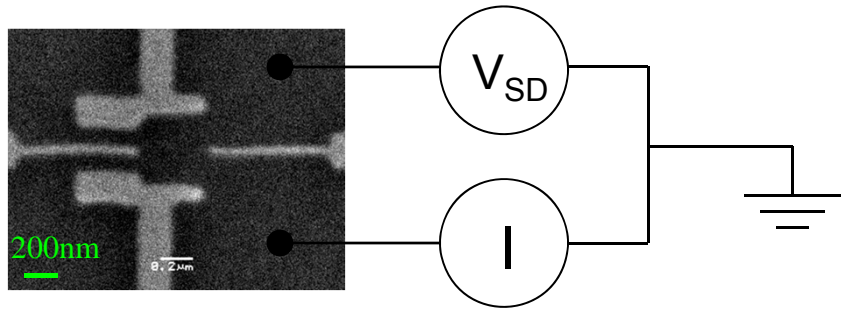


differential conductance:
peaks when current through
dot is changing

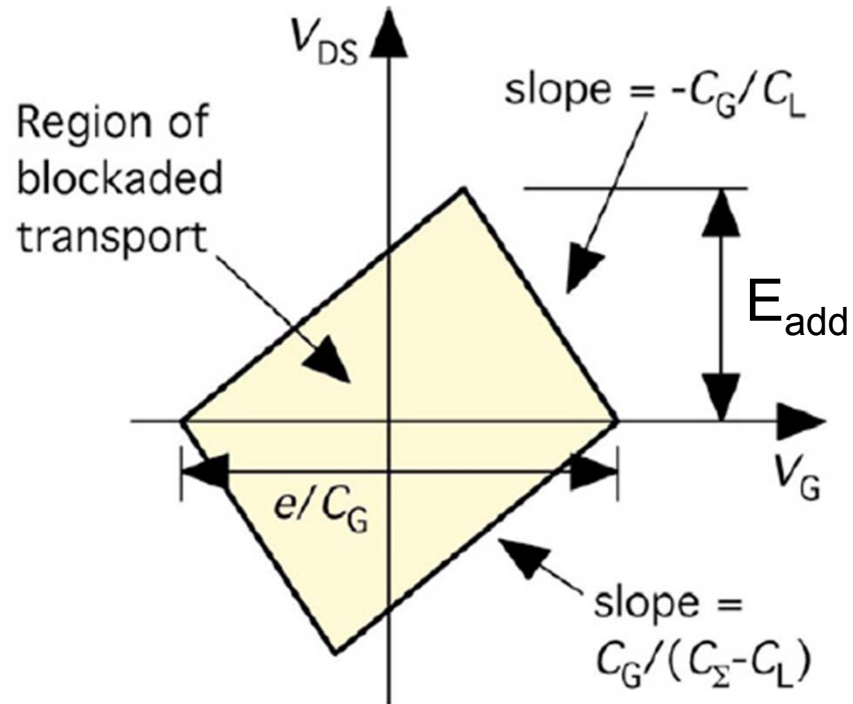
Coulomb Diamonds



peaks:
dot level aligned
with source / drain



Coulomb Diamond Slopes



