

Vorlesung Analysemethoden II Andrij Romanyuk

- Di 18.10. statt 8.15 ab 15.00
- Fr 21.10. ganzer Tag

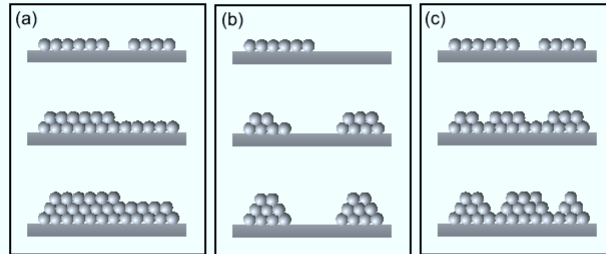
Abtausch der Dozenten besser als
Verschiebung → wird versucht.

Repetition:

- Nanostrukturierung: Warum? Wo? Wie? -- Beispiele
- Bottom-Up vs. Top Down
- Bottom-Up Nanostrukturieren:
 - Spielen mit Physikalischen / Chemischen WW
- Oberflaechen und Vakuum Warum? Wieviel?

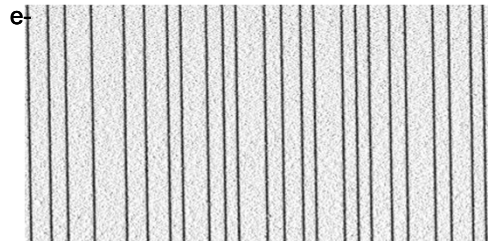
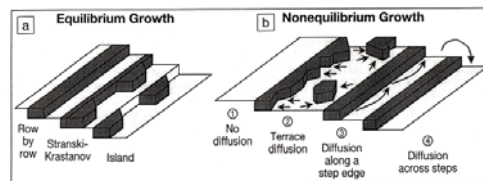
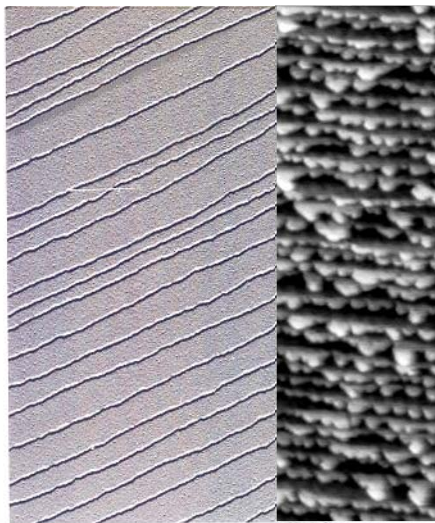
- Diffusion
- Methoden zur Bestimmung
- Bedeutung fuer's Wachstum
- Isotropie / Anisotropie

Growth Modes



- (a) **Layer-by-layer** or **Frank-van der Merve** mode → 2D islands
- (b) **Island** or **Vollmer-Weber** mode → 3D islands
- (c) **Layer plus island** or **Stranski-Krastanov** mode → 2D layer + 3D islands

'Physical' Self Assembly of e.g. Nanowires



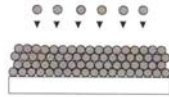
F. Himpsel, Th. Jung et al.
MRS Bulletin **24**, 20--24 (1999).



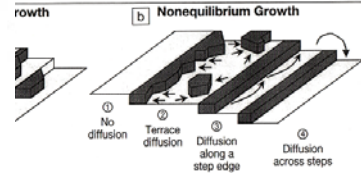
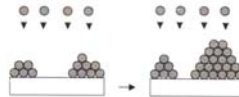
'Physical' Self Assembly of e.g. Nanowires jumping from 3D to 2D

Basic Growth Modes of Epitaxial Thin Films

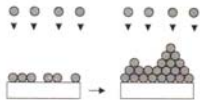
a) layer-by-layer growth



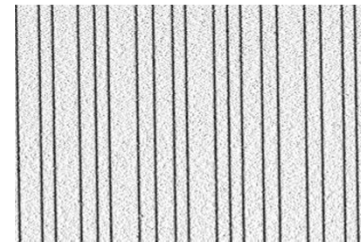
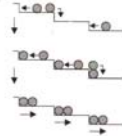
b) island growth



c) layer plus island growth



d) step flow growth ($l_T \ll l_D$)

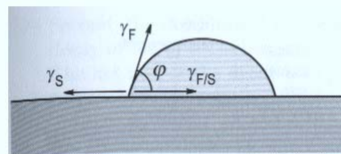


Th. Jung et al.
n 24, 20--24 (1999).



Growth Modes

- Surface tension (γ) = work required to build a surface of unit area
(\equiv force per unit length)

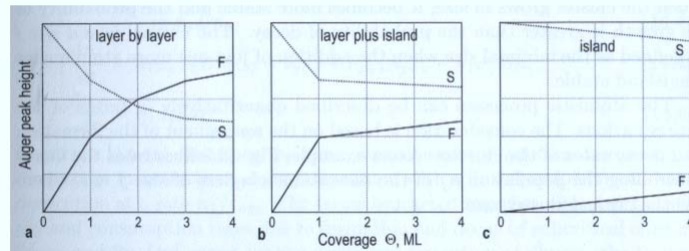


$$\gamma_S = \gamma_{SF} + \gamma_F \cos \phi$$

- Island growth: $\phi > 0 \rightarrow \gamma_S < \gamma_{SF} + \gamma_F$
- Layer-by-layer growth: $\phi = 0 \rightarrow \gamma_S \geq \gamma_{SF} + \gamma_F$

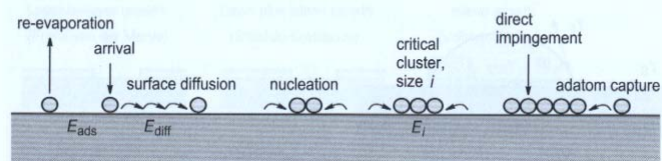
Growth Modes

- Exp: Monitor Auger signals from film and substrate while depositing...



Island Number Density

• Nucleation and growth on surfaces:



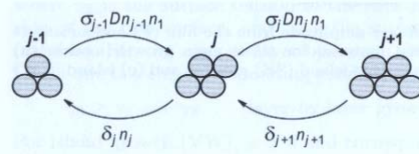
- Diffusion coefficient:
$$D = \frac{v}{4n_0} \exp\left(-\frac{E_{diff}}{k_B T}\right)$$

- Residence time:
$$\tau_{ads} = \frac{1}{v} \exp\left(\frac{E_{ads}}{k_B T}\right)$$

• **Critical island size i** : minimal size when the addition of one atom makes the island stable

Island Number Density

- Capture and decay processes → cluster size



- Rate equations:

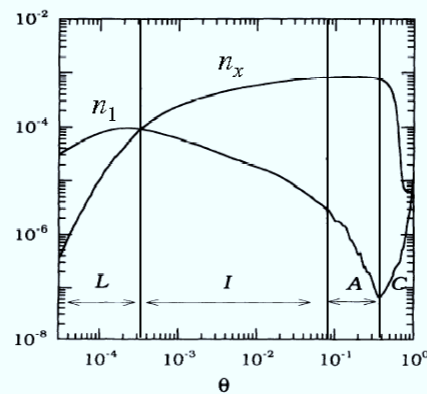
$$\frac{dn_1}{dt} = \underbrace{R}_{\text{adatom density}} - \frac{n_1}{\tau_{ads}} + \underbrace{\left(2\delta_2 n_2 + \sum_{j=3}^i \delta_j n_j - 2\sigma_1 D n_1^2 - n_1 \sum_{j=2}^i \sigma_j D n_j \right)}_{\text{subcritical clusters}} - \underbrace{n_1 \sigma_x D n_x}_{\text{stable clusters}}$$

$$\frac{dn_j}{dt} = n_1 \sigma_{j-1} D n_{j-1} - \delta_j n_j + \delta_{j+1} n_{j+1} - n_1 \sigma_j D n_j \longrightarrow \text{metastable clusters}$$

$$\frac{dn_x}{dt} = n_1 \sigma_x D n_x \longrightarrow \text{stable clusters}$$

Island Number Density

- Numerical solution for $i = 1$ without re-evaporation (Amar, Family and Lam, PRB 1994):



- Four coverage regimes are found

Island Number Density

(1) **Low-coverage nucleation regime:** $n_1 \gg n_x$

- In such case:

$$n_1 \propto \Theta \quad n_x \propto \Theta^3$$

When $n_x \sim n_1 \rightarrow$ (2) **Intermediate-coverage regime**

- In such case:

$$n_1 \propto \Theta^{-1/3} \quad n_x \propto \Theta^{1/3}$$

When mean island separation \sim mean free path of adatoms

\rightarrow (3) **Aggregation regime** ($\Theta \sim 0.1-0.4$ ML)

When the island join together

\rightarrow (4) **Coalescence and percolation regime**

Island Number Density

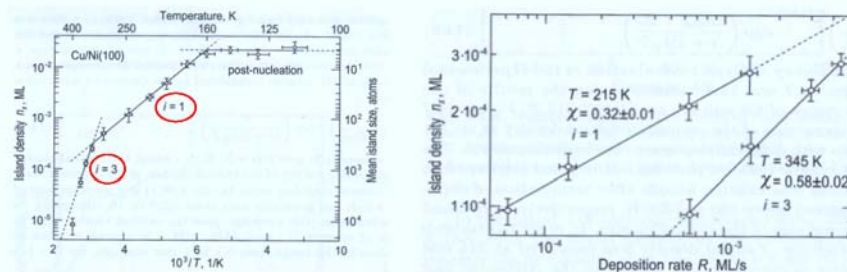
- Saturation density (Venables et al., 1984):

$$n_x = n_0 \eta(\Theta, i) \left(\frac{4R}{v_0 n_0} \right)^{\frac{i}{i+2}} \exp\left(\frac{iE_{diff} + E_c}{(i+2)k_B T} \right)$$

$\eta(\Theta, i) \sim 0.1-1$

binding energy
of critical cluster

- Example: Cu on Ni(100) (Müller et al., PRB 1996)



Island Shape

- At low T (slow edge diffusion): **ramified islands**
- **Diffusion-limited-aggregation (DLA) model** (Witten & Sander, PRL 1981):



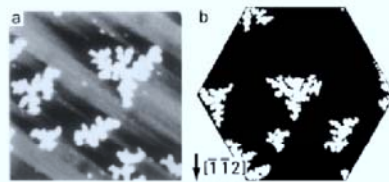
- Adatoms stick at islands
- Fractal shape
- Branch thickness ~ 1 atom
- No influence of lattice geometry

- In real growth (STM experiments):

 - Fractal shape
 - Branch thickness > 1 atom
 - Influence of lattice geometry

Island Shape

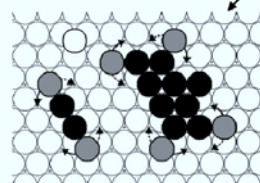
- Example: Pt/Pt(111) (Hohage et al., PRL 1996)



- Branch thickness ~ 4 atoms
- Trigonal symmetry

experiment

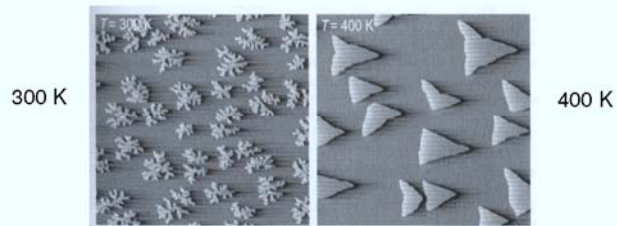
simulation



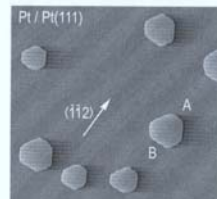
- Higher coordination is preferred

Island Shape

- At higher T: **compact islands**
- Example: Pt/Pt(111) (Bott et al., PRL 1992)

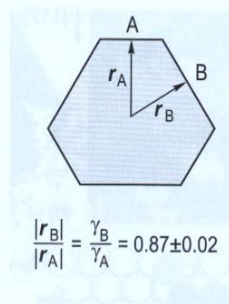


- The equilibrium shape is hexagonal:
(deposition at 425 K + annealing at 700 K)



Island Shape

- **2D Wulff theorem:** In a 2D crystal at equilibrium, the distances of the borders from the crystal center are proportional to their free energy per unit length



- For Pt(111): B/A ~ 0.87

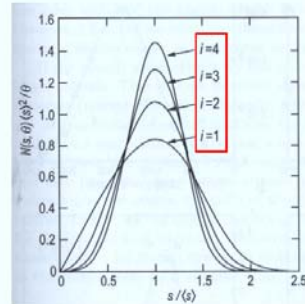
Island Size Distribution

- **Island size distribution** depends on:
 - Critical island size
 - Coverage
 - Substrate structure
 - “Coarsening” (at high Θ)

- From **scaling theory** (Amar et al., PRB 1994):

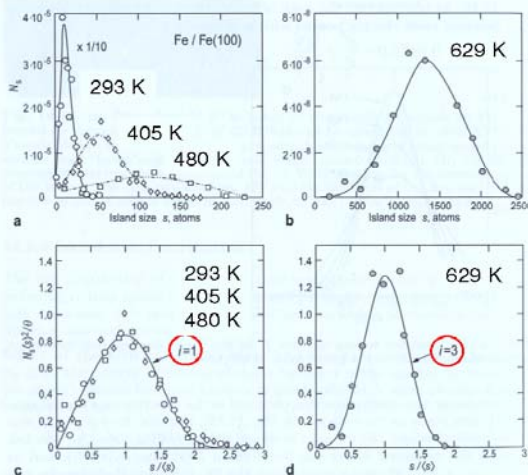
$$N_s = \frac{\Theta}{\langle s \rangle^2} f_i \left(\frac{s}{\langle s \rangle} \right)$$

- Comparing with experimental results
→ critical island size



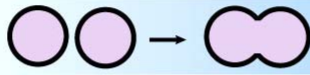
Island Size Distribution

- Example: Fe on Fe(100) (Stroscio et al., PRL1993, Amar et al., PRB 1994)



Coarsening Phenomena

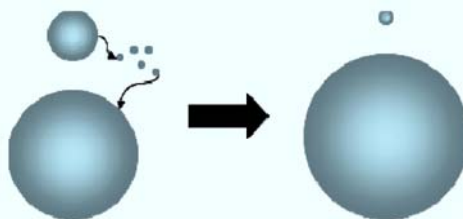
1) Coalescence:



- At 0.1 ML coverage: **dynamic coalescence** (Smoluchowski ripening)
- At 0.4-0.5 ML: **static coalescence**
- Higher coverages → **percolation** growth (→ change of physical properties!)

Coarsening Phenomena

2) (Ostwald) Ripening:



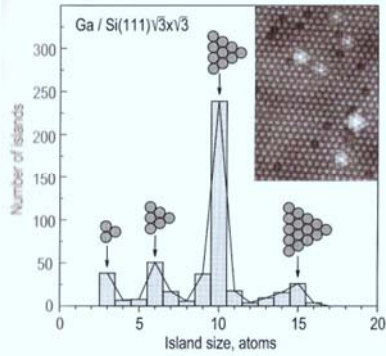
- Chemical potential of a circular island:

$$\mu(r) \propto \frac{\gamma}{r}$$

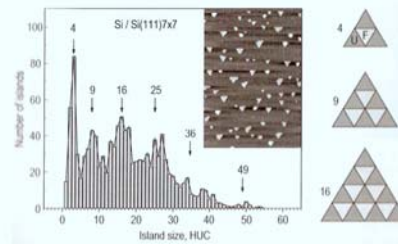
Reducing free energy → net flow from smaller to larger islands!

Magic Islands

- Ga on Si(111) $\sqrt{3}\times\sqrt{3}$ (Lai & Wang, PRL 1998):



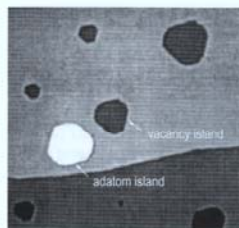
- Si on Si(111)7x7 (Voigtländer et al., PRL 1999)



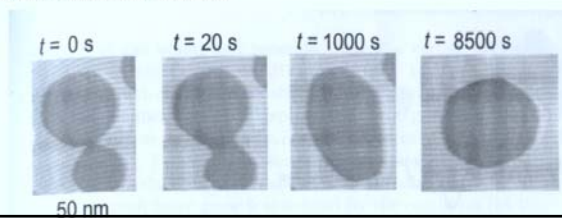
Formation of a new row \rightarrow high energy cost!

