

### 1. Quantum vs Coulomb energy

Derive the average quantum level spacing of a circular quantum dot of area  $\pi R^2$  starting from the 2D density of states. Further, find an expression for the charging energy, assuming an infinitely thin flat disc of radius  $R$ . Compare qualitatively the size dependence of the charging energy and the quantum level spacing.

### 2. Sequential Tunneling through a Single-Level Quantum Dot

Consider a quantum dot coupled to two reservoirs with Fermi-Dirac distributions at temperature  $T$  and tunneling rates  $\Gamma_S$  and  $\Gamma_D$  through the source and drain barriers, respectively. Assume the temperature broadened regime  $h\Gamma_{S,D} \ll k_B T$ . A source-drain bias  $eV_{SD} = \mu_S - \mu_D$  is applied, where  $\mu_S$  and  $\mu_D$  are the chemical potentials of the source and drain reservoir. Assume that the dot has only a single quantum level at energy  $\epsilon$  above  $\mu_D$ . *Hint:* Assume  $\mu_D = 0$  throughout this exercise for simplicity.

- (a) Draw a sketch of the situation with reservoirs, dot, energy level and tunnel barriers.
- (b) Derive an expression for the sequential tunneling current  $I$  through the dot as a function of  $T$ ,  $V_{SD}$ ,  $\Gamma_S$ ,  $\Gamma_D$  and for arbitrary level energy  $\epsilon$ .
- (c) How can this dot be used as a thermometer?
- (d) From the current  $I$ , find the differential conductance  $g$  as a function of the same parameters as for the current. What is the line shape as a function of gate voltage?

### 3. Double dot anticrossing due to tunneling

Take a simple model of a double quantum dot where the left dot level is at energy  $E_L$  and the right dot at energy  $E_R$  with detuning  $\Delta E = E_R - E_L$  in absence of tunneling. Calculate both the level energies and the eigenfunctions in presence of non-zero tunneling of strength  $t$  as a function of detuning. Plot the energies as a function of detuning  $\Delta E$  for  $t = 0$  and  $t \neq 0$ .

### 4. Double dot capacitances and energy scales

Read the paper "Determination of energy scales in few-electron double quantum dots" by Taubert et al., Rev. Sci. Instr. **82**, 123905 (2011). Explain how the various capacitances and energy scales in a double quantum dot can be extracted from transport data.

### 5. Anisotropic quantum harmonic oscillator model of a quantum dot

Suppose that in your experiment, you have figured out the energies  $\Delta_1$  and  $\Delta_2$  of two excited states of a single electron quantum dot from bias-spectroscopy at a number of perpendicular magnetic field  $B_\perp$  values, as given in the table below. Assuming an anisotropic harmonic oscillator (see B. Schuh, J. of Phys. A: Math. and Gen. **18**, 803 (1985), eq. 28), determine both confinement energies  $\omega_X$  and  $\omega_Y$ . Finally, give error bars on your estimate of the confinement energies assuming experimental error bars of 3% on  $\Delta_1$  and 6% on  $\Delta_2$ .

Hint: the data in the table is taken from Zumbühl et al., Phys. Rev. Lett. **93**, 256801 (2004), Figure 4(a).

$B_\perp$	$\Delta_1$	$\Delta_2$
[ T ]	[ meV ]	[ meV ]
0	1.2	2.4
0.25	1.2	
0.50	1.17	
0.75	1.13	
1.00	1.07	2.03
1.25	1.03	1.95
1.55	0.98	1.8

### 6. Singlet-Triplet transition in a circular quantum dot

In their subsequent paper *Excitation Spectra of Circular, Few-Electron Quantum Dots* in Science **278**, 1788 (1997), Kouwenhoven et al. describe the excited state spectra. Read this paper, and explain

- How are the excited states visible in this experiment?
- Do they agree with the simple Fock-Darwin model?
- What is the source of various additional B-field dependent features in the spectra besides the Fock-Darwin excited states?
- Estimate the magnetic fields required to perform similar spectroscopy in a ‘traditional’ atom such as H or He.
- Finally, the triplet excited state energy  $J$  is here rather close to the  $N = 1$  orbital excited state energy  $\Delta$  (see Fig. 5A), quite unlike the situation described in the lecture in lateral quantum dots, where we found  $J \ll \Delta$ . What could be the reason for this?