two slopes, each associated with its respective dot-lead capacitance

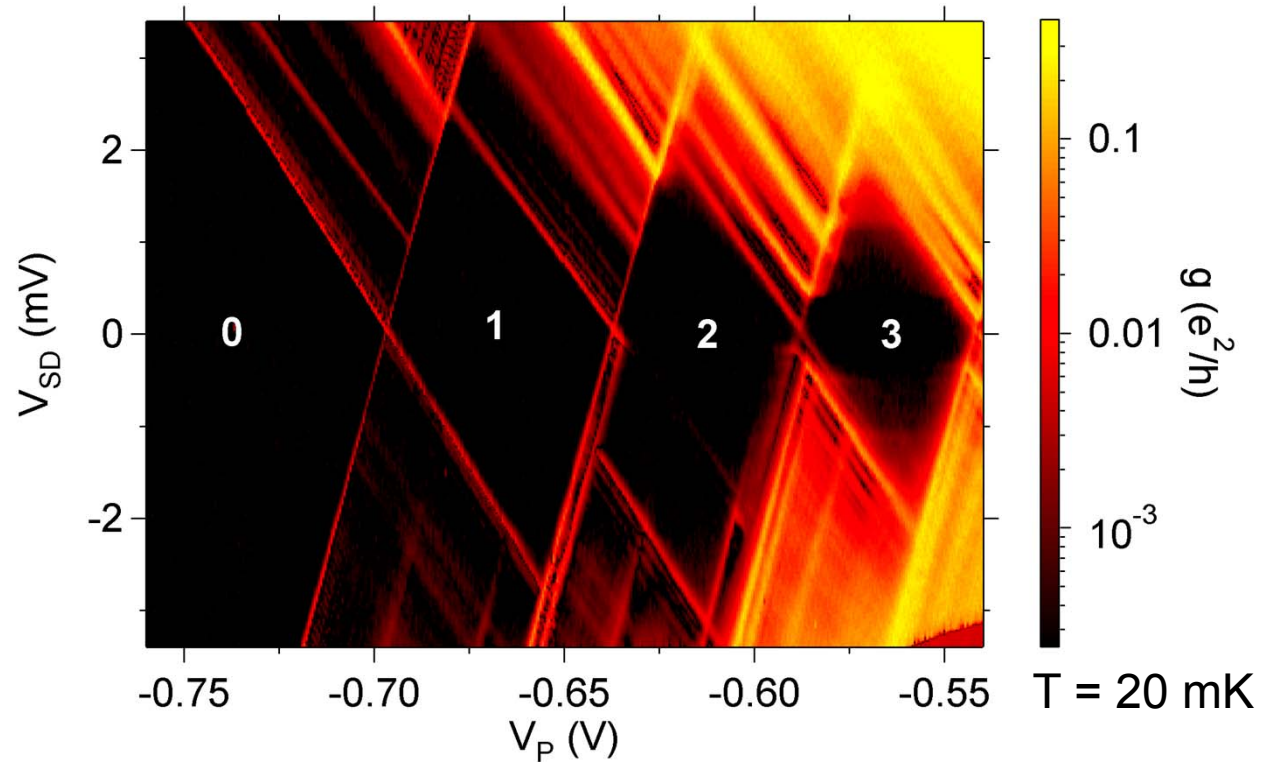
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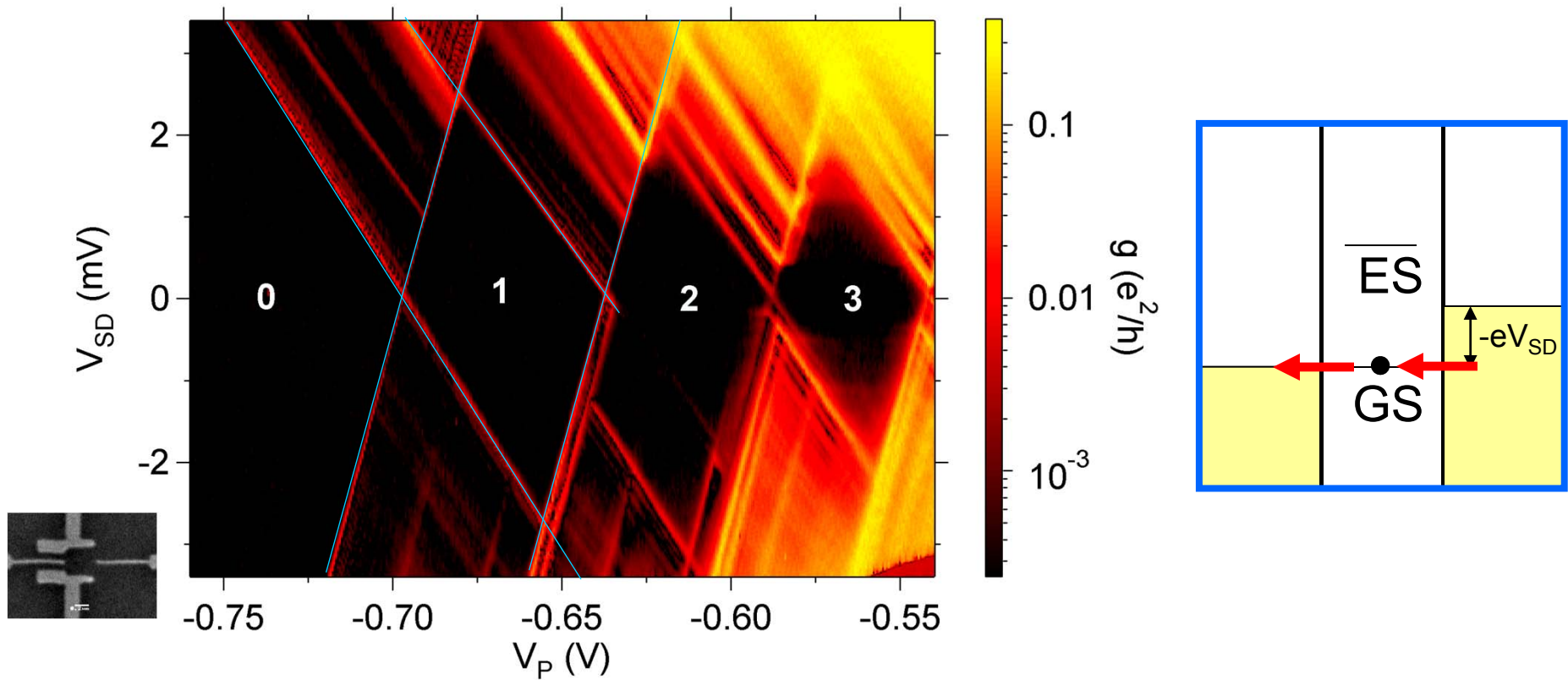
Fundamental Quantum Dot Transport Mechanisms