Vacancy Islands

- Ion bombardment \rightarrow formation of **vacancy islands**

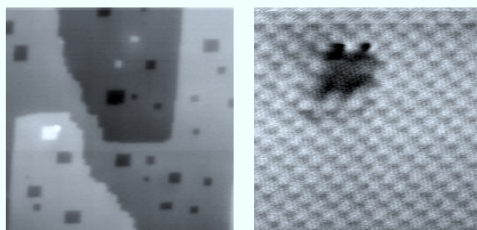


- Analogies with adatom islands



Vacancy Islands

- Electron bombardment on insulating surfaces (Bennewitz et al., SS 2001):

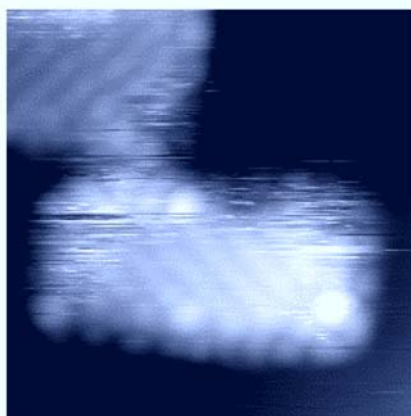


KBr(100)

- Irradiation with 1 keV electrons at 130 °C
- Rectangular pits with area of 1x1 nm² up to 30x30 nm²
- 1 ML deep (0.33 nm)

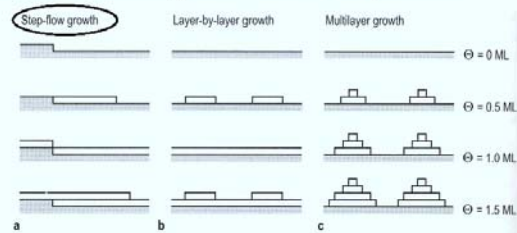
Vacancy Islands

- Vacancy islands can be used as molecular traps (Nony et al., NL 2004)

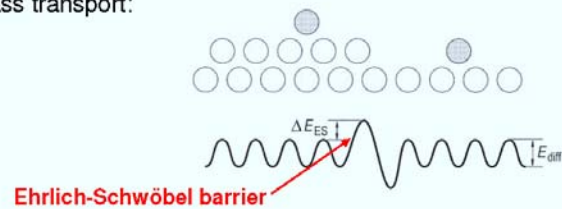


Kinetic Effects in Homoepitaxy

- Thermodynamics → Layer-by-layer growth but...
- Kinetic processes → Other growth modes are possible!

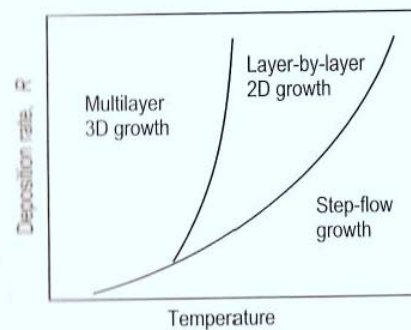


- Interlayer mass transport:



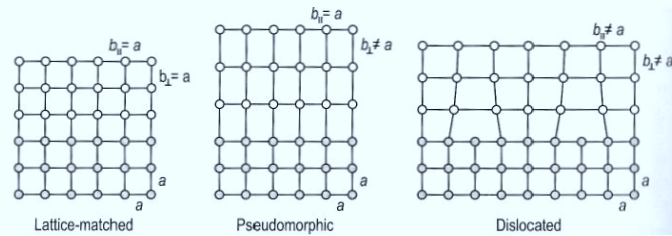
Kinetic Effects in Homoepitaxy

- Deposition rate and Temperature are important!
- Growth mode diagram (Rosenfeld et al., 1997):



Strain Effects in Heteroepitaxy

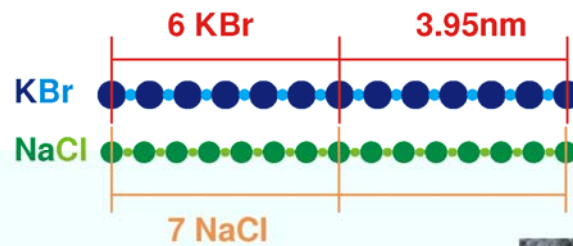
- Heteroepitaxy growth modes:



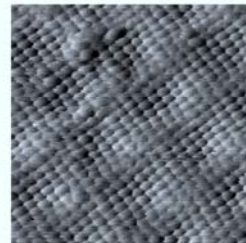
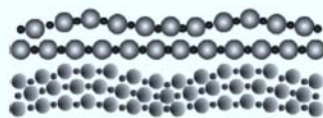
- Lattice **misfit** → elastic strain and dislocations
- Pseudomorphic growth below critical misfit and film thickness

Strain Effects in Heteroepitaxy

- Heteroepitaxy on insulating surfaces (Maier et al., PRB 2007):



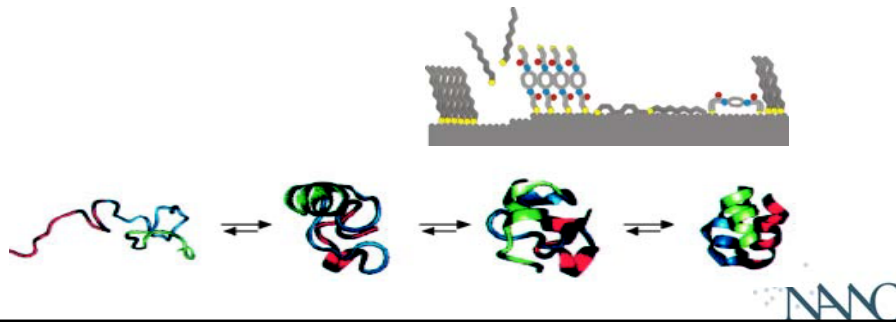
- A "Moiré pattern" appears (also in the substrate?)



Molecular Self-Assembly

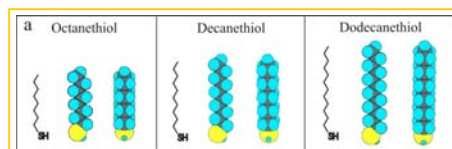
“Molecular self-assembly is the spontaneous association of molecules under equilibrium conditions into stable, structurally well-defined aggregates joined by non-covalent bonds. Molecular self-assembly is ubiquitous in biological systems and underlies the formation of a wide variety of complex biological structures.”

G.M. Whitesides, J.P. Mathias and C.T. Seto, *Science* **254**, 1312 (1991)

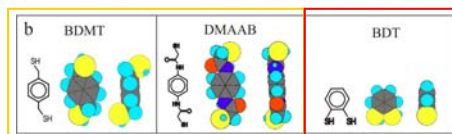


Die untersuchten Moleküle

Monothiole

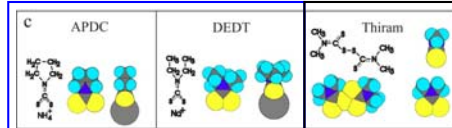


Dithiole

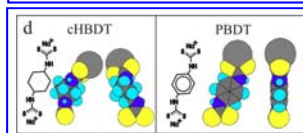


1,2-Dithiol

Dithiocarbamate



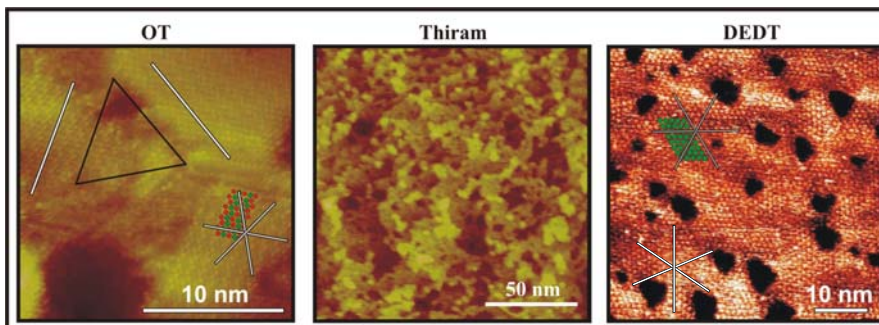
Thiuramdisulfid



Bis-Dithiocarbamate

STM 2D Self-assembled Monolayer

- etch pits
- domain boundaries
- $(\sqrt{3} \times \sqrt{3})R30^\circ$
- 0.5 nm dot distance
- jagged step edges
- no molecular resolution
- etch pits
- chiral domains
- $(2\sqrt{3} \times 2\sqrt{3})R30^\circ$
- 0.96 nm dot distance



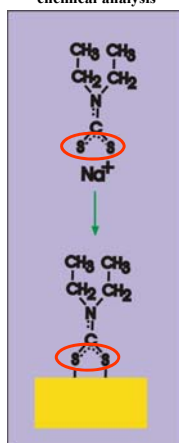
1 Schwefel/Punkt

6 Schwefel/Punkt

Untersuchung der Chemisorption

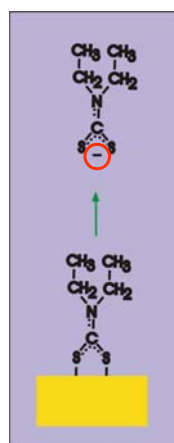
XPS - ESCA

x-Ray photoelectron spectroscopy
Electron spectroscopy for
chemical analysis



CV

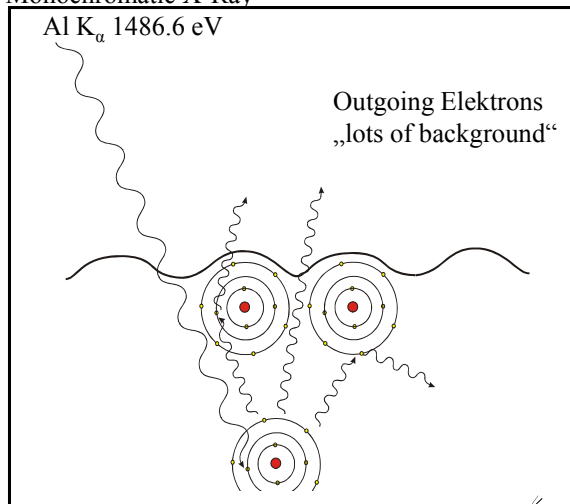
Cyclic voltammetry



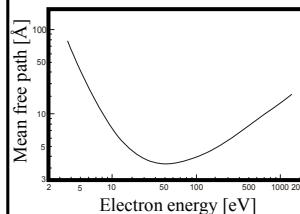
X-Ray Photoelectron Spectroscopy - XPS

Monochromatic X-Ray

Al K_{α} 1486.6 eV



Elastic mean free path of electrons



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LMV

SONY

XPS signal and chemical Shift

$$E_{\text{kin}} = h\nu - E_{\text{b}} - \Phi \quad (\text{Conservation of energy})$$

Given by the
X-ray source:
constant

Given by the
Bulk properties:
**small and almost
constant**

Represents strength of interaction between
ELECTRONS and the NUCLEUS
(Chemistry) (Physics)

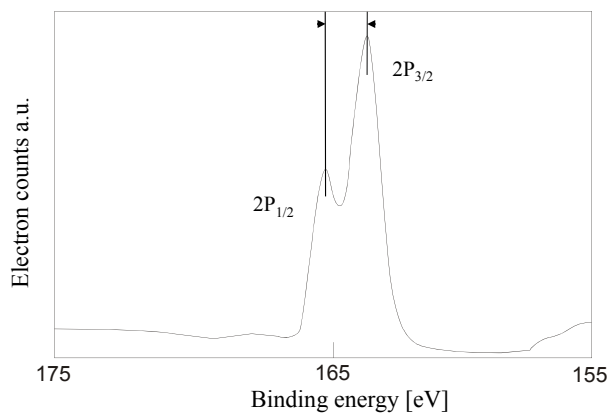
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The XPS Sulfursignal

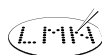
Handbook of X-ray Photoelectron Spectroscopy



- Double Peak (spin-orbit coupling)
- Relation of the Areas 2:1
- $2P_{3/2}$ Maxima @ 164 eV
- Energishift 1.18 eV

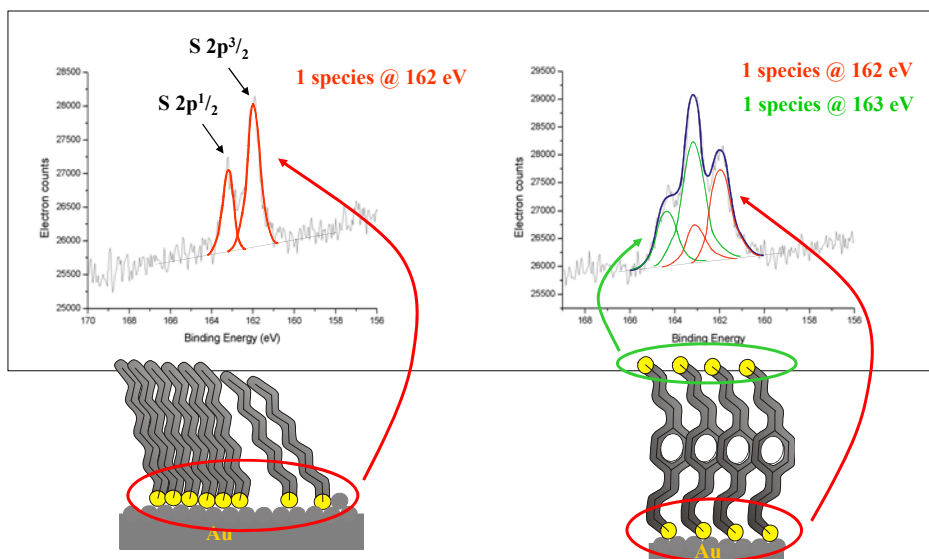
Theoretical Peakshape :
Convolution of a
Lorenzian and a
Gaussian

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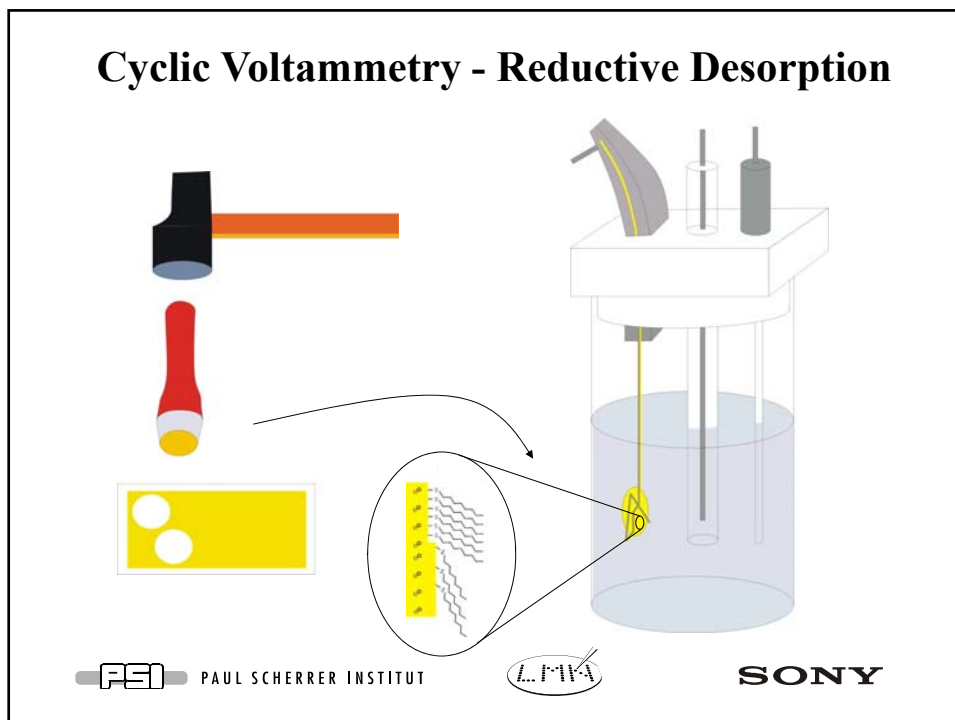