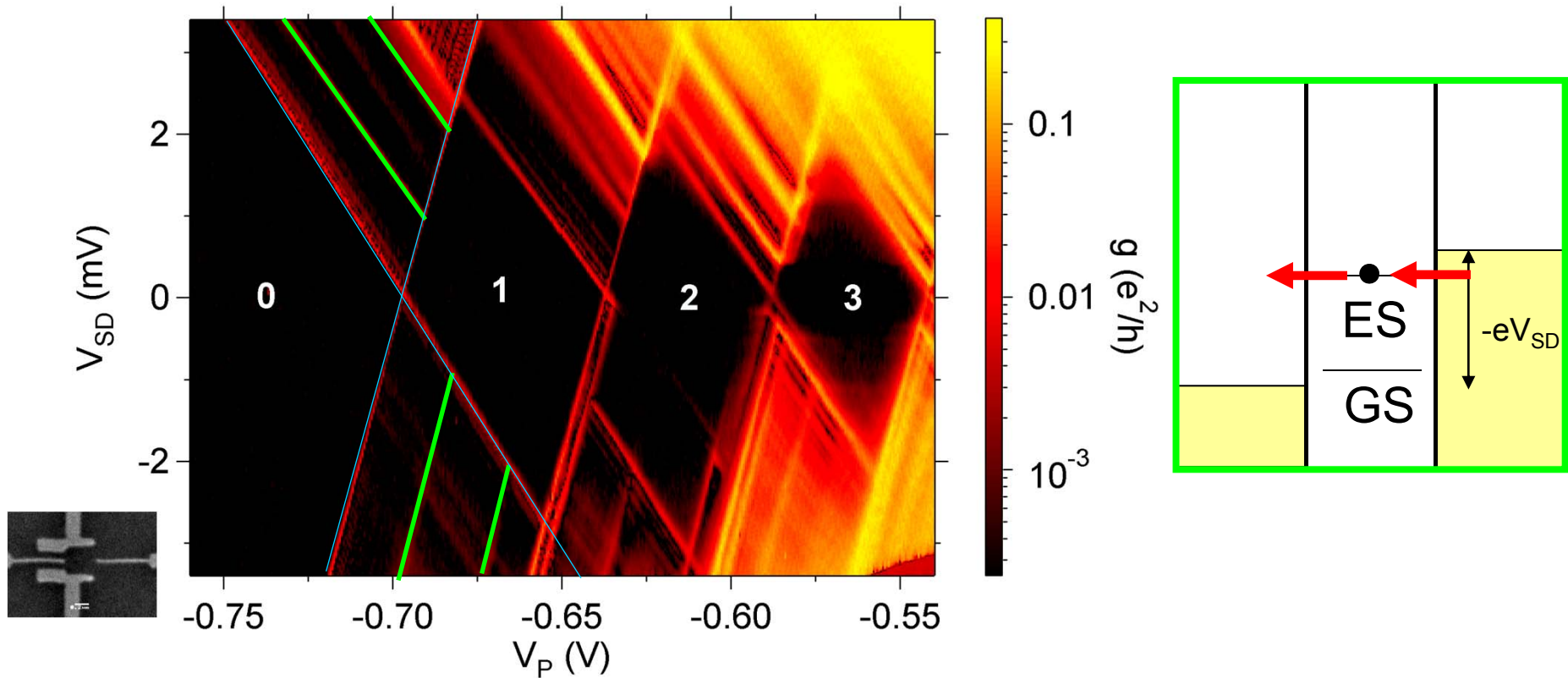
electron transport

- sequential tunneling
- cotunneling - elastic
 - inelastic
- cotunneling assisted sequential tunneling (CAST)

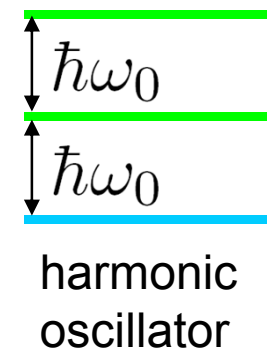
Ground State Sequential Tunneling Transport



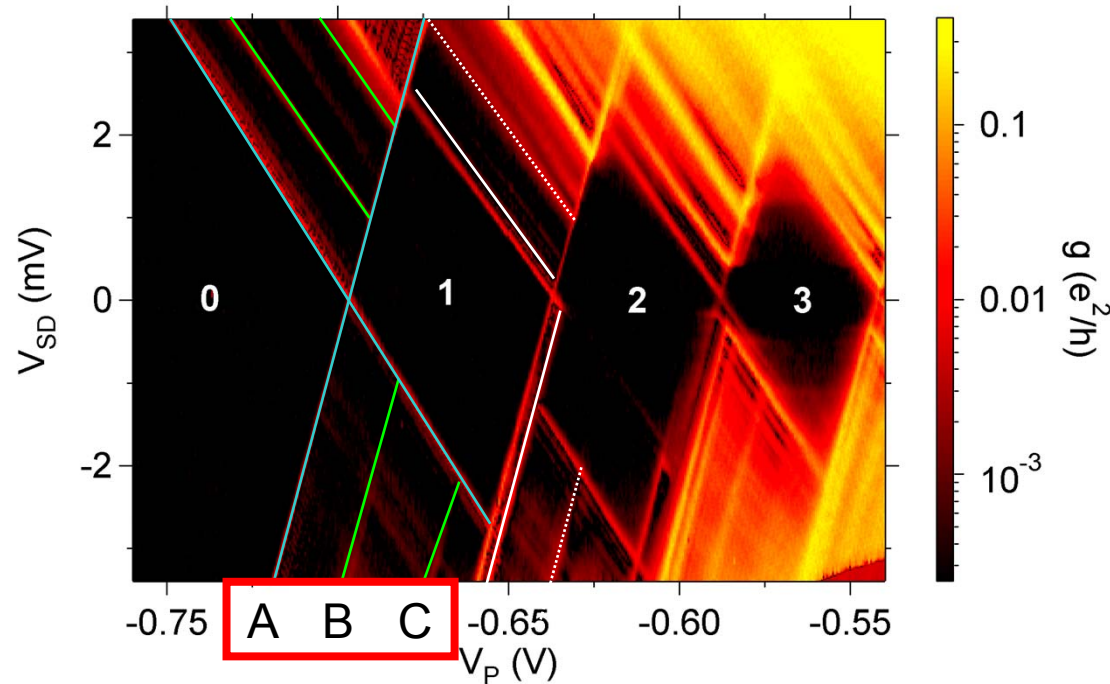
Excited State Sequential Tunneling Transport