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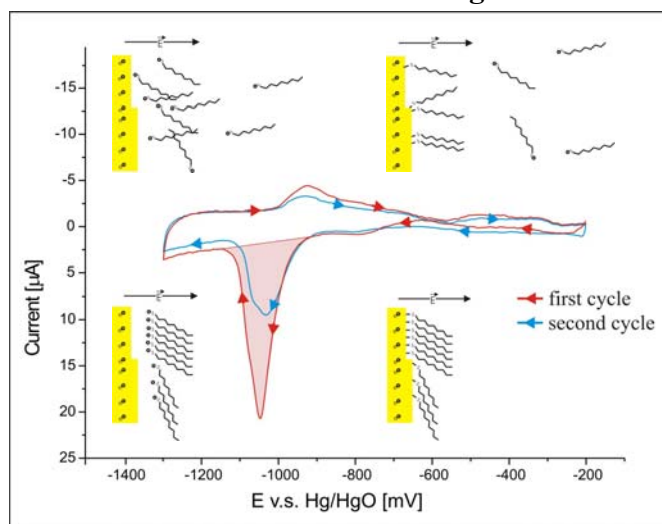
XPS Signale von Thiolen und Dithiolen auf Gold



Cyclic Voltammetry - Reductive Desorption

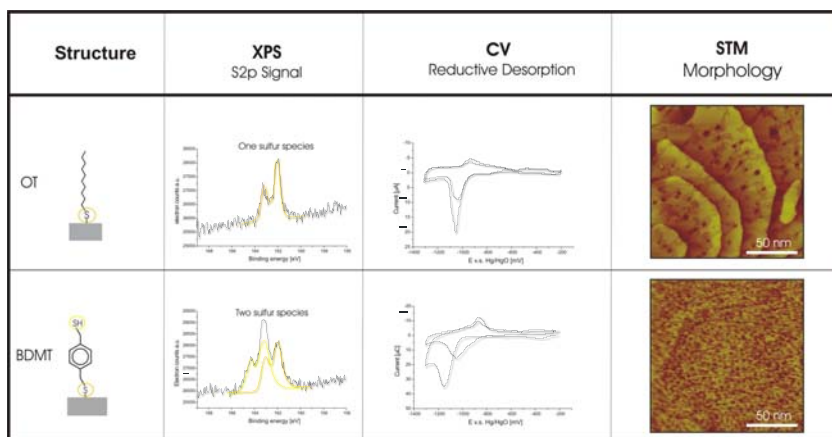


Reductive Desorption of dodecanethiol from gold

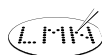


Chemical Interaction at the surface

Thiols and Dithiols



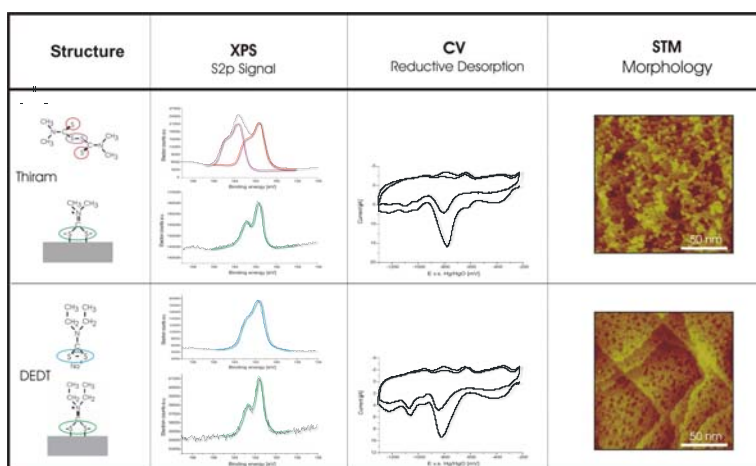
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SONY

Chemical Interaction at the surface

Thiurames and Dithiocarbamates



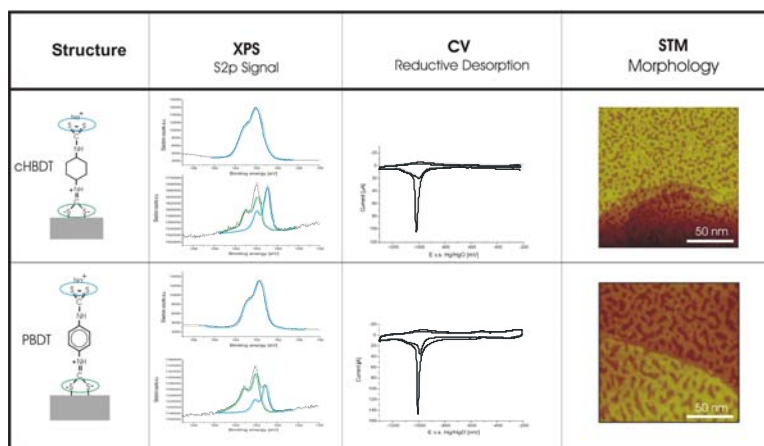
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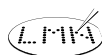
SONY

Chemical Interaction at the surface

Bis Dithiocarbamates

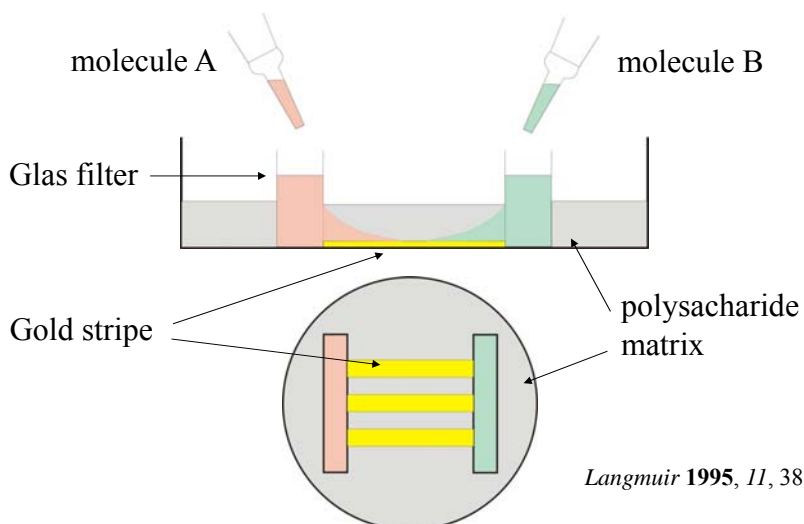


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Gel-Assembly from Liedberg and Tengvall



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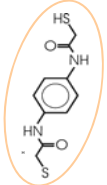


SONY


Gel-Assembly I

Spatial resolved assembly

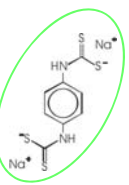
DMAAB

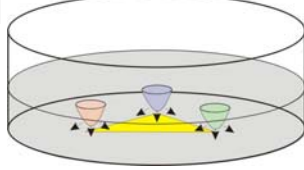



DT




PBDT











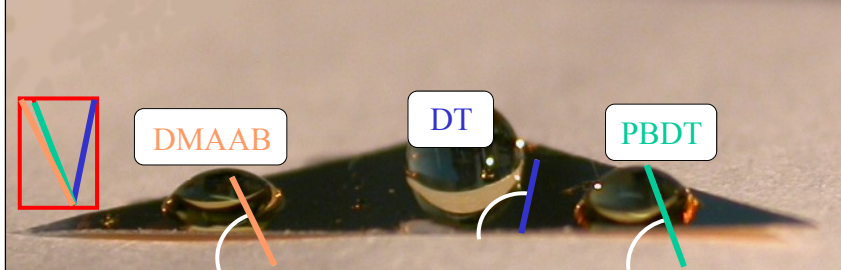
PAUL SCHERRER INSTITUT






Gel-Assembly – Contact Angle

Contact Angles of a Molecular Gradient Assembly



WICHTIG: Strukturieren mit Molekuelen

Vgl: Micro-Contact Printing, Dip-Pen Lithography, Nanografting, ...
 Tuning von Oberflaechenenergien ~> Stabilisatoren / Emulgatoren fuer Nanopartikel
 3D → colloidale Prozesse fuer Nanopartikel → kuenstliche Membransysteme,



Block Copolymer Membranes as Mimics of Biological Membranes

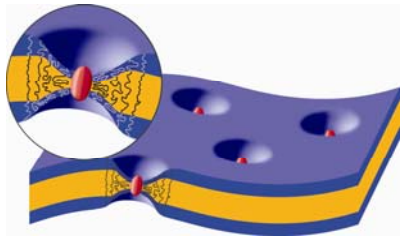
Zur Anzeige sind die QuickTime™
Datenkomponente „PDF-Datenkomponente“
benötigt.

M.S. Bretscher, *Scientific American*, **1985**, 253(4), 86-90

Block Copolymer Membranes with Inserted Membrane Proteins



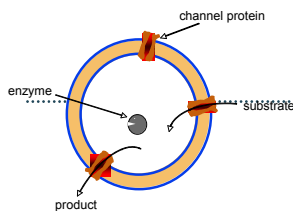
Nardin et al. *Angew. Chem.*, **2000**, 117, 4247



- Polymer chains can be compressed (increase in the local surface energy but decrease in the stretching energy!)
- Polydispersity allows small chains to segregate around a membrane protein

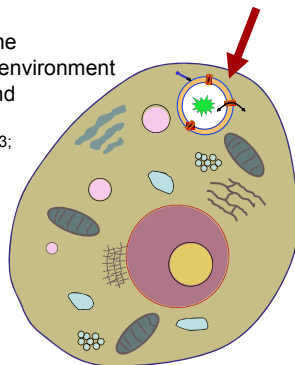
Pata et al. *Biophysical Journal*, **2003**, 85 (3), 2111

The Nanoreactor



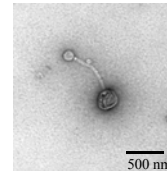
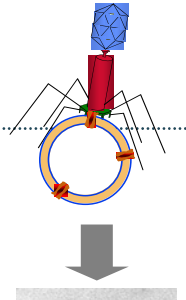
- full activity of encapsulated enzyme
- protection against hostile outside environment
- activation / deactivation on demand

Nardin et al. *Chem. Commun* **2000**, 1433;
Eur. Phys. J. E **2001**, 4, 403; ...



Cell-specific integration of artificial organelles

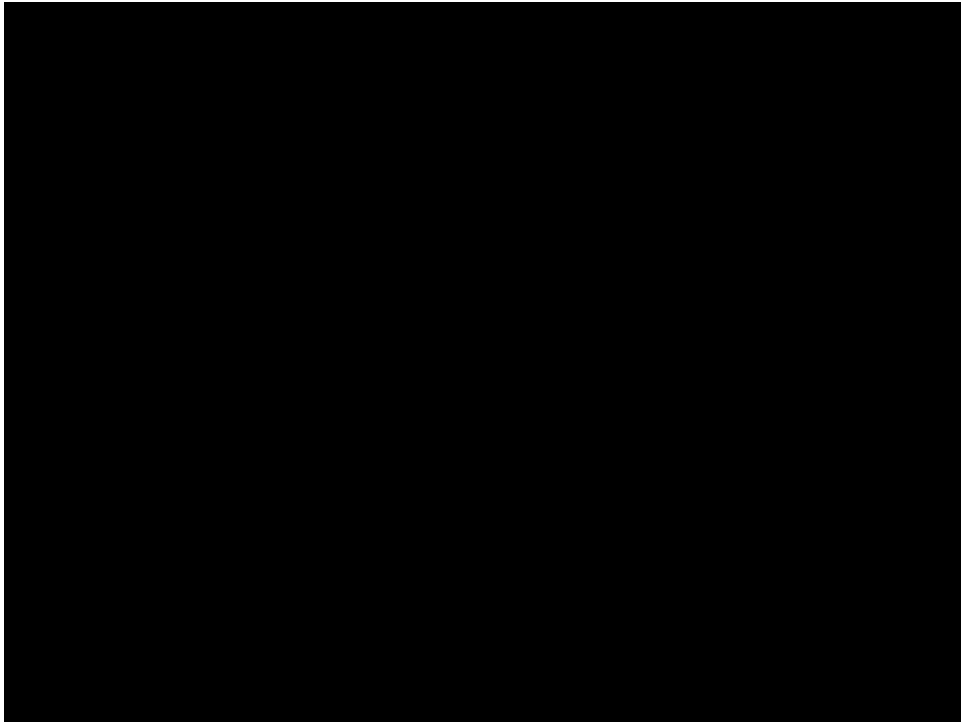
N. Ben-Haim et al, *Nano Lett.* **2008**, 8, 1368



Biological recognition!

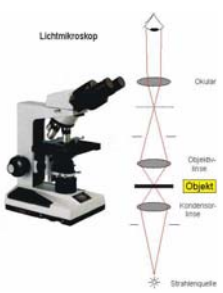
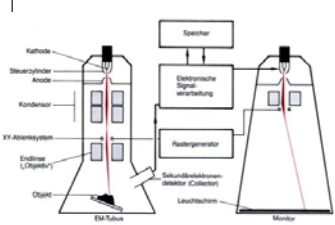
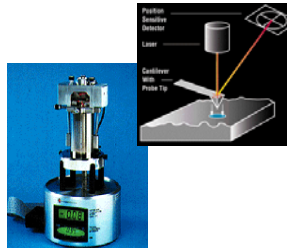
A. Graff, et al., *Proc. Natl. Acad. Sci. USA*, **2002**, 99, 5064





Microscopes

For the visualisation of millimeter to nanometer structures

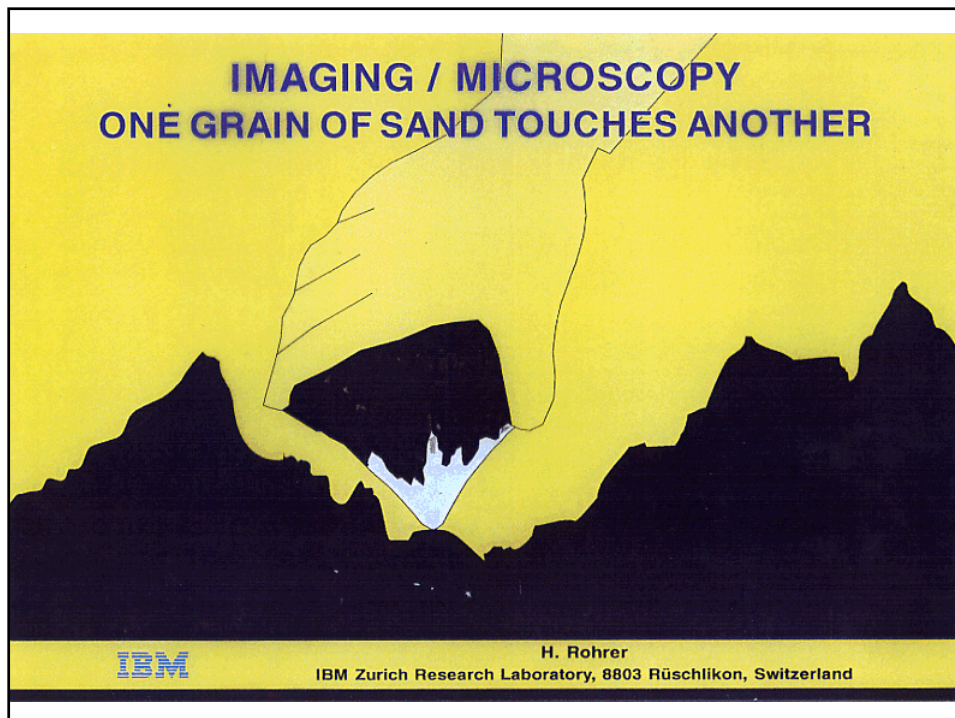
Light Microscope	Electron Microscope (SEM)	Scanning Probe Microscope
since about 1750	since about 1955	since about 1981
 <p style="font-size: small;">Lichtmikroskop Okular Objektiv Objekt Kondensator Strahlenquelle</p>	 <p style="font-size: small;">Speicher Elektronische Signalverarbeitung Reduziergenerator Leuchtschirm Monitor Kathode Steuerzylinder Anode Kondensator XY-Ablenksystem Endlinse (Objektiv) Objekt EM-Tube Sekundärelektronen-Sammler (Collector)</p>	 <p style="font-size: small;">Position-Sensory Detector Laser Controller with Probe Tip</p>
<p>geometric optics resolution about 500 nm <i>Light-Intensity contrast</i></p>	<p>E-beam raster-scan resolution 5 nm <i>secondary electron counting projection image</i></p>	<p>deflection sensor piezo-scan resolution 0.2 nm <i>3d topographic map</i></p>