one electron states



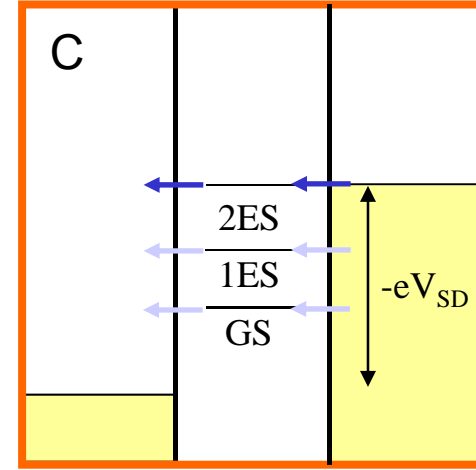
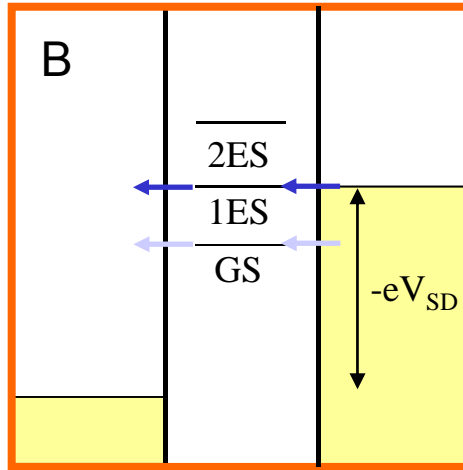
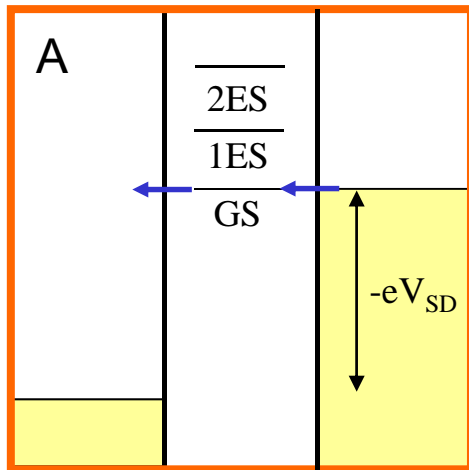
Sequential Tunneling Transport



lab to investigate
quantum levels
in device!!

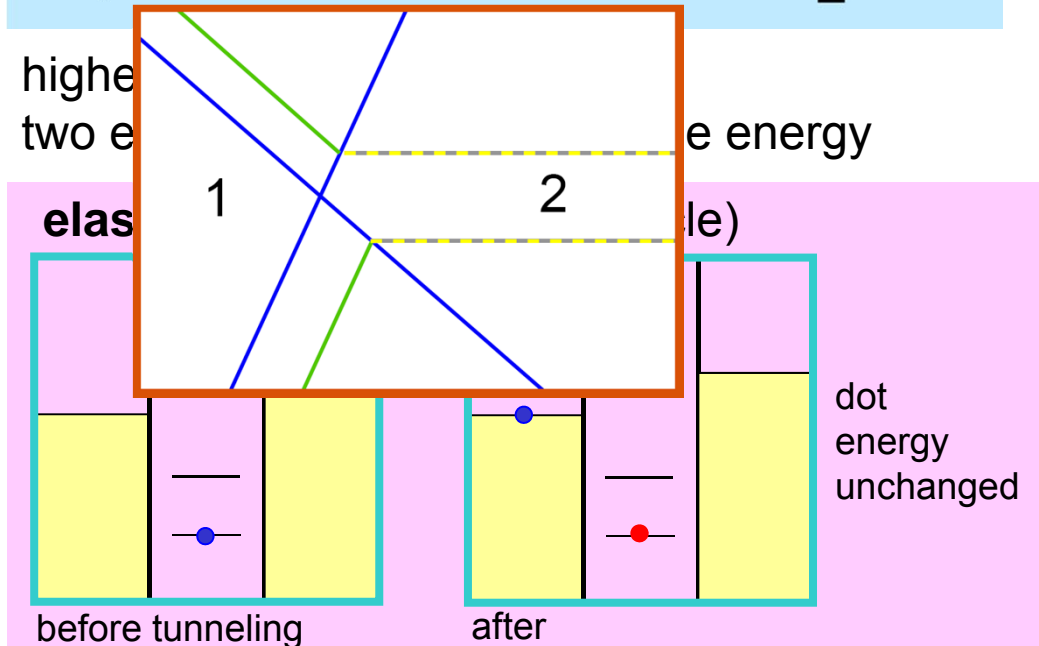
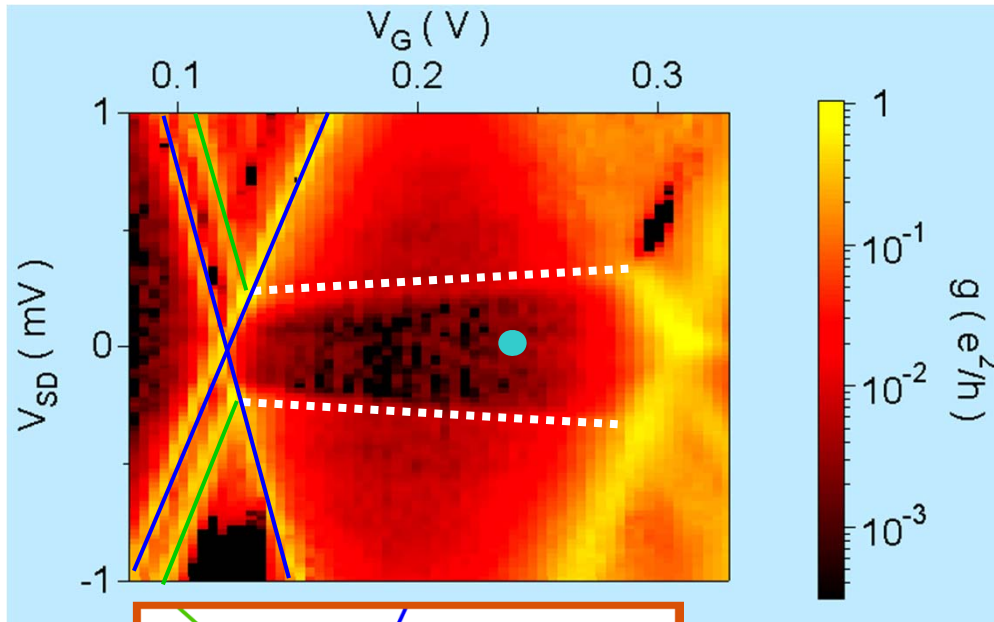
quantum confinement
energies