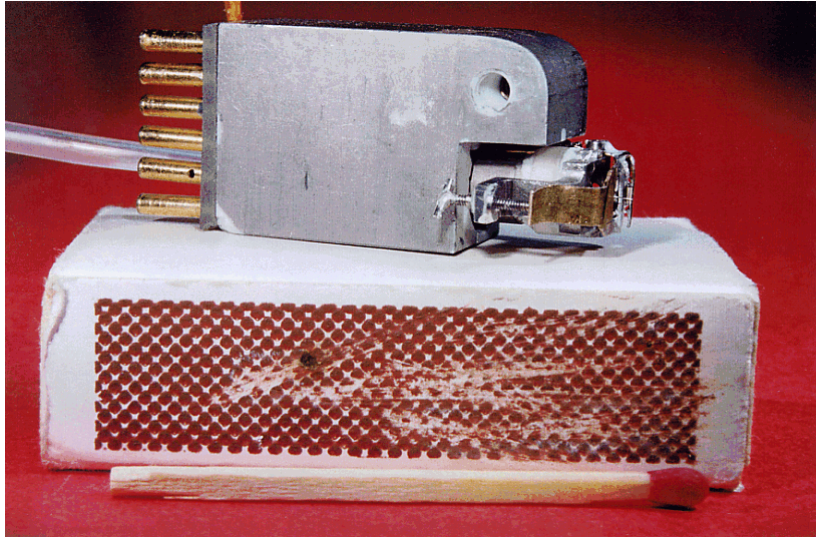
N.B. Ein Bild sagt mehr als tausend Worte (Daten)

Es wird oft behauptet, es handele sich um ein [chinesisches](#) Sprichwort. Auch [Konfuzius](#) wird oft als Urheber genannt. Der erste gedruckte Nachweis findet sich jedoch im englischen Sprachraum. Am 8. Dezember [1921](#) veröffentlichte Fred R. Barnard in der Zeitschrift "Printers' Ink" eine Anzeige mit dem [Slogan](#) "One Look is Worth A Thousand Words." Es handelte sich um eine [Fachzeitschrift](#) der [Werbebranche](#). Die Anzeige warb für den Gebrauch von Bildern in Werbeaufdrucken auf Autos. Am 10. März [1927](#) erschien eine zweite Anzeige mit der Phrase "One Picture is Worth Ten Thousand Words". Dort wird behauptet, es handele sich um ein chinesisches Sprichwort. Das Buch "The Home Book of Proverbs, Maxims, and Familiar Phrases" zitiert den Autor Barnard, der sagte, er habe den Slogan "als chinesisches Sprichwort betitelt, damit die Leute es ernst nehmen".

Nano-Imaging: From Science to Technology

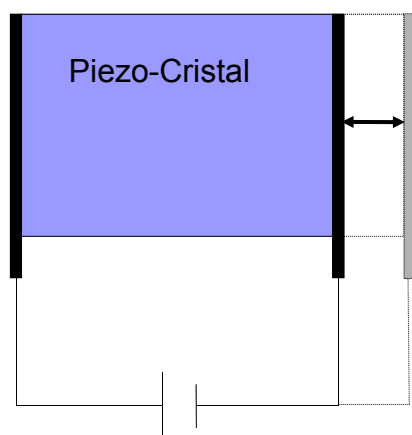
T. A. Jung et al.





T. A. Jung SPM Tutorial

The Piezo Scanner

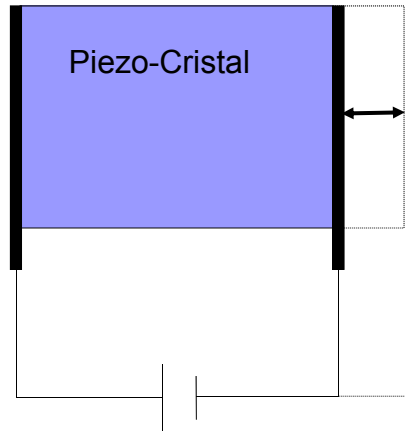


E-field modifies
X-tal structure
and elongation

DC voltage
-220 to **+220 V**

T. A. Jung SPM Tutorial

The Piezo Scanner

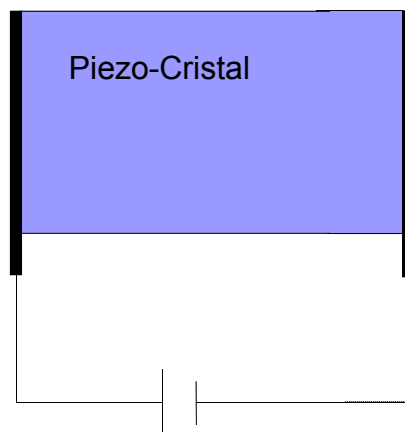


contracted !

DC voltage
-220 to **+220** V

T. A. Jung SPM Tutorial

The Piezo Scanner



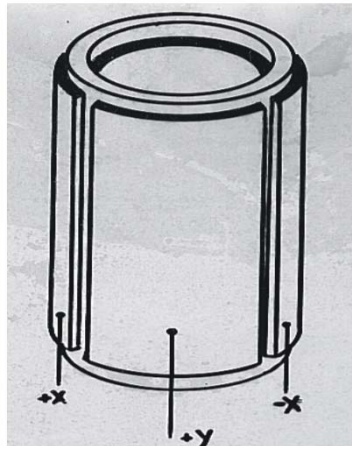
extended !

DC voltage
-220 to **+220** Volt

T. A. Jung SPM Tutorial

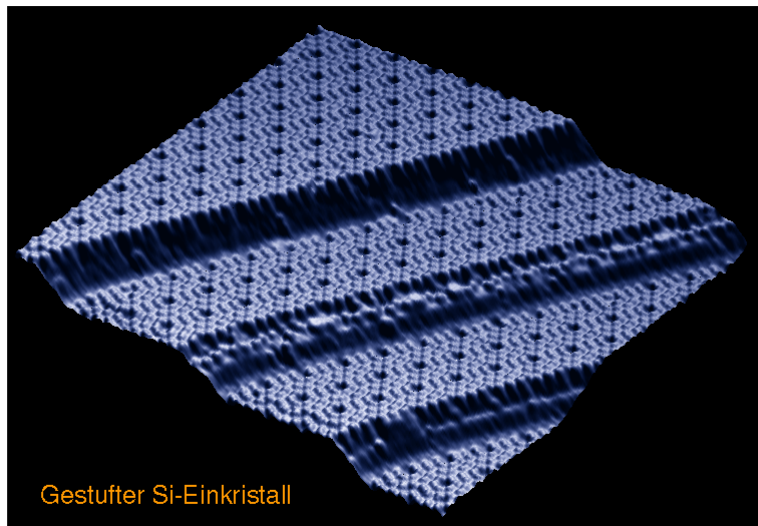
XYZ – Scanning unit

'precision at your fingertips'



Precision: 1/100th Angstrom

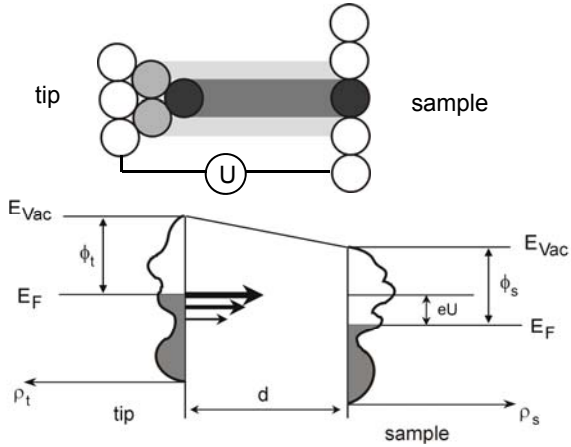
T. A. Jung SPM Tutorial



Gestuffer Si-Einkristall

T. A. Jung SPM Tutorial

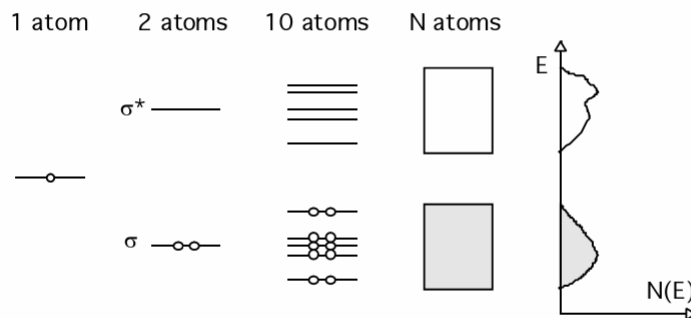
Scanning Tunneling Microscopy



Tunneling current: $I_{\text{tunnel}} \sim U \rho_t \rho_s(x,y) e^{-\text{const } d}$ (Tersoff and Hamann)

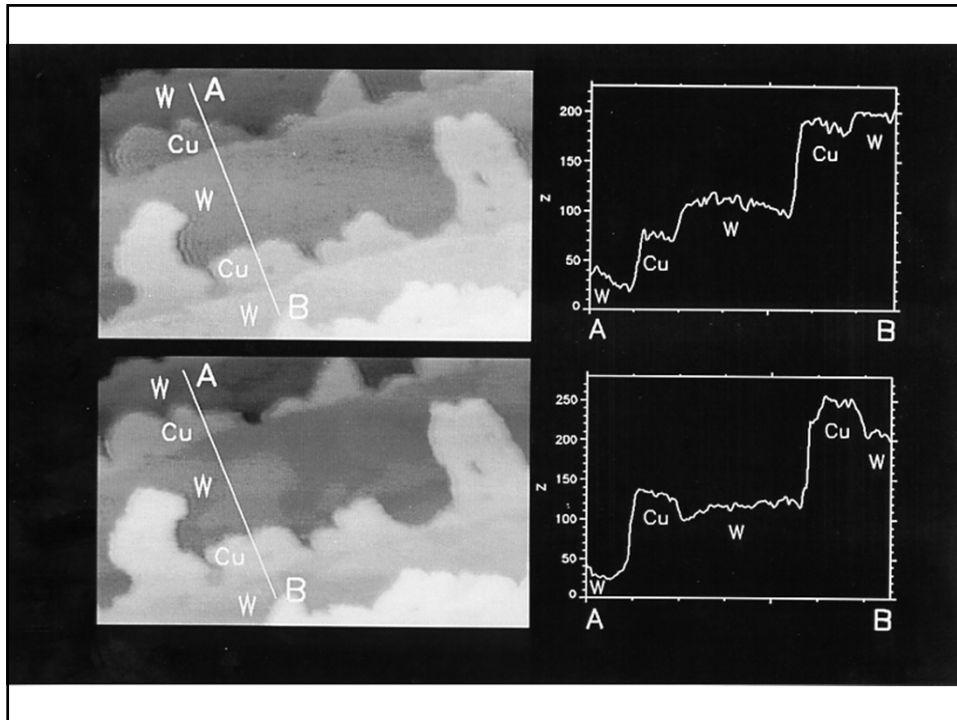
=> sensitivity to local electronic structure of the sample

Density of States (DOS)



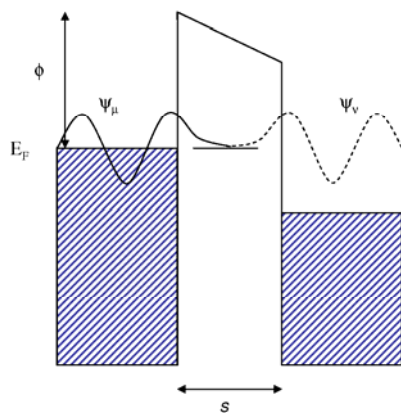
Density of States (DOS), $N(E)$ is the number of energy levels between E and $E+dE$ (states per eV)

States can have s,p,d,f or mixed (hybrid) character
 Bands may be separated by band-gaps E_g



Calculation of tunneling current

The tunneling current is related to the overlap of electron wave functions. According to the Bardeen formalism the current can be approximated as:



$$I = \frac{2\pi e}{\hbar} \sum_{\mu\nu} f(E_\mu) [1 - f(E_\nu - eV)] \delta(E_\mu - E_\nu) |M_{\mu\nu}|^2$$

$f(E)$ is the Fermi function

$$M_{\mu\nu} = \frac{\hbar^2}{2m} \int d\vec{S} \cdot (\psi_\mu^* \vec{\nabla} \psi_\nu - \psi_\nu \vec{\nabla} \psi_\mu^*)$$

$M_{\mu\nu}$ is the so-called matrix element describing the probability of a transition from state μ into state ν . The integral is a surface integral over the current density of ψ .

J. Bardeen, *Phys. Rev. Lett.* 6, 57 (1961)

Tunnelspektroskopie auf Supraleitern

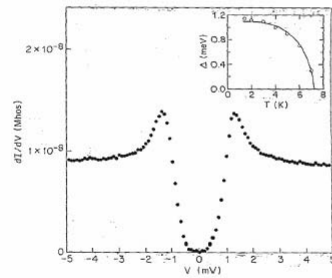


Fig. 2.7. dI/dV vs. bias voltage V for $NbSe_2$ at 0T applied magnetic field used to determine the gap at 1.45K. Inset: The gap vs. temperature and the corresponding BCS-fit. From [35].

H.Hess et al., Phys.Rev. Lett. 62(2), 214(1989)

T. A. Jung SPM Tutorial

Inelastische Tunnelspektroskopie

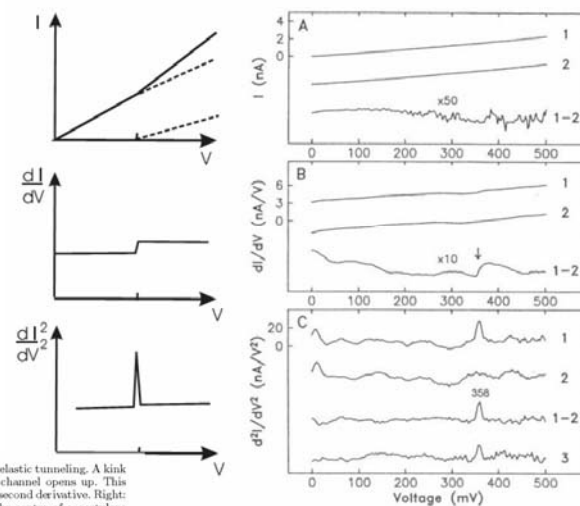
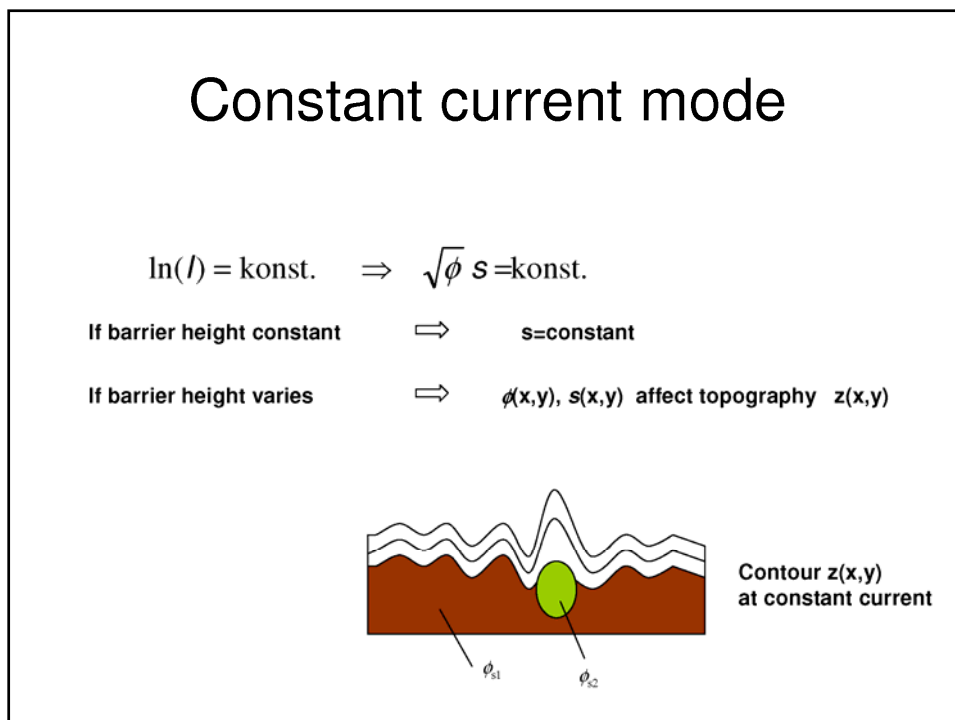
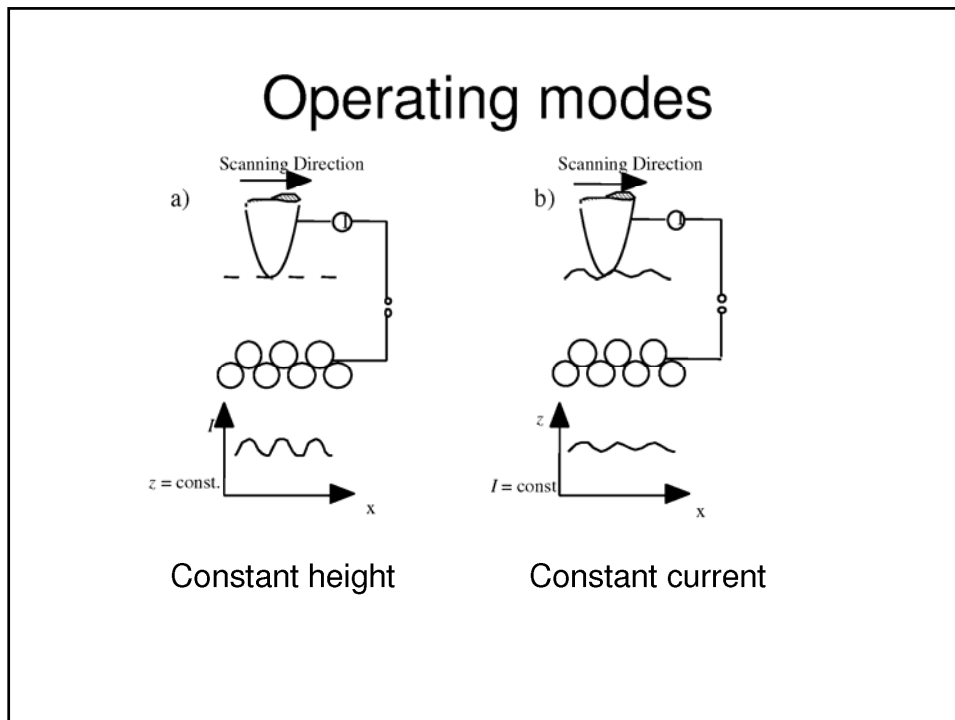
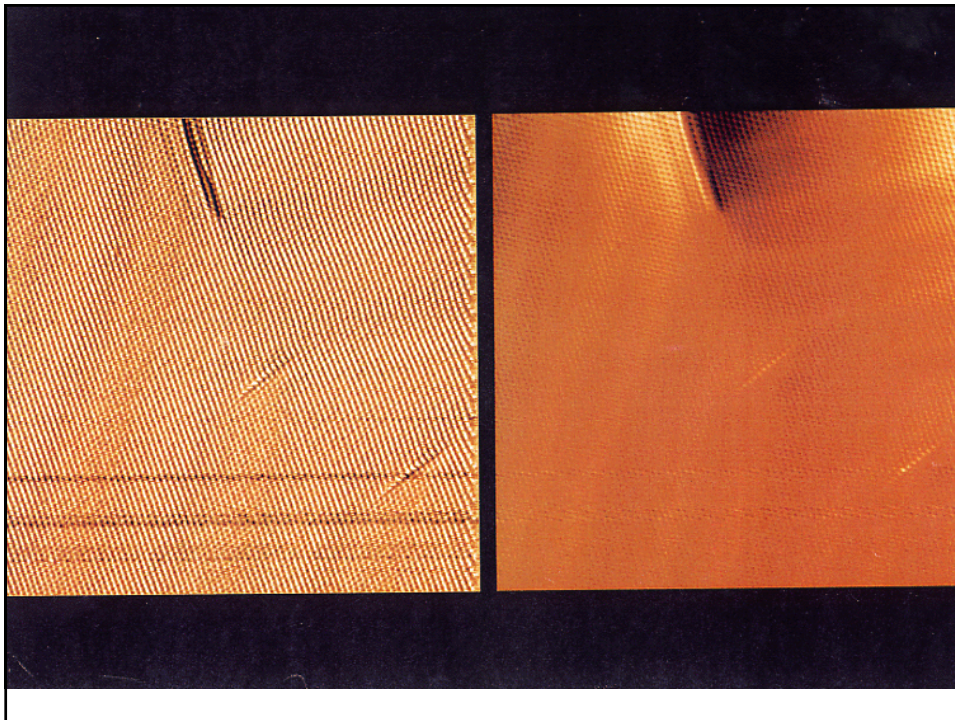


Fig. 2.8. Left: Current vs. voltage curves with elastic and inelastic tunneling. A kink is observed when the inelastic electron tunneling current channel opens up. This kink becomes a step in the first derivative and a peak in the second derivative. Right: (A) I - V -curves recorded with the STM tip directly over the center of a acetylene molecule (1) and over the bare $Cu(100)$ surface. (B) dI/dV on the molecule (1) and on the substrate (2). (C) d^2I/dV^2 on the molecule (1) and on the substrate (2). The difference spectrum (1-2) shows a peak at 358mV. (3) is an average of 279 scans. From [41].

B.Stipe,M.Rezaei,W.Ho:Science 280, 1732 (1998)





Crystal grains and their boundary

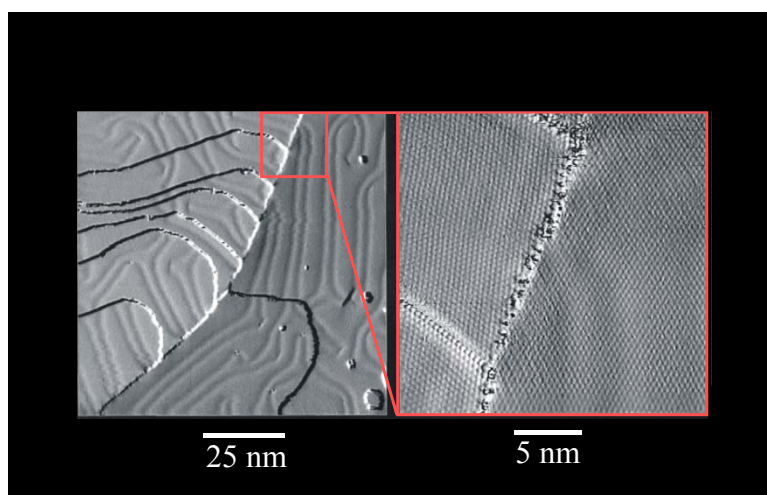


Abbildung von Legierungen

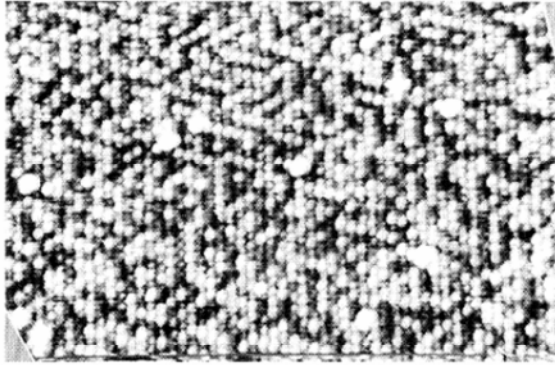
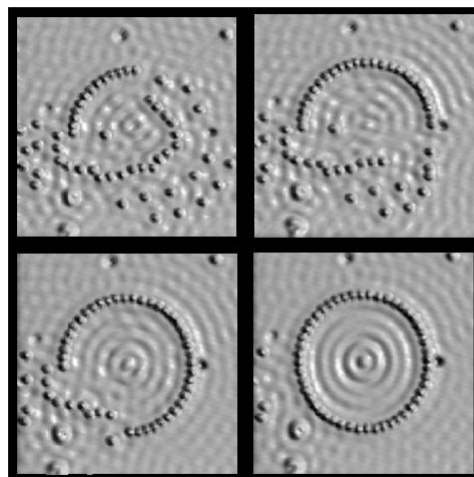


Fig. 2.14. STM image of the (111) surface of a $\text{Pt}_{25}\text{Ni}_{75}$ single crystal. A voltage of 5mV and current of 16nA were applied. A rather strong "chemical" contrast is observed, where the dark species is attributed to Pt and the bright features to Ni. The contrast is related to the interaction between tip adsorbates and the surface. Image size is $125\text{\AA} \times 100\text{\AA}$. From [50].



M.F. Crommie, C.P. Lutz and D.M. Eigler, *Nature* 363 (1993)

Oberflächenzustände auf Cu(111)

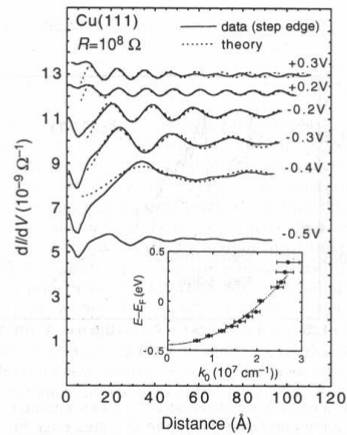


Fig. 2.16. Spatial dependence of dI/dV across a step edge on Cu(111) at 4K. For details see text. From [80].

„Confined electrons“

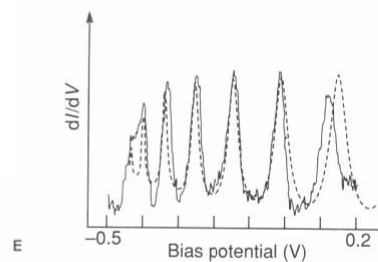
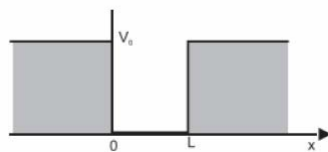


Fig. 2.18. The experimental (solid line) and theoretical (dashed line) voltage dependence of dI/dV , with the top of a STM located at the center of a 88.7Å diameter, 60-atom circle of Fe atoms on a Cu(111) surface. From [84].



$$E_n = \frac{h^2}{8mL^2} n^2$$

E.Heller, M.Crommie, C.Lutz, D.Eigler: Nature 369, 464 (1994)

Abbilden von Molekülen

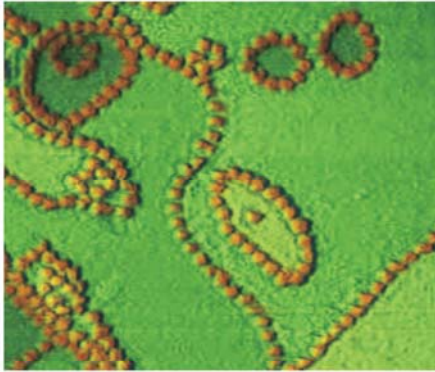


Fig. 2.15. Constant current STM image of Cu-tetra 3,5 di-t-butylphenyl porphyrin (Cu-TBPP) molecules on Ag(100) at low molecular coverage. The monatomic Ag-substrate steps are decorated by the molecules. Image size is $68 \times 68 \text{ nm}^2$. $I_t = 150 \text{ pA}$ and $U = 1 \text{ V}$. Courtesy of M. de Wild, S. Berner, H. Suzuki and T. Jung.

Manipulation mit dem STM

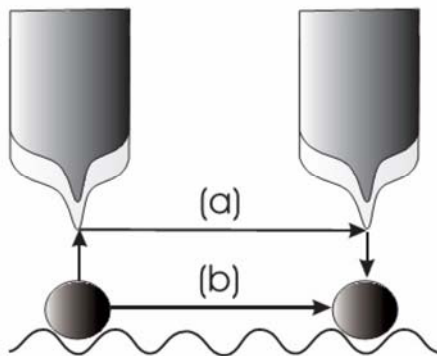
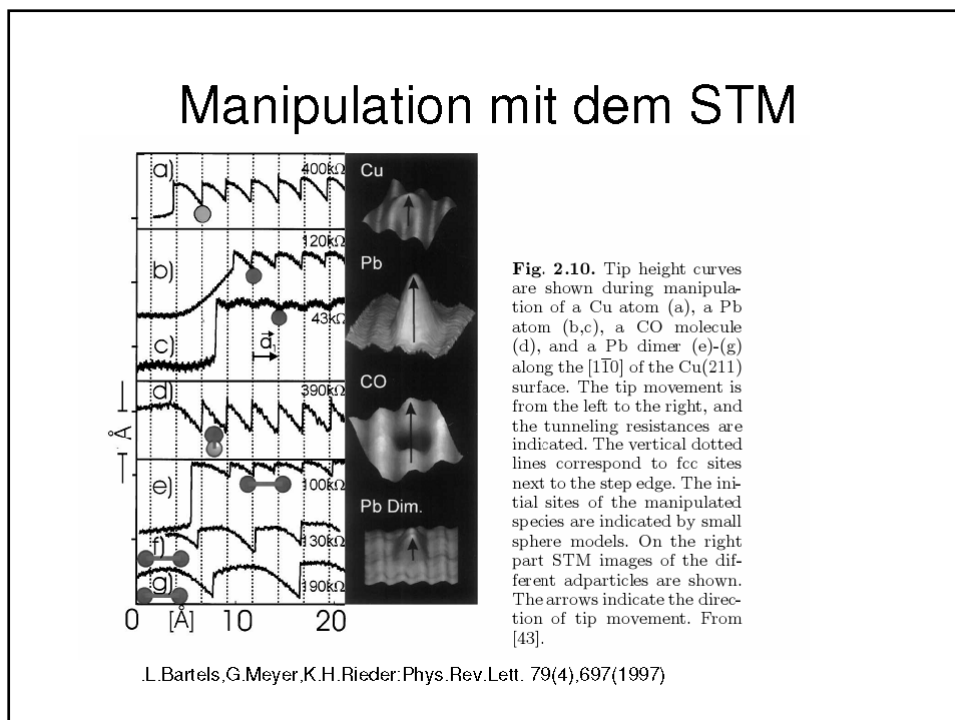
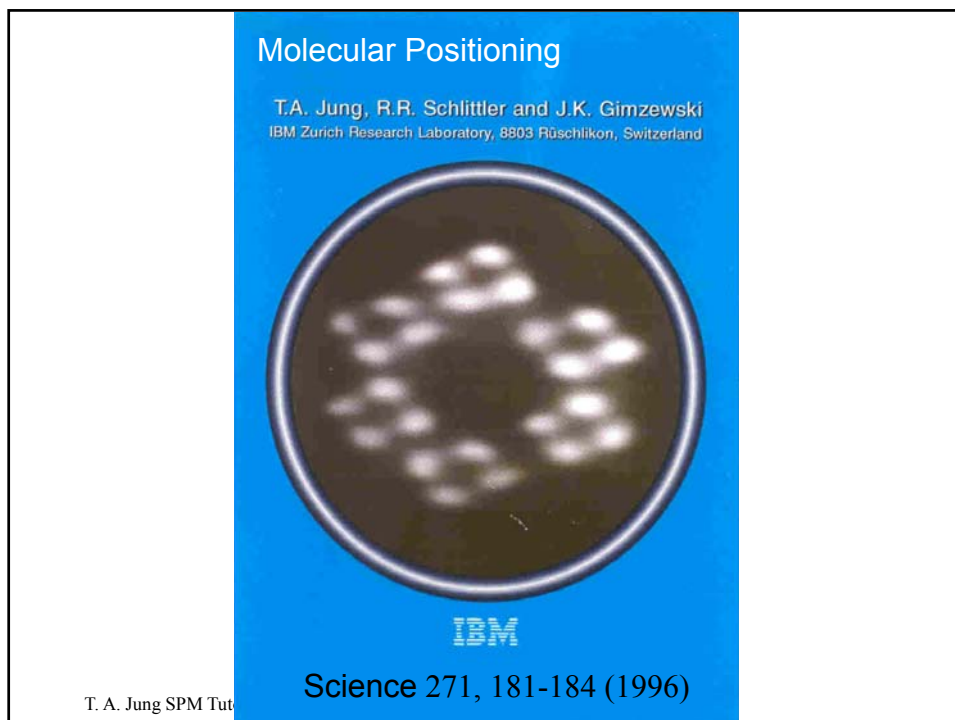