internal excitations (spin)

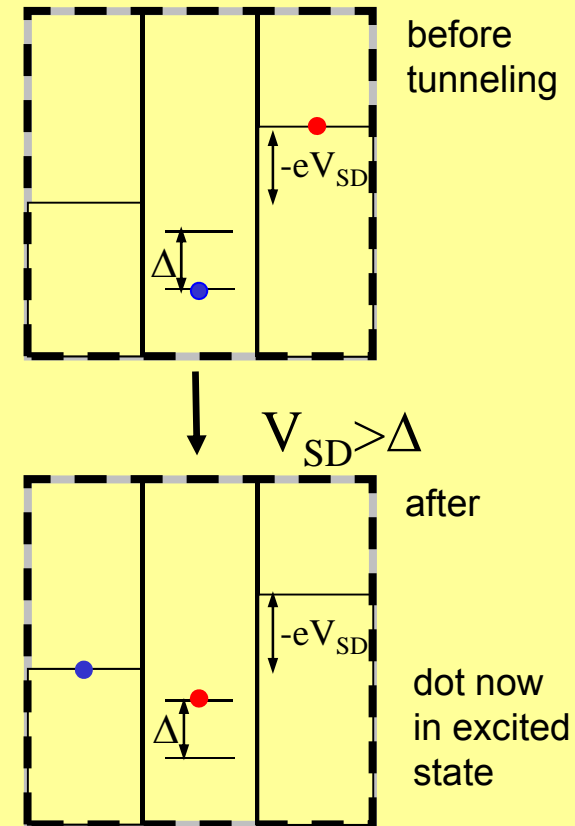


only one excess electron can be on dot (charging energy)

Cotunneling: elastic / inelastic



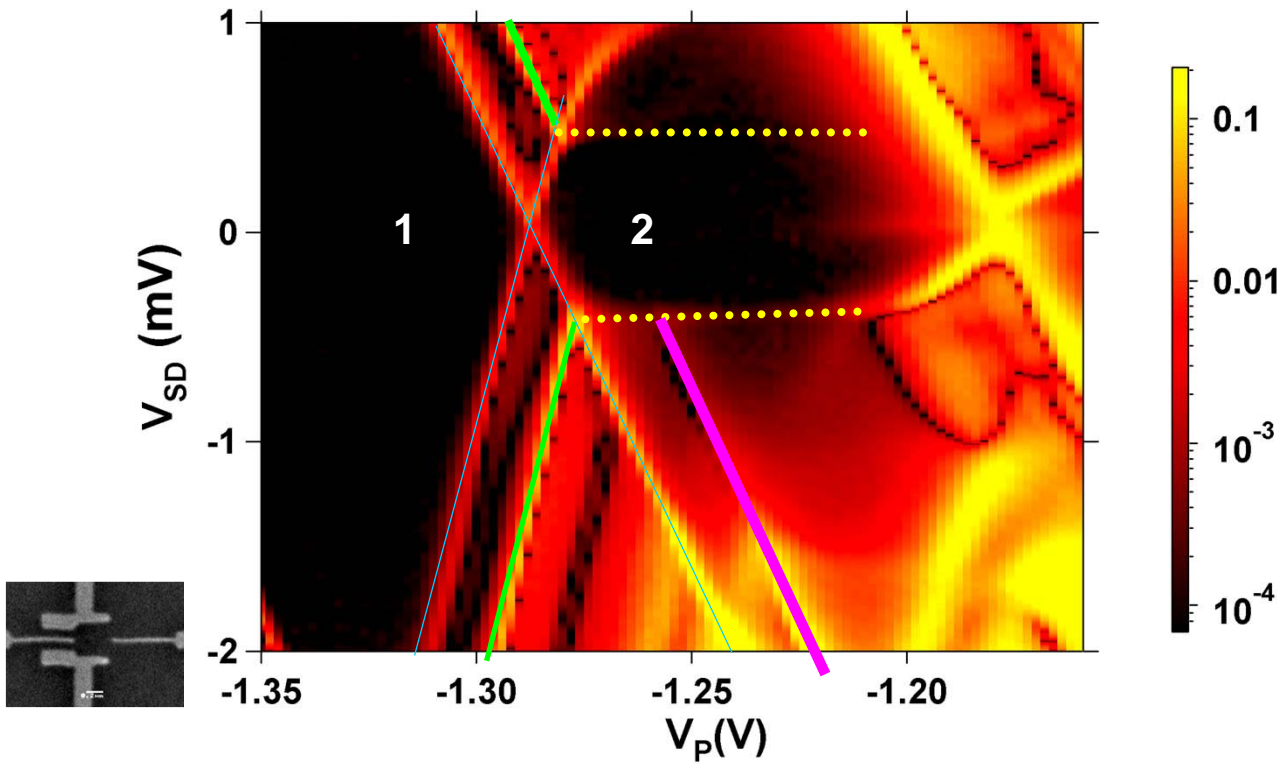
inelastic cotunneling
(white lines)
dot energy changes
only possible for $V_{SD} > D$