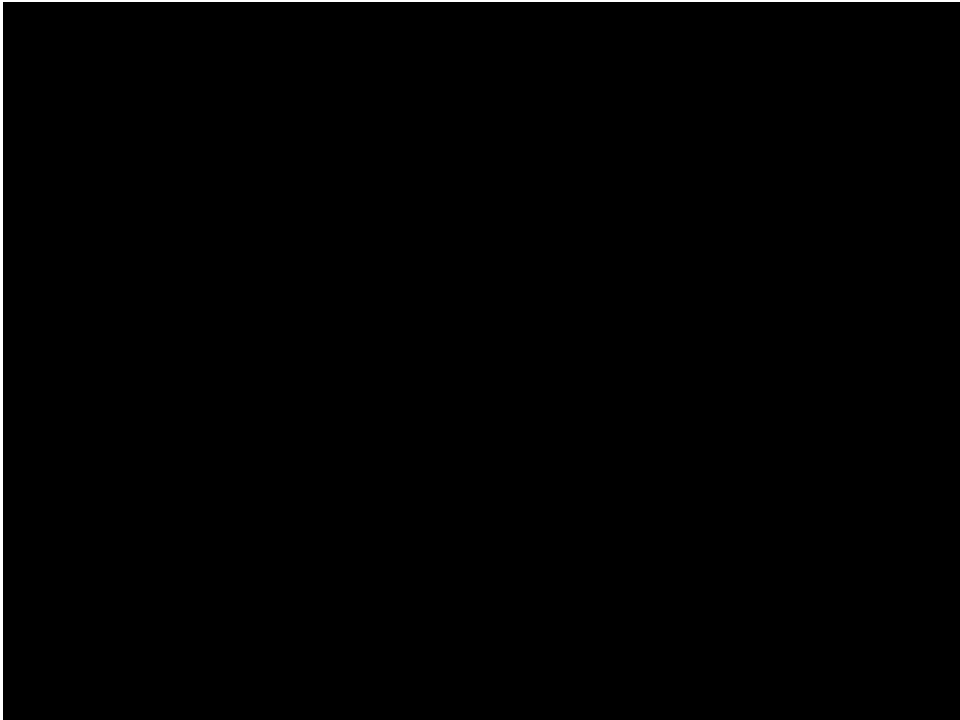
Fig. 2.9. Modes to manipulate single adatoms: (a) Vertical manipulation. (b) Lateral manipulation. In case (a), the adatom forms a strong bond with the tip, is detached from the surface, transported by the tip and redeposited on the surface. In case (b), the interaction has to be strong enough to overcome the corrugation of the interaction potential, which is closely related to the diffusion barrier.



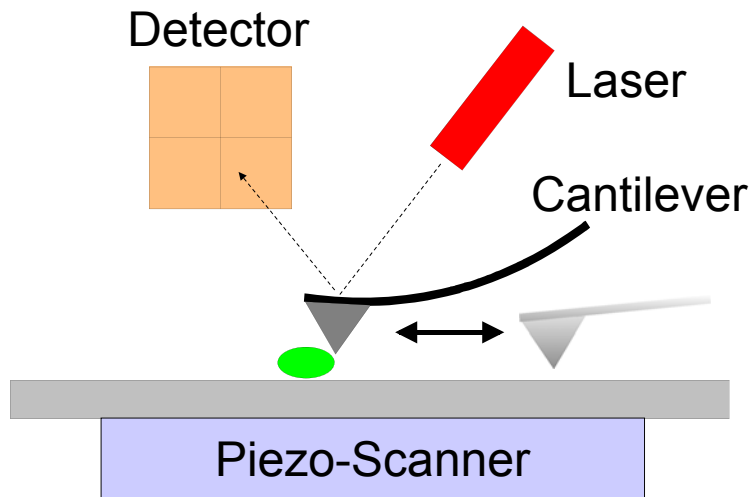
Scanning Probe Microscopy

- Real Space (not reciprocal space)
experiments with individual objects
- Many different experimental qualities
(electronic, optic, mechanic, reactivity...)
but semi-quantitative.
- Versatile tool to solve fundamental and
applied problems at surfaces and interfaces

T. A. Jung SPM Tutorial

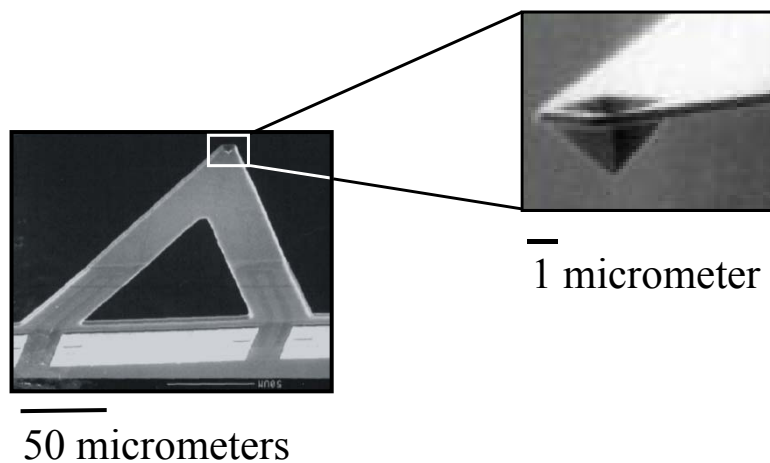


AFM - "Hands & Eyes"

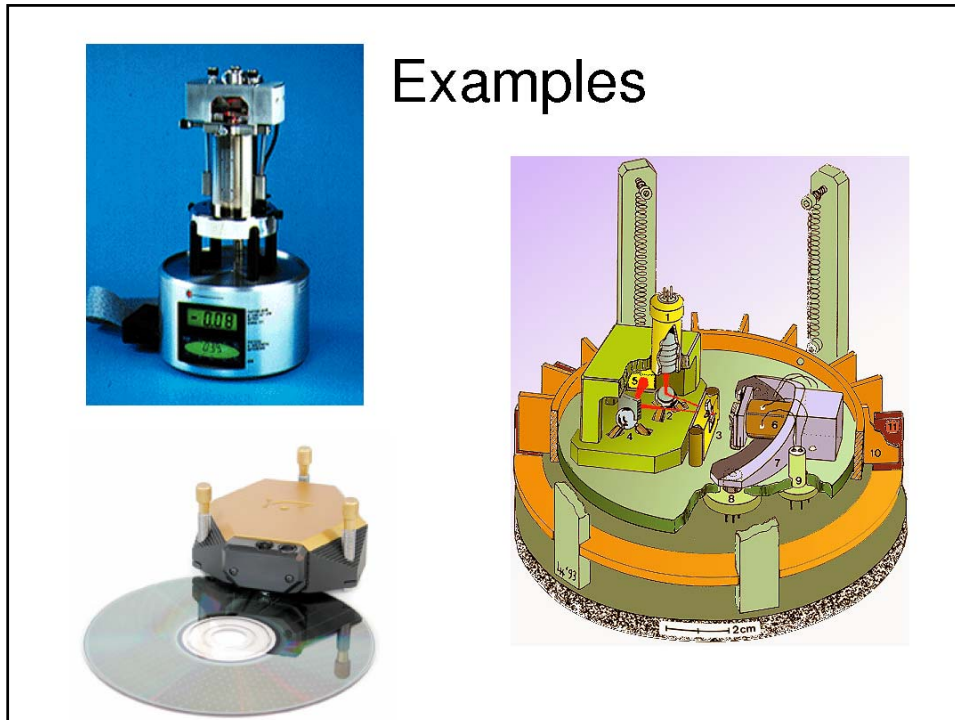


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Cantilever with integrated Tip

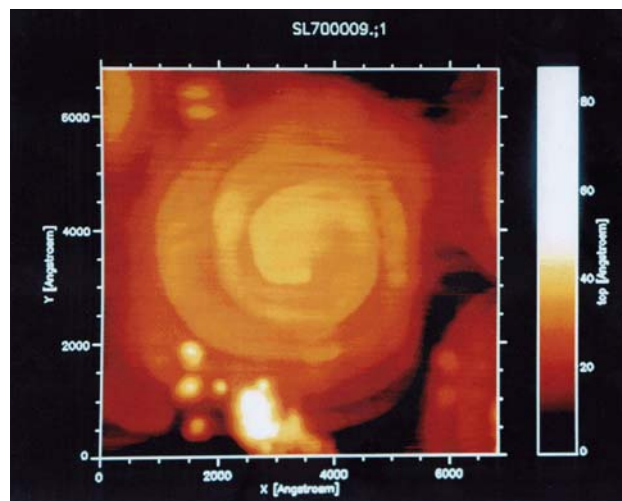


T. A. Jung SPM Tutorial

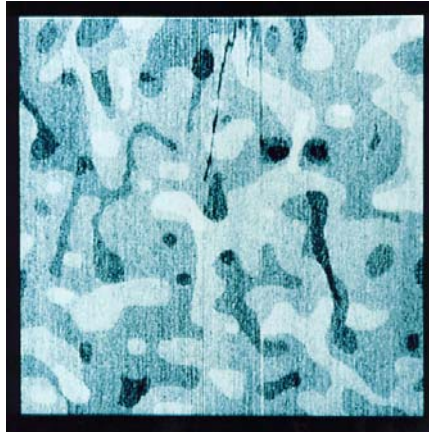


„Screw Dislocation“ on High T_c YBCO

~ 400 nm diameter

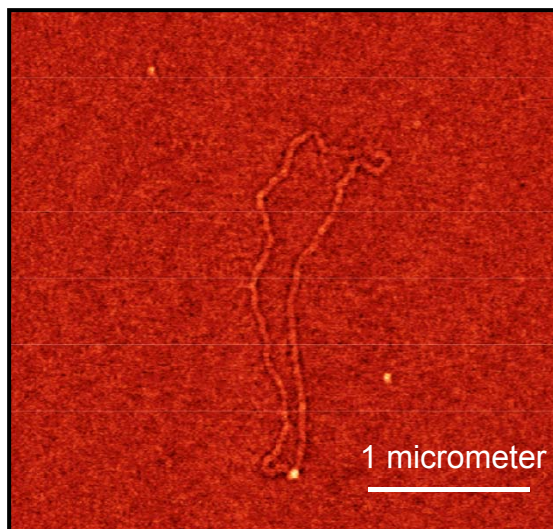


T.



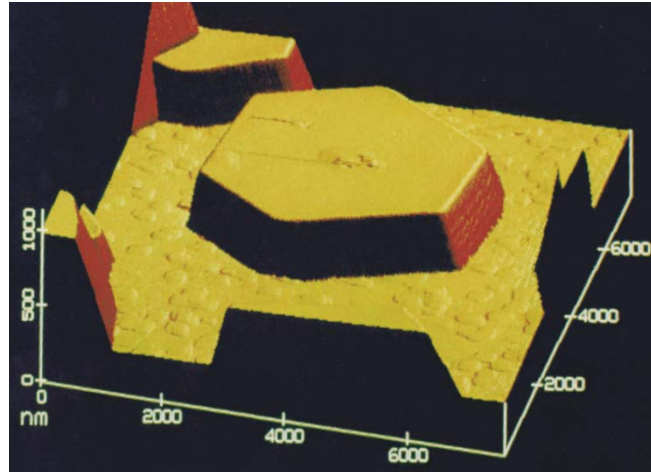
T. A. Jung SPM Tutorial

DNA-Molecule



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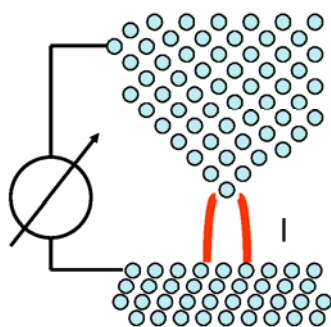
T-(tabular) AgBr grain of a photographic emulsion (Ilford)



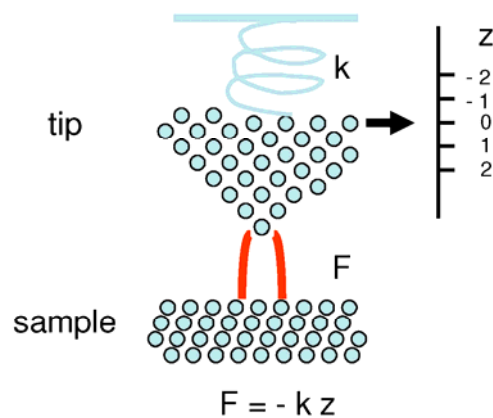
1

Scanning X Microscopy

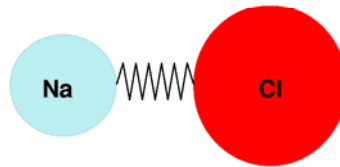
S Tunneling M



S Force M

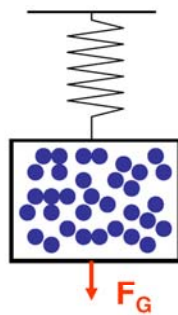


Force between two atoms



Chemical bond
 $F_{\text{chem}} = 1\text{eV} / 0.1\text{ nm}$
 1.6 nN

Sensing Forces



1 nm³ water (33 molecules)

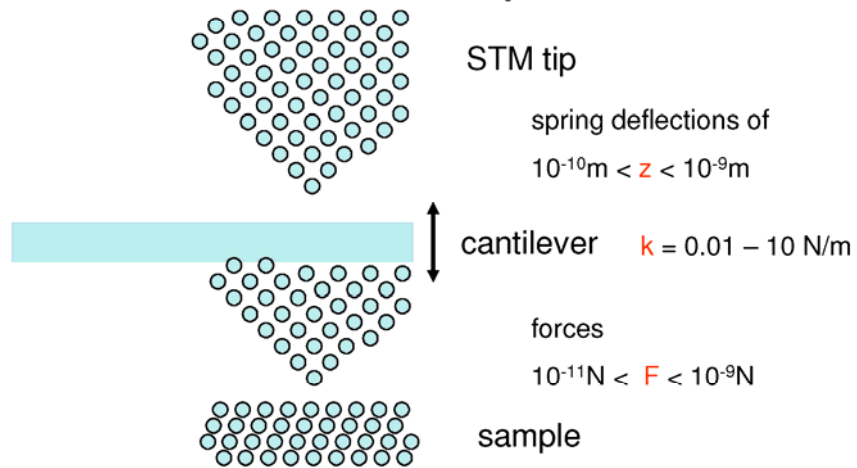
$$F_G = 10^{-23}\text{ N} = 10^{-14}\text{ nN}$$