Quantum Dot Basics

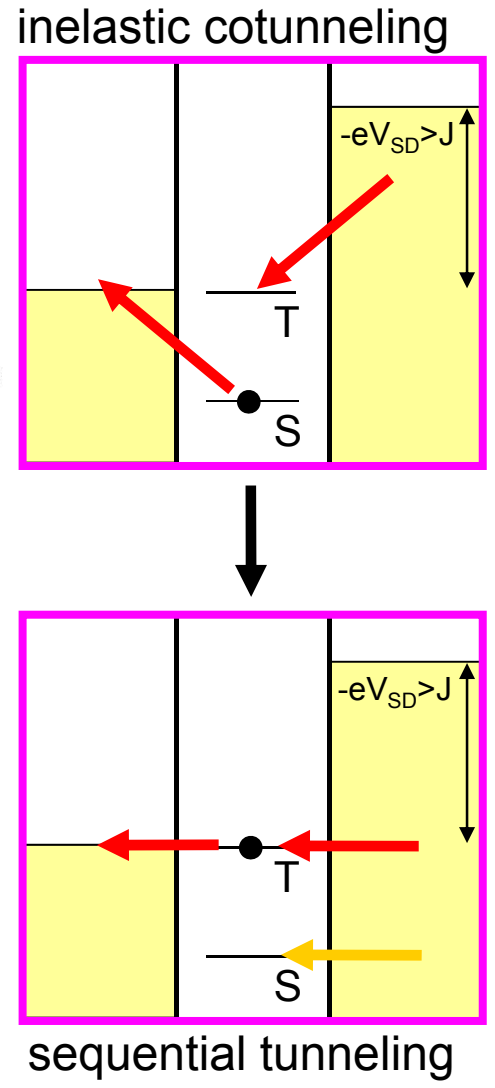
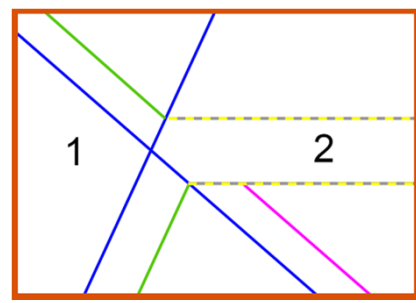
- GaAs 2D electron gas (2DEG)
conductance quantization in QPCs
- Coulomb blockade and charging energy $E_C = e^2/C$
quantum confinement energy Δ
- Constant interaction model and
Coulomb diamonds
- electronic transport via
 - sequential tunneling Γ
 - cotunneling Γ^2 / E_C (elastic / inelastic)
 - cotunneling assisted sequential tunneling
- singlet & triplet states,
exchange splitting $J = E_T - E_S < \Delta$ (interactions)
- Pauli Spin blockade