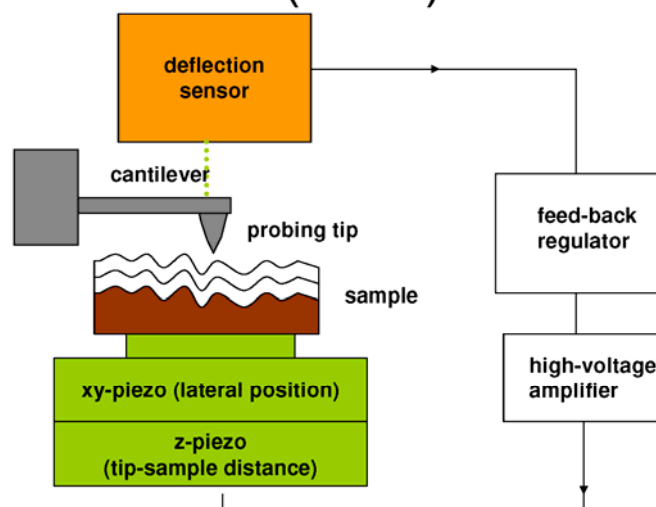
0.01 g

$$F = 0.1\text{ mN} = 10^5\text{ nN}$$

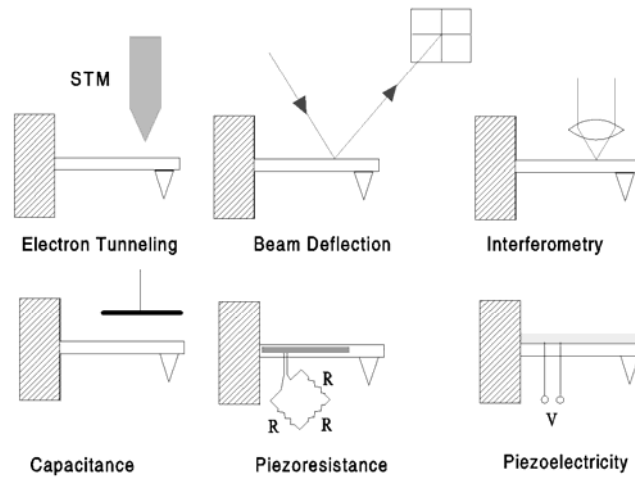
Principle of First Atomic Force Microscope



Scanning Force Microscope (AFM)



Deflection sensors



Relevant forces

- short-range repulsive forces (Pauli exclusion) or ionic repulsion forces
- short-range chemical binding forces
- van der Waals forces (always present, retarded beyond 100 nm)
- electrostatic forces (long-ranged)
- magnetic forces
- interaction in liquids
 - hydrophobic / hydrophilic forces
 - steric forces
 - solvation forces

Literature:

J. Israelachvili

Intermolecular and Surface Forces with Applications to Colloidal and Biological Systems, Academic Press (1985)

D. Tabor

Gases, liquids and solids, Cambridge University Press (1979)

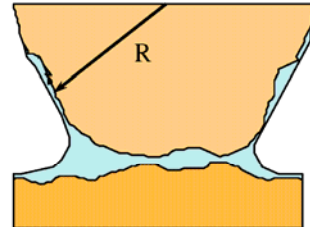
Capillary forces

$$F_{\max} = 4 \pi R \gamma \cos(\Theta)$$

$$\gamma(\text{H}_2\text{O}) = 0.074 \text{ N/m} \quad R = 100 \text{ nm}$$

Contact angle for hydrophilic surfaces $\Theta \approx 0^\circ$

$$\Rightarrow F_{\max} = 90 \text{ nN}$$



Van der Waals forces in vacuum

- No capillary forces (no water)
- Van der Waals and electrostatic forces dominate

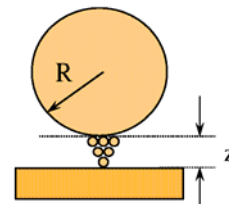
$$F_{\text{vdW}} = - B R / z^2 * 1 / (1 + z/2 R)^2$$

$$B = 3K/4 (\epsilon_s - 1)(\epsilon_t - 1) / [(\epsilon_t + 1)(\epsilon_t + 2)]$$

$$K = 1.41 \text{ eV}$$

F.O. Goodman and N. Garcia,
Phys. Rev. B 43, 4728 (91)

$$\Rightarrow R = 100 \text{ nm}, z = 1 \text{ nm}$$

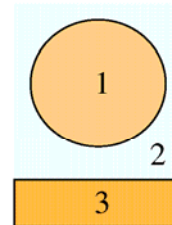


graphite-graphite	8 nN
diamond-diamond	17 nN
metal-graphite	10 nN
SiO ₂ -graphite	1.2 nN

Van der Waals forces in liquids

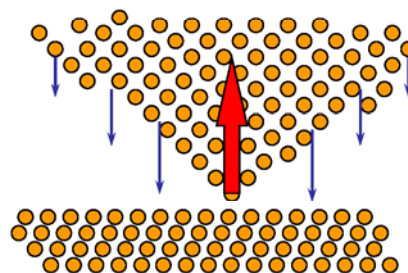
- capillary forces are eliminated
- Van der Waals can be repulsive:

$$U \gg (n_1^2 - n_3^2)(n_2^2 - n_3^2)$$
 For $n_1 < n_2 < n_3$ result negative van der Waals forces.
 Mc Lachlan, *Proc. Roy. Soc. A* 271, 38 (1963)
- Observation of very weak forces (10pN) by
 Ohnesorge und Binnig. Atomic resolution of a calcite surface.
 F. Ohnesorge and G. Binnig, *Science* 260, 1451 (1993)
- For hydrophobic surfaces entropy effects can increase the net forces.

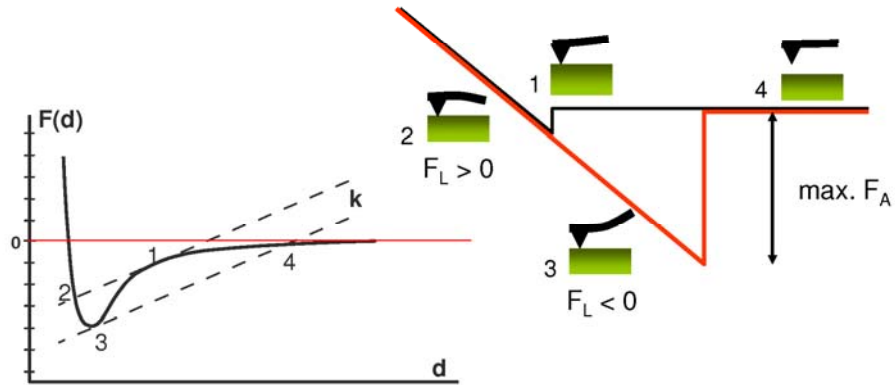


Estimation of forces

- Typical long-range forces:
 - in air: 10-100nN
 - in liquids: 1-100pN
 - in ultra-high vacuum: 0.1-10nN
- Long-range forces are compensated by short-range repulsion. Bending of the cantilever can reduce the repulsive forces.



Force vs. distance curves

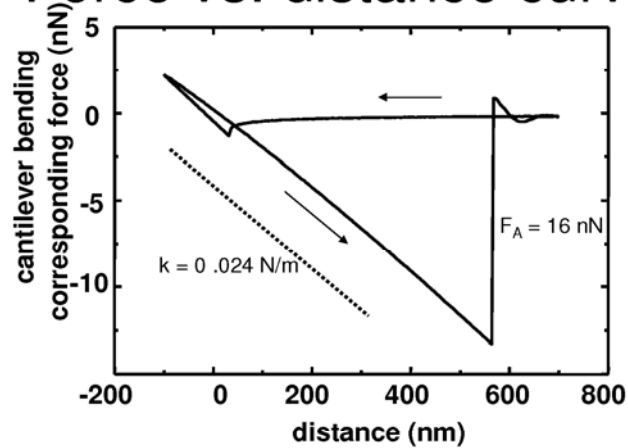


$F(d)$: Interaction force between tip and sample

d : tip sample distance

k : spring constant of cantilever

Force vs. distance curves

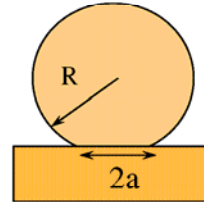


Contact area

The contact area is given by

$$2a = 2 E^*(F R)^{1/3} \text{ (Hertz theory)}$$

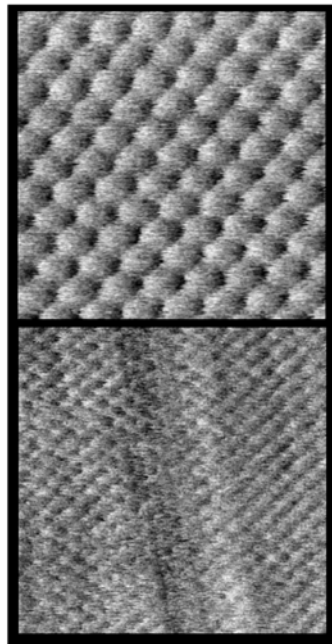
- in air: 5-100nm
 - in liqjuds: atomic resolution
F. Ohnesorge and G. Binnig, *Science* 260, 1451 (1993)
 - in ultra-high vacuum: 1-10 nm
- Best resolution in ultra-high vacuum:



Steps on NaCl: L. Howald et al., *Phys. Rev. B* 49, 5651 (1995)

Si(111)7x7 unit cell: L. Howald et al. *Phys. Rev. B* 51, 5484 (1995)
(chemically modified tip)

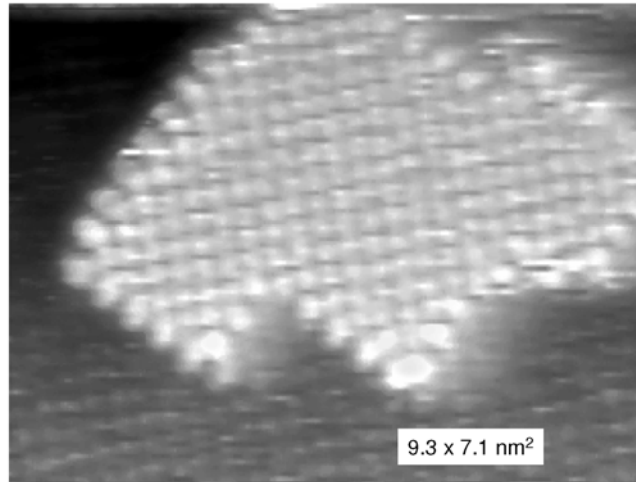
C₆₀-molecules: R. Lüthi et al., *Z.f. Phys. B* 95, 1 (1994)



AFM on NaF(001)

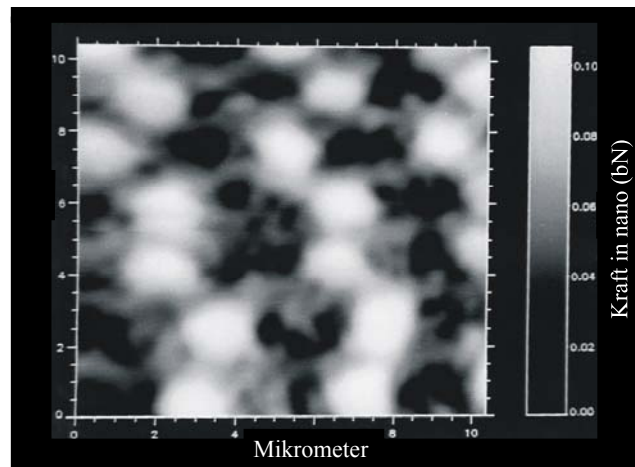
- contact mode imaging on NaF(001)
 - observation of the atomic periodicity
 - steps area distorted in a range of 1 nm
- ⇒ 1 nm contact radius

True atomic resolution on insulators



NaCl-island imaged by nc-AFM

Magnetic domains on Computer HD



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Indentation Hardness

tip-tools – materials testing and data storage



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„HEUREKA“

— writing in between the lines of a CD

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Nano-Fracture / Nano-Wear



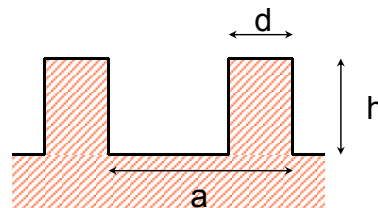
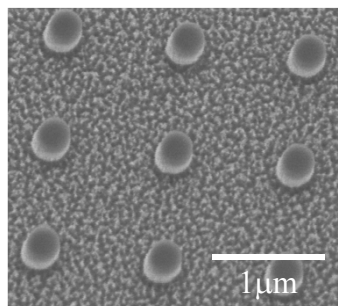
Fracture is a **macroscopic** phenomenon which crucially depends on **microscopic** properties

- Crystal structure
- Dislocation lines
- Interfaces
- Crack initiation
- Crack propagation

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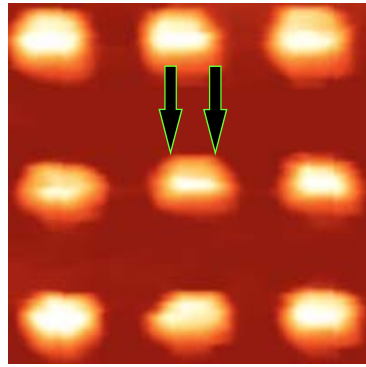
Well defined model system

- Nanotower arrays produced by lithography technique

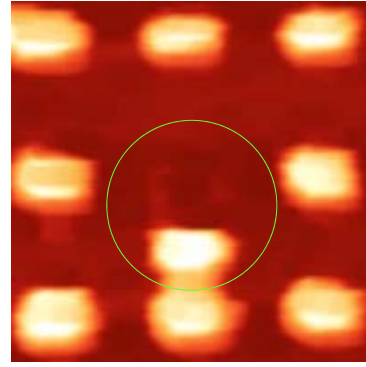


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Nanotower Fracture Experiments

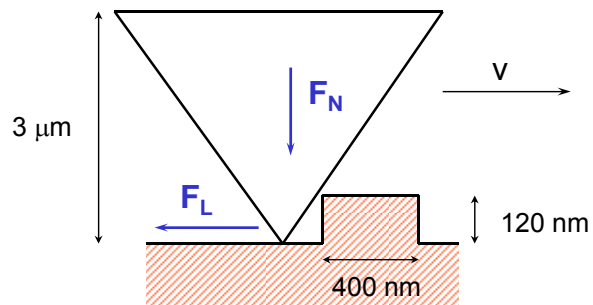


1000 nm



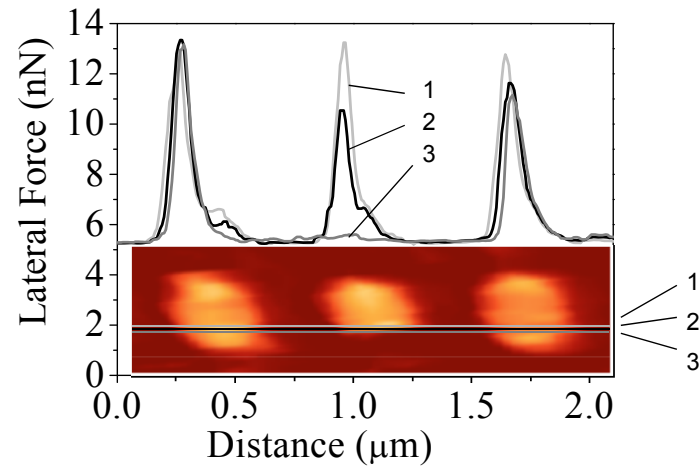
breaking off and removing nanotowers

Lateral force measurement



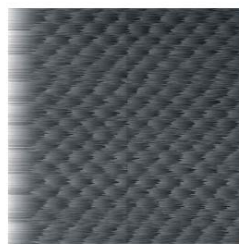
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Lateral forces during fracture

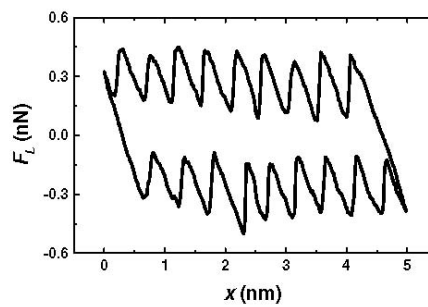


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Atomic-scale stick-slip



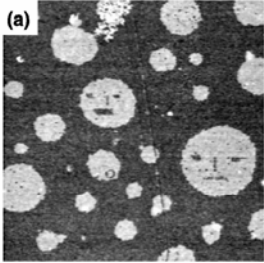
NaCl(100)