Additional Line inside Coulomb Diamond

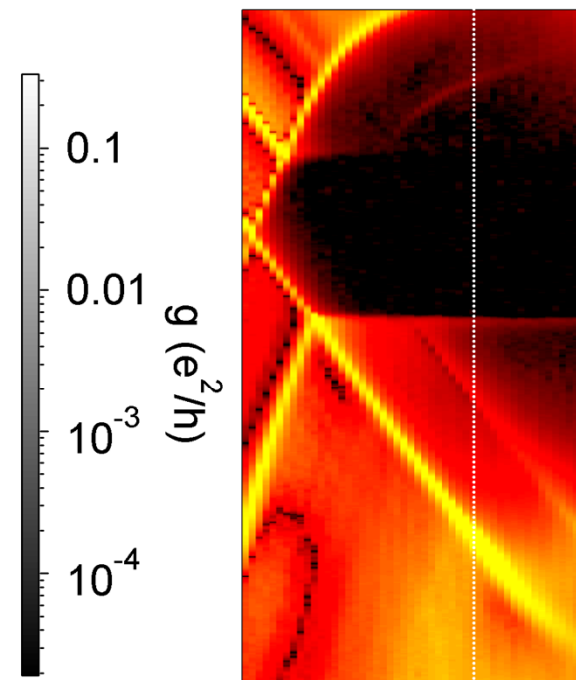
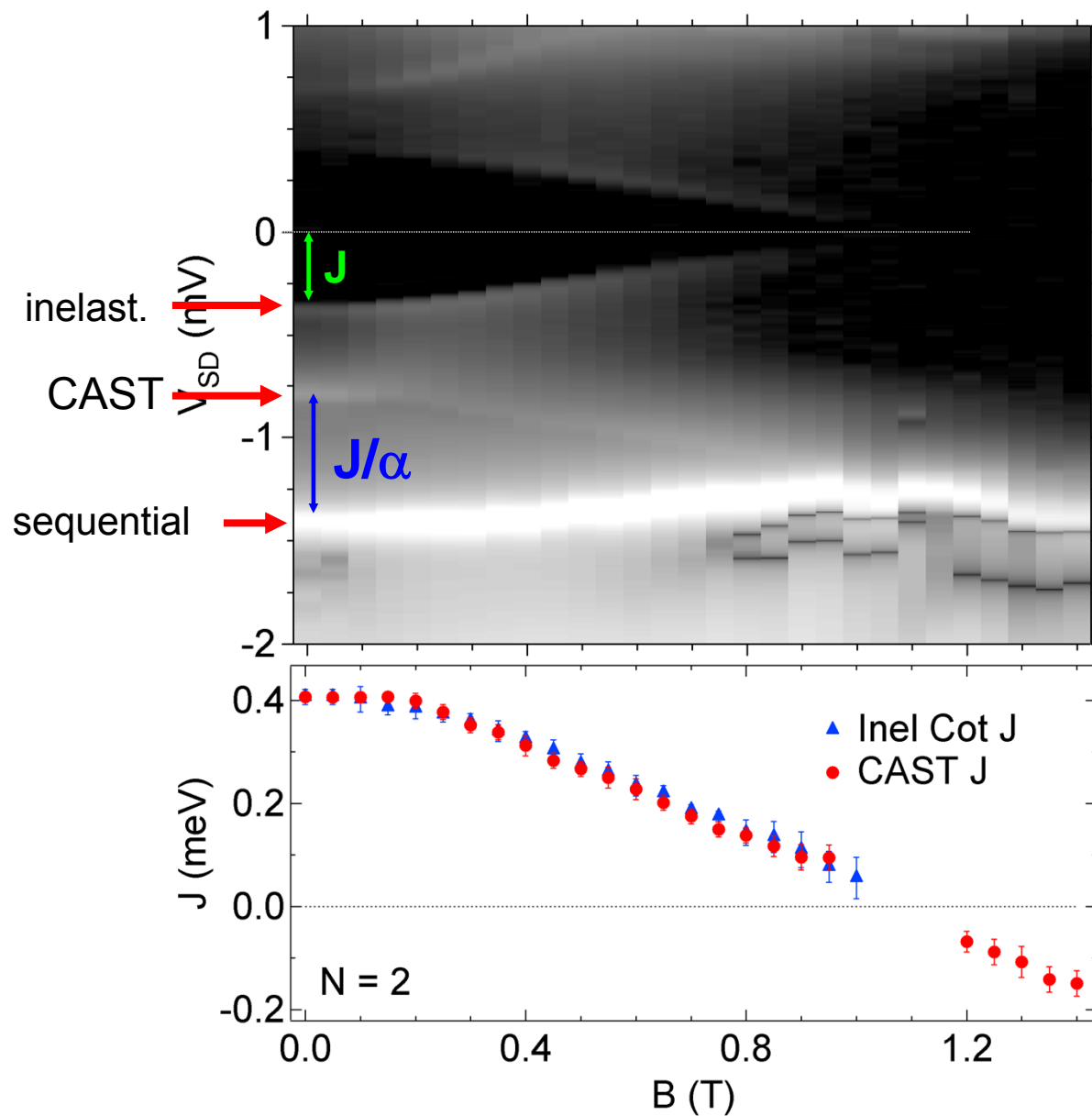


hypothesis:
cotunneling assisted sequential tunneling
 Golovach and Loss, PRB 2004