\Rightarrow See part II

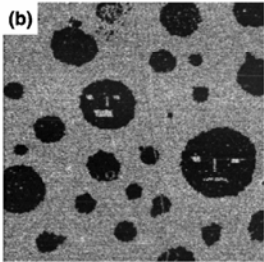
Friction contrast

(a) Topography



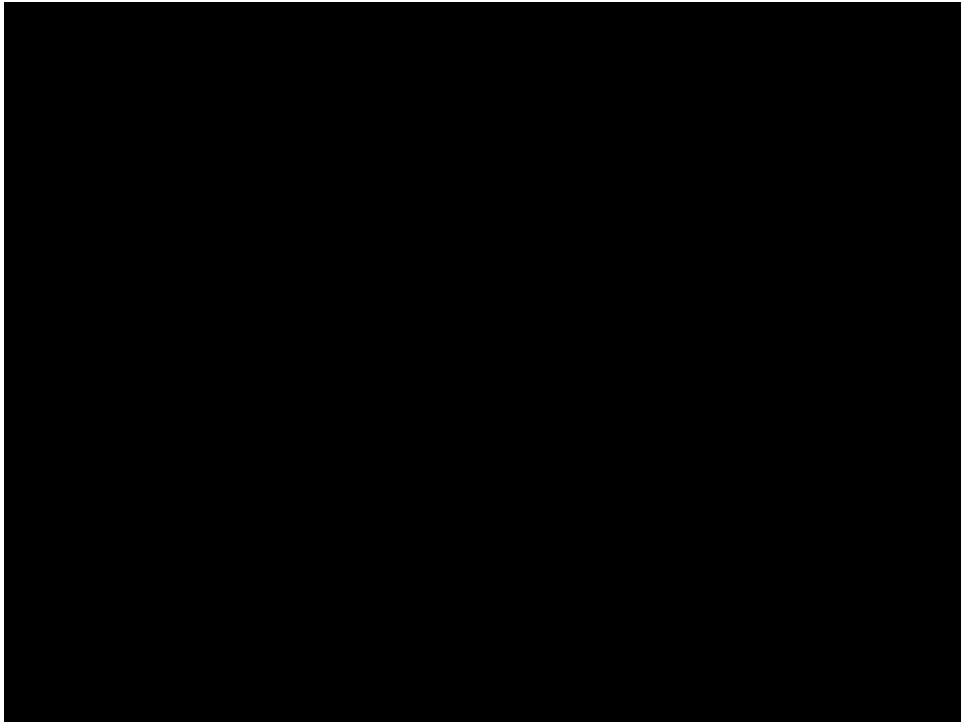
Mixed Langmuir-Blodgett films
($C_{21}H_{43}COO^- / C_9F_{19}C_2H_4OCC_2H_4COO^-$)

(b) Lateral Force

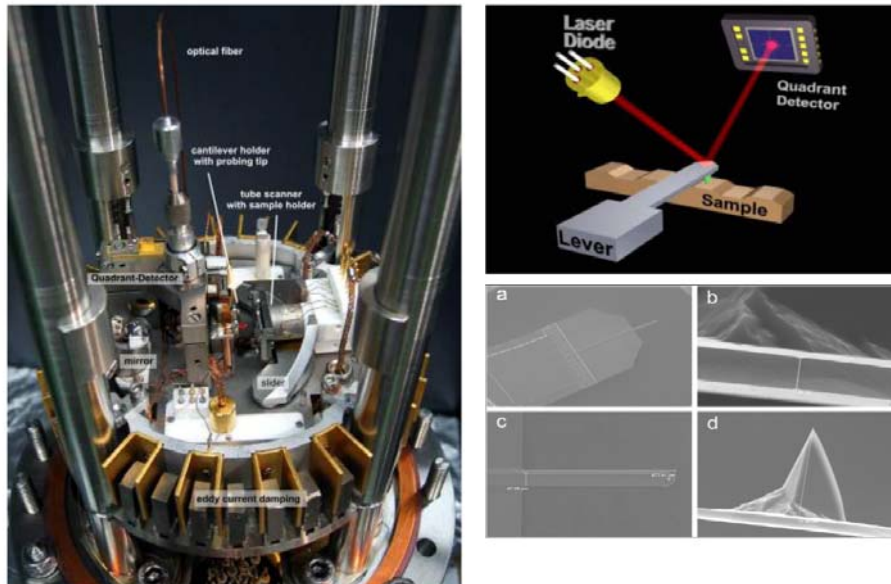


2.8x2.8 μm^2

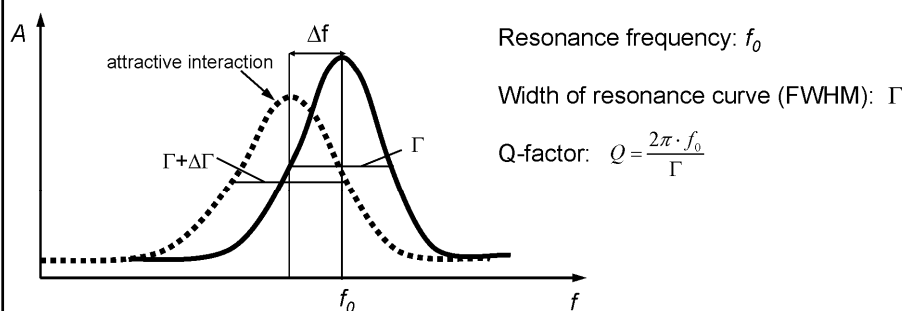
E. Meyer et al.
Thin Solid Films **220**, 132 (1992)



Noncontact-AFM (nc-AFM)



Quantitative understanding of nc-AFM



Conservative forces \Rightarrow shift of resonance curve Δf
 Dissipative forces \Rightarrow broadening of curve $\Delta \Gamma$

Forces in nc-AFM

Frequency modulation: $f_0 = \frac{1}{2\pi} \sqrt{\frac{k}{m^*}}$ $\Delta f = -\frac{f_0}{2k} \frac{\partial F_{tot}}{\partial z}$

⇒ measured topography = surface of constant $\frac{\partial F}{\partial z}$

$$F_{tot} = F_{chem} + F_{mag} + F_{el} + F_{vdW}$$

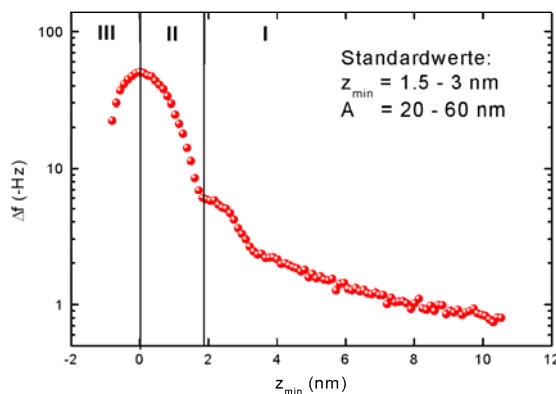
bonding between
tip and sample
atoms
(only for $d < 5 \text{ \AA}$)

only for
magnetically
sensitive tips

$$F_{el} = -\frac{1}{2} \frac{\partial C}{\partial z} V^2$$

$$F_{vdW} = -\frac{HR}{6d^2}$$

Dynamic Mode, non-contact

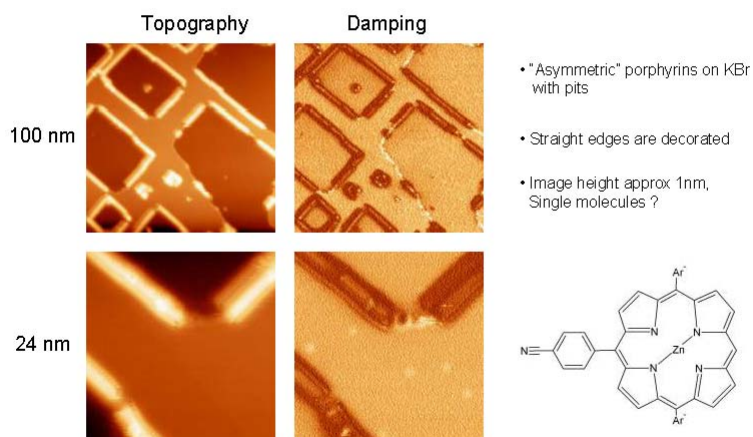


region I:
attractive forces
non-contact mode

region II:
attractive forces
atomic resolution

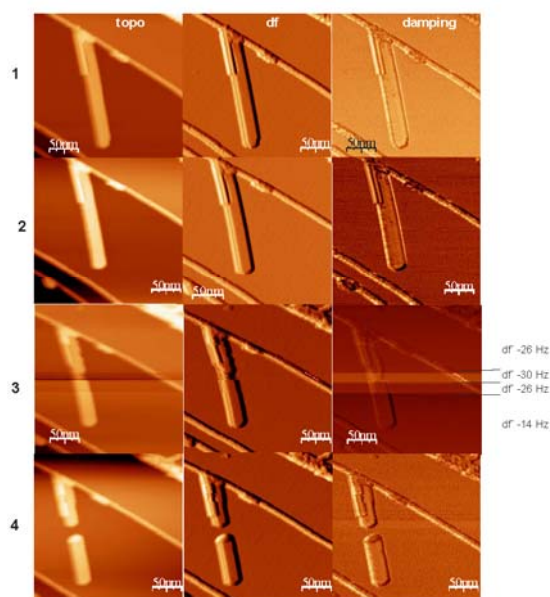
region III:
repulsive forces
tapping mode

Molecular nanowires on KBr



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Cutting a molecular wire

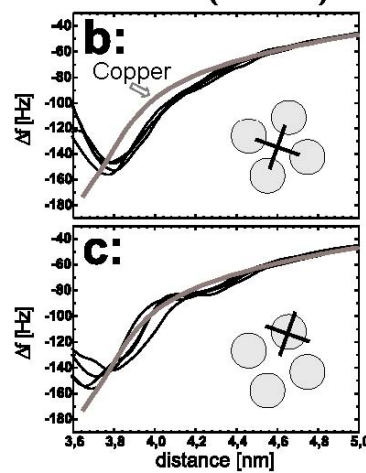
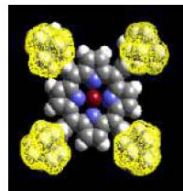
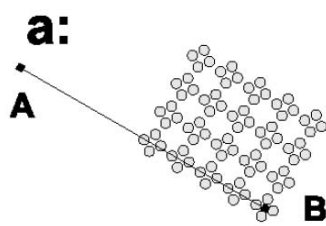


Wieviel Kraft braucht man für
einen molekularen Schalter?



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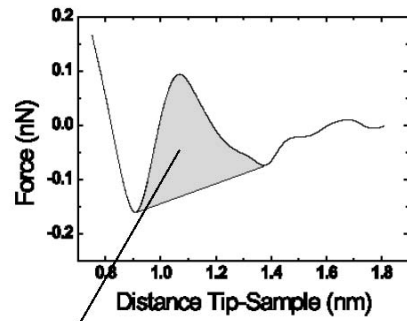
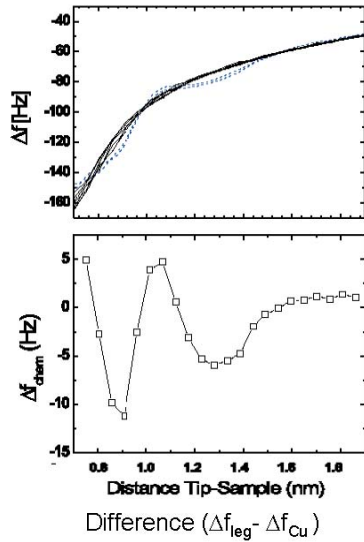
Force spectroscopy of Cu-TBPP
molecules on Cu(100)



20 Curves on 4x5 Cu-TBPP island; thermal drift 5nm/h

Ch. Loppacher et al., PRL **90**, 066107 (2003)

Force spectroscopy above a leg of Cu-TBPP



Force derived from Δf
(Algorithm from F. Giessibl)

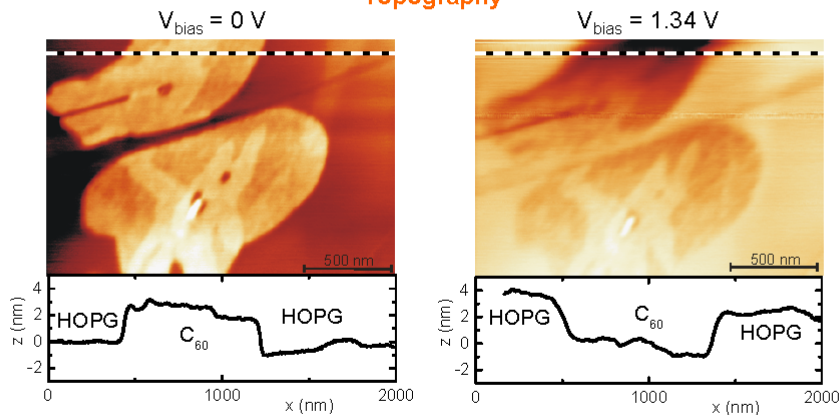
47×10^{-21} J

$P < 7$ fW

10^{-4} switching energy
of a state-of-the-art transistor

inhomogeneous sample: HOPG + $\frac{1}{2}$ monolayer C₆₀

Topography

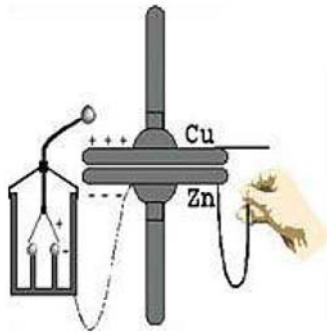


→ contrast inversal: HOPG ↔ C₆₀

S. Sadewasser et al., PRL 91 268101 (2003)

Makroskopische Kelvin-Sonde

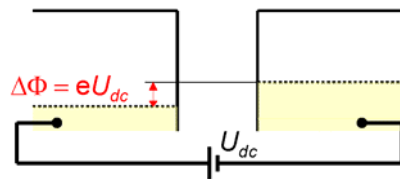
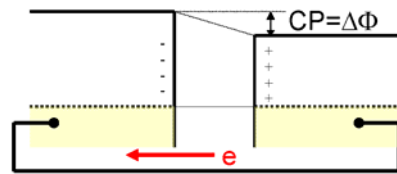
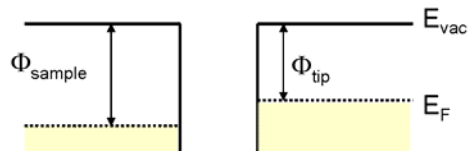
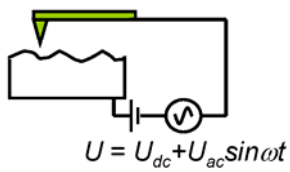
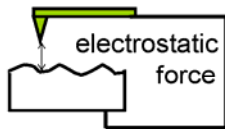
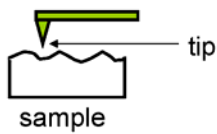
Lord Kelvin 1861



Verschiebestrom

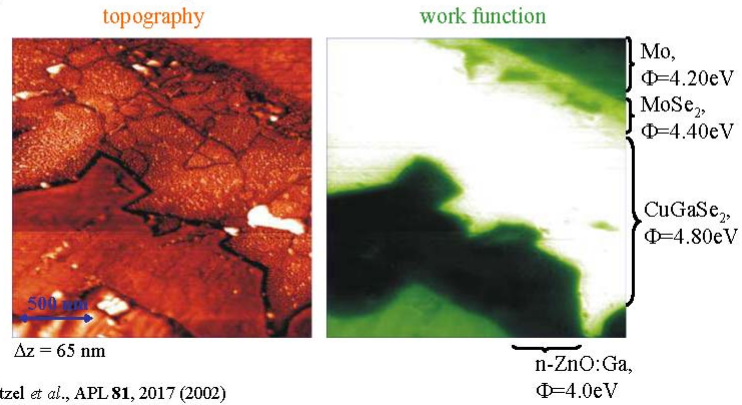
$$I(t) = (U_{dc} - U_{CPD}) f \Delta C \cos \omega t.$$

Kelvin Principle



Polished Cross Section of a CuGaSe₂ Solar Cell

CuGaSe₂ solar cell device: $V_{oc} = 820$ mV, $\eta = 4.6\%$
polished and Ar-ion sputtered cross section



I. A. Jung SPM tutorial