many electron dot
 Schleser et al., PRL 2005

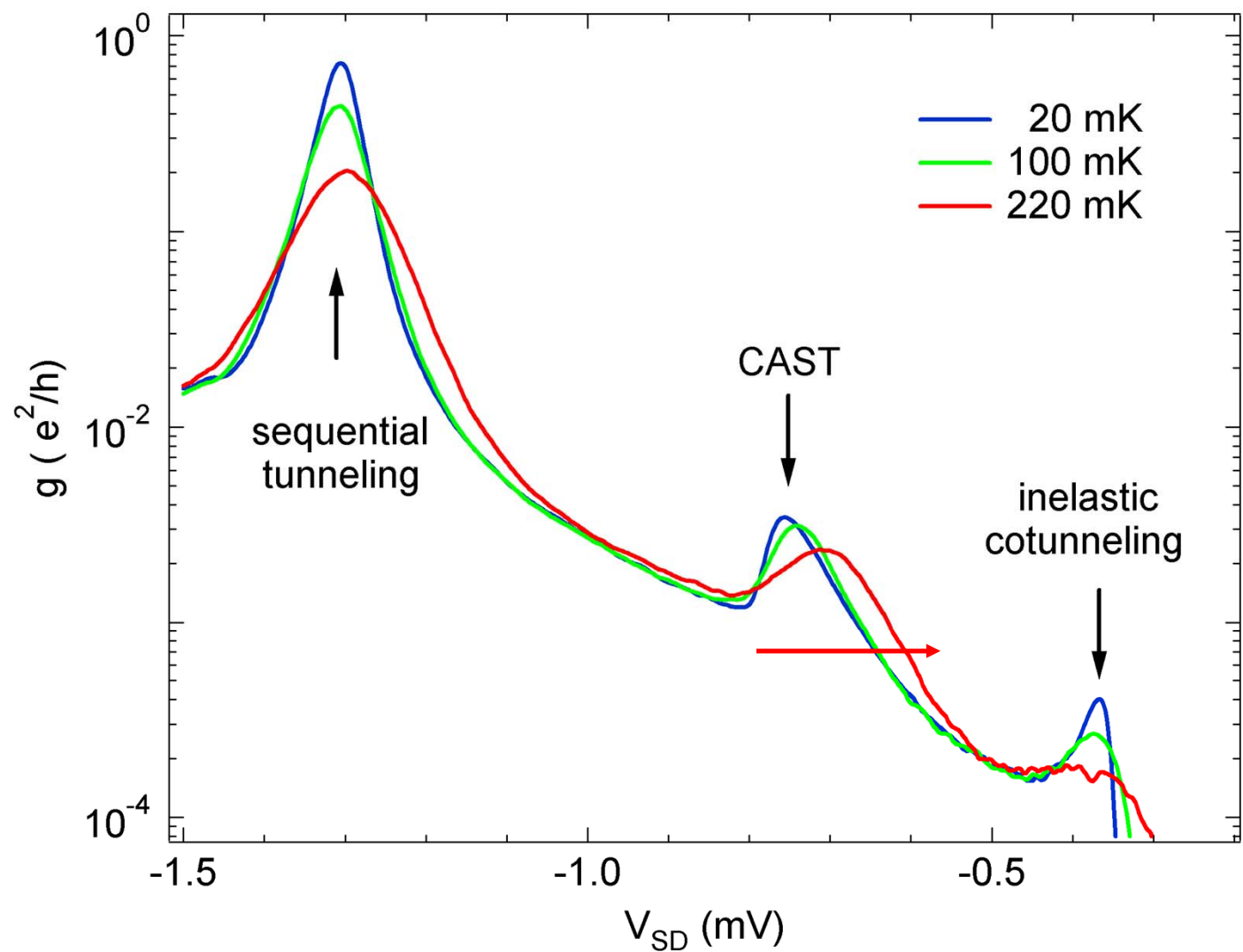


Magnetic Field Dependence

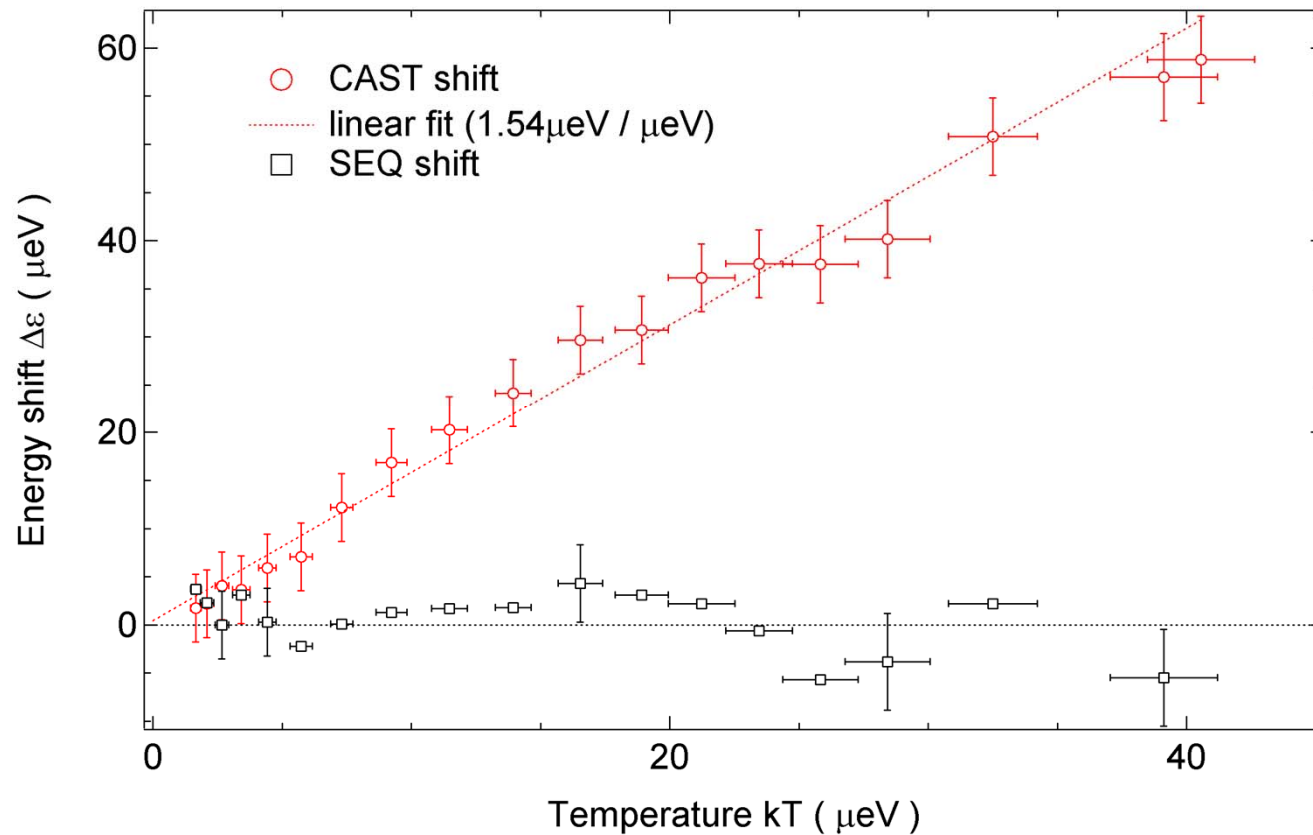


consistent with
CAST explanation

Temperature dependence



Shift of Cotunneling Assisted Peak Position with Temperature



peak moves lineary with temperature, as expected for CAST

$$\Delta\epsilon \sim kT \ln \left[\frac{J\Gamma_L^{-1}}{(1 + \eta/2)} \right] \quad \text{for } T \ll T_0 = \frac{k^{-1}J}{\ln(J/\Gamma_L)} \sim 2 \text{ K}$$

Golovach & Loss, PRB **69**, 245327 (2004)

Part 1: Quantum Dot Basics

- GaAs 2D electron gas (2DEG)
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 - singlet & triplet states,
exchange splitting $J = E_T - E_S$
 - Pauli Spin blockade
- part 2 putting the basics to work
 g-factor, ST transition, spin entanglement
- part 3 charge sensing
 charge & spin tunneling,
 spin relaxation, charge fluctuations